

# The Dynamics of Intention in Collaborative Activity\*

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## Abstract

An adequate formulation of collective intentionality is crucial for understanding group activity and for modeling the mental state of participants in such activities. Although work on collective intentionality in philosophy, artificial intelligence, and cognitive science has many points of agreement, several critical issues remain under debate. This paper argues that the dynamics of intention—in particular, the inter-related processes of decision making and intention updating—play crucial roles in an explanation of collective intentionality, and that it is in these dynamic aspects that coordinated group activity differs most from individual activity. The paper specifies a model of the dynamics of agent intentions in the context of collaborative activity and defines an architecture for a collaboration-capable computer agent based on that model. Through its integrated treatment of group decision-making and coordinated updating of group-related intentions, the model is able to fill an important gap in prior accounts of collective intentionality and to resolve a long-standing debate about the nature of intentions involved in collaborative activity.

## 1 Introduction

There is broad agreement in philosophy, artificial intelligence and cognitive science [3, 39, 14, 15, 46, 45, 17, 28, 25, 10] that the collective, joint activity of a group is more than the simple sum of the individual, domain-oriented actions of the members of the group; coordinating activities, typically including some communicative actions, are required. There is also agreement that the plans that underlie the collective, collaborative activity of a group involve more than the simple sum of the individual plans of the members of the group and that the simple sum of the individual intentions of the members of the group does not fully capture collective intentionality. However, there is disagreement about what is required to fill the gap between the whole and the (simple) collection of individual actions, intentions and plans.

Bratman [3] claims that no new kind of intention is required for characterizing collective action and intentionality. He argues that an interlocking web of beliefs, mutual beliefs and ordinary intentions is sufficient and that the coordination and commitment needed for collective, cooperative activities may be accommodated through the

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content of each participant’s group-related intention including “that we J in accordance with and because of [our intentions] and meshing subplans of [our intentions].” Some computational approaches to formalizing collaboration and constructing collaborative multi-agent systems also rely solely on ordinary, individual intentional attitudes [17, 14, 15, 25].

In contrast to such “individualistic” accounts, several philosophers and cognitive scientists have argued that collective intentionality requires a different kind of intentional attitude, one that although individually held is different from (and not reducible to) an ordinary intention. For instance, Searle [40] claims that: “In addition to singular intentionality there is also collective intentionality,” where “the intentionality that exists in each individual head has the form ‘we intend’.” He argues that collective intentionality “is a biologically primitive phenomenon that cannot be reduced to or eliminated in favor of something else.” Similarly, Tuomela [46] argues for individually held “we-intentions” that are “agreement-based social intentions that agents have in situations of joint action” with content that “can be taken to be something like ‘to do X jointly’ or ‘we to do X jointly’.” He also distinguishes intending in the *we-mode* from intending in the *I-mode*, and argues that “the we-mode is not reducible to the I-mode and these modes may be in conflict” [44]. Gilbert [10] presents a “plural subject” account of collective intentionality in which agents form a joint commitment to “intend as a body”; she argues that the individual “commitment shares” are not, and do not require the existence of personal commitments. Several computational approaches employ notions of joint intention that, although reducible to sets of individually held attitudes, reduce to something other than ordinary intentions [28, 23, 42].

Several accounts of collective intentionality argue that agreements and their entailed obligations are central to distinguishing group activities from simple sums of individual activities. Obligations serve to bind the agents together in coordinating and pursuing their collaborative activity. For instance, in Tuomela’s account of full-blown, agreement-based joint intention [46], agreements entail certain obligations on the participants to adopt relevant intentions. Similarly, in Gilbert’s account [10], joint commitments have inherent obligations on agents to provide appropriate action. Castelfranchi [5] argues for “social commitments”, which involve similar obligations, rights and entitlements.

To reconcile these different stances requires a deeper look at the dynamics of group activity. Collaborative, multi-agent activity has certain features in common with individual activity. For any complex activity to be done by resource-bounded agents, whether people or artificial systems, it must be possible to form initial, incomplete plans and revise them over time [4, 14]. The intentions in such plans are typically under-specified. If George has not yet decided whether to get tomatoes from the grocery store or the farmer’s market, his plan to make Caprese salad will be incomplete and his intention “to make a Caprese salad” under-specified. Likewise, if we have not yet decided which movie to see tonight, our plan to go to the movies will be incomplete, and the intention we share “to go to the movies tonight” will be under-specified. As a result of such incompleteness, plans and intentions related to actions, whether of individuals or of groups, require that means-ends reasoning be done, that certain decisions be made, and that intentions be subsequently updated in accordance with those decisions.

Despite such similarities, individual and coordinated group activities differ substantially in certain aspects relating to the intentions of the participants. This paper

argues that the locus of greatest contrast lies not in the particular types of mental attitudes required, but rather in the *dynamics* of the characteristic intentions in such activities. The most fundamental distinctions arise from the multi-agent nature of the group decision-making processes required for carrying out multi-agent actions and the ways they differ from purely individual decision-making, means-ends reasoning, and problem solving. Unlike the simpler case of single-agent activity, in which all means-ends reasoning, decision making and intention updating is under the control of a single agent, in collaborative activity, responsibility for decision making is distributed. Furthermore, and importantly, collaborating agents must coordinate the updating of their group-related intentions.

These features of collaborative, group activity make a model of the dynamics of intention that adequately treats the inter-related processes of group decision-making and intention updating crucial for modeling collective intentionality. Although research has addressed the dynamics of intentions in individual activity [36, 35, 38, 37, 26, 41, 24, 30, *inter alia*], the inter-related dynamics of decision making and intention updating in collaborative, multi-agent activity has received scant attention in the literature. Some exceptions include implementations [43, 34, *inter alia*] and work addressing the initial formation of a collaborative team [6, 25, 22, 31].

The rest of this paper is organized as follows. Section 2 briefly describes our prior work on the SharedPlans formalization of collaborative activity [14, 15] and the coordinated cultivation of intentions [21]. Section 3 presents the “coordinated cultivation of SharedPlans” model of the dynamics of intention in collaborative activity; and an architecture for collaboration-capable computer agents based on that model. Section 4 shows how our model meets the requirements for collective intentionality identified by a variety of researchers and discussed above.

## 2 Background: SharedPlans and Coordinated Cultivation

The SharedPlans formalization<sup>1</sup> specifies the mental-state requirements of participants in a collaborative, group activity. It deploys two individual intentional attitudes to represent the commitments participants have to a joint activity, to their own actions in service of that joint activity, and to the actions of their co-participants in the activity: intending to do an action (intention-to) and intending that a proposition hold (intention-that). Intentions-that play a central role in realizing the commitments required for collective group activity and the cooperation and coordination that ensue from such commitments. Just as intentions-to do actions are associated with means-ends reasoning, intentions-that are associated with a cultivation process [15]. In informal terms, the main constituents of the specification are that for agents to have a SharedPlan to do  $\alpha$ , they must have the following beliefs and intentions (where, we use the term “recipe” [33] to refer to a way of doing an action—i.e., the recipe for an action  $\alpha$  is a set of actions (or “sub-acts”) and constraints such that doing those actions under those constraints constitutes doing  $\alpha$ ):

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<sup>1</sup>The SharedPlans formalization of collaborative activity was initially presented at a 1986 workshop and is reported in a paper [17] in the volume resulting from that workshop. The theory was significantly generalized and revised in a subsequent set of papers [13, 14, 15, 29, 19]. We use “SharedPlans” in this paper to refer to the theory as refined through this progression.

- (1) individual intentions that the group perform  $\alpha$ ;
- (2) mutual belief of a (partial) recipe for  $\alpha$ ;
- (3) individual or group plans for the sub-acts in the (partial) recipe;
- (4) intentions that the selected agents or subgroups succeed in doing their sub-acts (for all sub-acts that have been assigned to some agent or group); and
- (5) [in the case of a partial SharedPlan] individual intentions that the group complete the plan.

Through the cultivation process, each of the intentions-that in this formulation generates (in the mathematical sense) a set of decisions that must be made by the group of agents. Some of those decisions concern determining the recipe and allocating sub-acts; others concern the setting of parameters, such as the time actions are to be done or the particular resources to be used. The formalization also includes a set of axioms that embody various properties of intentions and interactions among them.

The coordinated cultivation of group intentions (CCGI) model [21] specifies additional important constraints on the cultivation process.<sup>2</sup> In particular, it addresses the need to ensure that the results of decisions made by the group in expanding a partial plan to a more complete one are actually reflected in the intentions of individual members of the group.

### 3 The Coordinated Cultivation of SharedPlans

The Coordinated Cultivation of SharedPlans (CCSP) model of the dynamics of intention in collaborative, group activity integrates the SharedPlans formalization of collaborative activity and the CCGI model of the coordinated cultivation of group-related intentions to provide a more uniform treatment of group decision-making and intention updating than either of these formulations on its own. This section presents an overview of the CCSP model, provides a detailed characterization of group decisions and their crucial role in collaborative activity, and discusses the formal specification of group decision-making mechanisms in the context of the CCSP model. Finally, it presents an architecture for a collaboration-capable agent based on the CCSP model.

#### 3.1 Overview of the CCSP Model

Collaborative group activity, like individual activity, involves an interlocking web of plans and intentions. For example, our plan to make dinner together might involve my intention to buy some food and your intention to make a salad. And in the group case, as in the individual case, plans may be incomplete and intentions under-specified. For example, our dinner-making plan might not yet specify who will make the main course or what will be served for dessert; and my intention to buy some food might be satisfied either by my going to a farmer’s market or my going to a grocery store.

As described in the previous section, the SharedPlans specification stipulates that each participant in a group activity must hold an intention whose content may be

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<sup>2</sup>Pasula [32] and Hadad [18] highlighted the need for participants in the group activity to coordinate their decisions about parameters of sub-acts such as the resources to be used and the times sub-acts were done.

glossed as “that we do  $\alpha$ ”, where  $\alpha$  is an act-type. We will refer to intentions of this form as group-activity-related (GAR) intentions. A SharedPlan may be incomplete (or partial) and a GAR intention, like any intention, may be under-specified.

In the case of a partial SharedPlan, the demand for means-end coherence [2, 14] requires that agents (perhaps incrementally) complete their plan. To do so, agents must make decisions and then revise, or “update” their GAR intentions. However, for a collaborative plan to be coherent, it is also necessary that the participants’ GAR intentions have the same content (e.g., “that we make dinner tonight”). We will refer to this characteristic as the “common-content property.” To maintain the common-content property over time, as their plans evolve, agents must coordinate the updating of their GAR intentions. For instance, in the dinner-making example, we must coordinate to avoid a situation where I update the content of my GAR intention to be “that we make dinner tonight at Martha’s house”, while you update the content of your GAR intention to be “that we make dinner tonight at Larry’s house.”

In collaborative activity, agents use group decisions to coordinate the updating of their GAR intentions. For example, in the context of our dinner-making plan, we might (together) decide that we should do our dinner making at Charlie’s house. Furthermore, we should do the corresponding updates of our GAR intentions only if we together make such a decision. More generally, the CCSP model includes the following constraint, called the Coordinated Cultivation Requirement (CCR), which is characteristic of collaborative activity:

(CCR): Participants in a collaborative group activity are allowed to update their corresponding GAR intentions only in accordance with decisions of the group.

The CCR, implicitly, but importantly, prohibits the unilateral updating of GAR intentions, with the term “unilateral” applying not only to individual participants, but also to proper subgroups. As a result, the commitment to decision making that is inherent in any intention is, in the case of GAR intentions, transformed. It becomes, instead, a commitment to participate in group decision-making processes. Thus, the CCR explicates the source of the commitment of collaborating agents to participate in group decision-making processes.

Since collaborative activity requires the making of group decisions, the CCSP model provides for formally specified group decision-making mechanisms (GDMMs) that agents can use to generate group decisions. In particular, the definition of a GDMM specifies the legal inputs that a participant can make (usually communicative acts), the conditions under which an agent can make each kind of input, and rules for how certain combinations of agent inputs serve to establish a group decision. The operation of a GDMM is analogous to that of a finite state machine (FSM) with the transitions of the FSM corresponding to communicative acts and the accepting final states corresponding to established group decisions. For example, a possible *propose-accept-reject* GDMM might operate as follows: (1) some agent makes a proposal, (2) the other agents privately signal their acceptance or rejection of that proposal, (3) if all agents signal their acceptance, then the agent who made the proposal announces the group’s decision, thereby establishing the decision; otherwise, that agent announces that the group has rejected the proposal.

A group decision is an agreement. Like all agreements, group decisions entail certain obligations on the participants. For instance, if a group  $GR$  decides to form a new

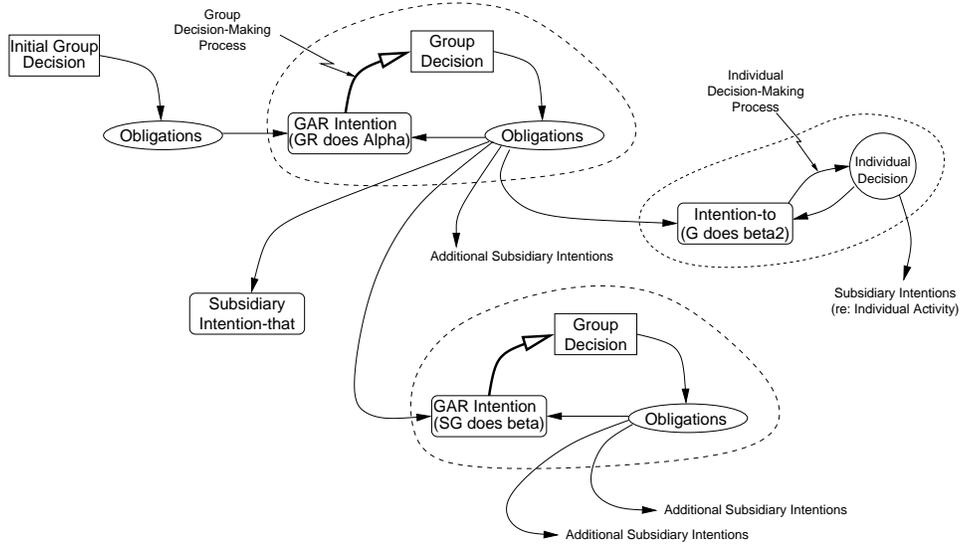


Figure 1: A participant’s view of the dynamics of a hierarchical SharedPlan

collaborative team for doing some activity  $\alpha$ , then each member of  $GR$  automatically becomes obliged to adopt a new GAR intention (“that  $GR$  does  $\alpha$ ”) and, furthermore, to constrain the updating of that GAR intention as stipulated by the CCR. Other kinds of group decisions (e.g., to select a recipe, to allocate a task, or to bind a parameter) occur in the context of existing GAR intentions. Such group decisions involve the making of a choice and entail an obligation on all participants to update their GAR intentions to reflect that choice.

Task-allocation decisions are a special case in that they also require the adoption of new, subsidiary intentions. For example, if some task  $\beta$  is allocated to an agent  $G$ , then  $G$  must adopt an intention to do  $\beta$ , and each of the rest of the agents must adopt an intention that  $G$  be able to do  $\beta$ . Allocating a task  $\beta$  to a subgroup  $SG$  comprising multiple agents is similar in that each of the agents not in  $SG$  must adopt an intention that  $SG$  be able to do  $\beta$ ; however, it is different in that the agents in  $SG$  must form a collaborative subgroup for doing  $\beta$ . In particular, each agent in  $SG$  must adopt a new, subsidiary GAR intention (“that  $SG$  does  $\beta$ ”) which is subject to the CCR relative to the subgroup  $SG$ ; this intention may only be updated in accordance with group decisions made by  $SG$ .

The interactions between recipe-selection and task-allocation decisions typically lead to a complex hierarchy of subsidiary intentions that, together with related mutual beliefs, constitute the group’s SharedPlan. A participant’s view of the dynamics of a hierarchical SharedPlan is illustrated in Fig. 1. The process of incrementally completing that plan by moving from a set of GAR intentions, to a new group decision, to updated GAR intentions and newly adopted subsidiary intentions is recursive. The recursion stops when all intentions in the hierarchy have been fully specified and the single-agent actions associated with intentions at the bottom of the hierarchy have all been successfully executed (i.e., when the SharedPlan is complete).

As Fig. 1 shows, SharedPlans typically include subsidiary plans for constituent tasks that have been allocated to individual agents, in which case those agents are individually responsible for completing those sub-plans. We will not discuss further the incremental refinement of single-agent sub-plans because the focus of this paper is on the role of group decisions and the coordinated updating of GAR intentions in the incremental refinement of collaborative, multi-agent plans.

### 3.2 Characterizing Group Decisions

In the single-agent case, a decision is a mental action whereby an agent (internally) commits itself to some state of affairs. For example, my decision to see a movie tonight is a mental action whereby I commit myself to seeing a movie tonight. As a result of my decision, I now intend to see a movie tonight. Later on, I might decide to see a particular movie, in which case I update my intention. Thus, decisions typically involve adopting new intentions or updating existing intentions.

The group case is somewhat analogous in that the end result of a group decision typically involves the adoption of new intentions or the updating of existing intentions by the participants. However, the analogy breaks down in that a group decision is not a mental action of the group—whatever that would mean. Instead, a group decision is simply a proposition—such as, “The group has decided to see a movie tonight”—that the participants establish, typically through the use of a convention or mechanism. For example, you and I might establish our decision (or agreement) to see a movie tonight by making certain declarations and shaking hands (to “seal the deal”).

A group decision is an agreement. As such, once established, a group decision entails certain obligations on the participants. In particular, a group decision obliges the participants to adopt certain intentions or update existing intentions in a particular way. Fig. 2 identifies the obligations entailed by each of a core class of group decisions that arise in collaborative group activity, including decisions to establish a new collaborative group or to coordinate the updating of related GAR intentions in an existing collaboration. The parameter-binding, recipe-selection and task-allocation decisions oblige the participants to update their relevant GAR intentions. In Fig. 2, the particular updates are specified by giving the relevant portion of the content of those intentions both before and after the group decision. For task-allocation decisions, the subsidiary intentions that the participants are obliged to adopt are derived directly from the SharedPlans specifications.

It is important to note that the CCSP is not limited to the types of group decision shown in Fig. 2, but rather it provides for the uniform treatment of a wide variety of group decisions. For example, agents seeking to coordinate their activities in the presence of temporal constraints may need to add new temporal constraints to their GAR intentions [20]. Such decisions would oblige the participants to update the content of their GAR intentions accordingly. Similarly, the obligations associated with a group’s decision to delegate decision-making authority to an agent or subgroup may be handled by the same CCSP mechanism.

Finally, the CCSP model also accommodates another important aspect of group decision making, namely, that decisions about group activities are frequently interdependent and, thus, agents may need to combine multiple, related decisions into a single bundle. For example, the result of a lengthy multi-agent negotiation might be a bundle of interdependent task-allocation and parameter-binding decisions. In the

- Group Decision to Form a Collaboration:
 

Group Decision:	Form team $GR$ to do $\alpha$ collaboratively
Obligations:	New GAR Int: that $GR$ does $\alpha$ (constrained by CCR)
  
- Group Decision to Bind a Parameter:
 

Prior GAR Int:	Unbound parameter $p$
Group Decision:	Bind parameter $p$ to value $v$
Obligations:	Update GAR Int: Replace all occurrences of $p$ by $v$
  
- Group Decision to Select a Recipe:
 

Prior GAR Int:	that $GR$ does $\alpha$
Group Decision:	Select recipe $R_\alpha$ (with sub-acts $\beta_1, \beta_2, \dots, \beta_n$ )
Obligations:	Update GAR Int: that some agent or subgroup does $\beta_1$ , that some agent or subgroup does $\beta_2$ , ... that some agent or subgroup does $\beta_n$
  
- Group Decision to Allocate a Task to an Agent  $G$ :
 

Prior GAR Int:	that some agent or subgroup does $\beta$
Group Decision:	Allocate sub-act $\beta$ to agent $G$ :
Obligations:	Update GAR Int: that $G$ does $\beta$
	Agent $G$ : Adopts int to do $\beta$
	Other Agents: Each adopts int that $G$ be able to do $\beta$
  
- Group Decision to Allocate a Task to a Subgroup  $SG$ :
 

Prior GAR Int:	that some agent or subgroup does sub-act $\beta$
Group Decision:	Allocate sub-act $\beta$ to subgroup $SG$
Obligations:	Update GAR Int: that $SG$ does $\beta$
	Agents in $SG$ : Each adopts GAR Int that $SG$ does $\beta$ (constrained by CCR)
	Other Agents: Each adopts int that $SG$ be able to do $\beta$

Note 1: GAR Int = Group-activity-related Intention

Note 2: Each agent in the group is obliged to perform the indicated updates to its GAR intention, but in task-allocation scenarios the intentions adopted by the agent(s) to whom the task is allocated and those adopted by the rest of the agents in the group are different.

Figure 2: The obligations resulting from different types of group decisions

CCSP model, the set of obligations entailed by a bundle of decisions is simply the union of the sets of obligations individually entailed by the decisions in that bundle.

### 3.3 Generating Group Decisions

People are quite adept at using informal mechanisms for establishing group decisions. For example, you and I might establish a group decision by nodding our heads and winking meaningfully. However, computer agents equipped with automated reasoning systems require formally specified mechanisms for establishing group decisions. Of course, even people employ formal mechanisms for generating group decisions when the stakes are high (e.g., as when a buyer, a seller, their attorneys, and a bank together agree to the conditions for the sale of a house).

In the CCSP model, group decision-making mechanisms (GDMMs) enable agents to reliably establish group decisions for the purpose of coordinating the updating of their GAR intentions. Different GDMMs may operate in very different ways. With some GDMMs, the parties to a group decision may always learn of the generation of a new decision simultaneously; with others, they may learn of a new decision at different times. However, all of the agents must eventually be able to verify that a group decision has in fact been established.

The CCSP model stipulates that the definition of a GDMM must specify: (1) the possible inputs an agent can make into the mechanism; (2) the conditions under which agents may make those inputs; and (3) rules for determining which combinations of agent inputs establish group decisions.

The original CCGI model [21] provides a general framework for formally specifying GDMMs satisfying the above criteria. The framework utilizes Dynamic Deontic Linear Time Temporal Logic (DDLTLB) [8, 9]. Agent inputs into a mechanism are in the form of declarative speech-acts [1, 40]. The conditions under which certain inputs are allowed, the rules for how certain combinations of inputs establish group decisions, and the resulting obligations are all easily expressed in DDLTLB. In that work, the use of the GDMM framework is illustrated by formally specifying a multi-agent voting protocol and proving its robustness under certain conditions.

In a related paper [22], we presented an auction-based mechanism that agents can use, when faced with some proposed group activity, to decide whether to initiate a collaborative effort. That mechanism, which can also be used to generate bundles of task-allocation decisions for a pre-existing collaborative effort, allows the participants to protect any private, pre-existing commitments they might have by including temporal constraints in their bids. Elsewhere [21], we have provided algorithms that agents can use to facilitate the bid-generation process needed to participate in such mechanisms.

### 3.4 The CCSP Agent Architecture

The CCSP architecture for a collaboration-capable agent is based on the resource-bounded individual-agent architecture designed by Bratman, Israel, and Pollack [4]. This architecture, illustrated on the left side of Fig. 3 (i.e., all of the components lying outside the dashed line labeled “Components Related to Group Activities”) addressed the ways in which means-ends reasoning and the weighing of alternative courses of action interact when agents are resource bounded. The architecture deals with the dy-

namics of intentions for individual activities, and the design embodies the constraining roles of plans and intentions. The means-ends reasoner, opportunity analyzer, filtering and deliberation components constitute the “practical reasoning system”. Both the options generated by means-ends reasoning processes and new opportunities, whether internally or externally generated, are filtered for compatibility with existing plans and intentions. This filtering process eliminates options for future activity that would conflict with existing intentions, reflecting the focusing effect of plans (which is necessary because agents are resource-bounded), but it allows an agent to change its mind should a new, high-priority option arrive. As a result, an agent’s partial plans are refined by means-ends reasoning, but in ways that are compatible with the full set of active intentions and plans. An agent is committed to what “it is doing” and the “characteristic roles” of those commitments are to drive means-ends reasoning and to constrain the set of options that the agent considers [2].

To extend this architecture to treat collaborative, group activities requires adding components to handle the interaction between group decision-making and intention updating in the group-activity context. The participants in a collaborative, group activity are not only resource-bounded, but also constrained in the decisions they are allowed to make unilaterally. Thus, corresponding to the single “deliberation” component on the “individual activity” side of the architecture, there are a set of components on the “group activity” side that handle the ways in which participants in the group activity deliberate together to augment incomplete plans and further specify GAR intentions. These components must embody the constraints imposed by the CCR in their handling of group decision making and the updating of GAR intentions. The components of Fig. 3 within the dashed line serve this purpose. To illustrate the roles of each component and their operation during the evolution of a typical SharedPlan, we will use the example of a group of musicians collaborating to perform a concert (or “gig”).

The “Group-Activity Opportunity Analyzer” is responsible for generating new candidates for collaborative group activities by monitoring incoming communication and the database of beliefs (including those arising from perception of the external environment). For example, an agent might learn of a new opportunity for playing a gig from an incoming email message. When such an opportunity (or option) is generated, it is sent to the “Filtering re: Group Activities” module which determines whether that option would be compatible with the other activities that the agent is already engaged in (which are represented in the database labeled “Intentions Structured into Plans”). For instance, if the agent is already scheduled to play a gig on Friday night, an opportunity to play another gig that same night on the other side of the country would typically be discarded. However, the “Filter Override” module can allow certain high-priority opportunities to survive the filtering process even if they might conflict with an agent’s pre-existing commitments.

The group compatibility and over-ride filters differ from those of individual activities in possibly taking into account the preferences and obligations not only of the agent, but also of other participants or the group as a whole. The process of intention reconciliation is more complex in the context of group activities because it requires the weighing of trade-offs between individual and group good [16, 11]. For instance, the agent might determine that the needs of the group are sufficiently great that it should reconsider the individual intention that led to a compatibility conflict.

If the new opportunity survives the filtering process, the “GDMM Options Genera-

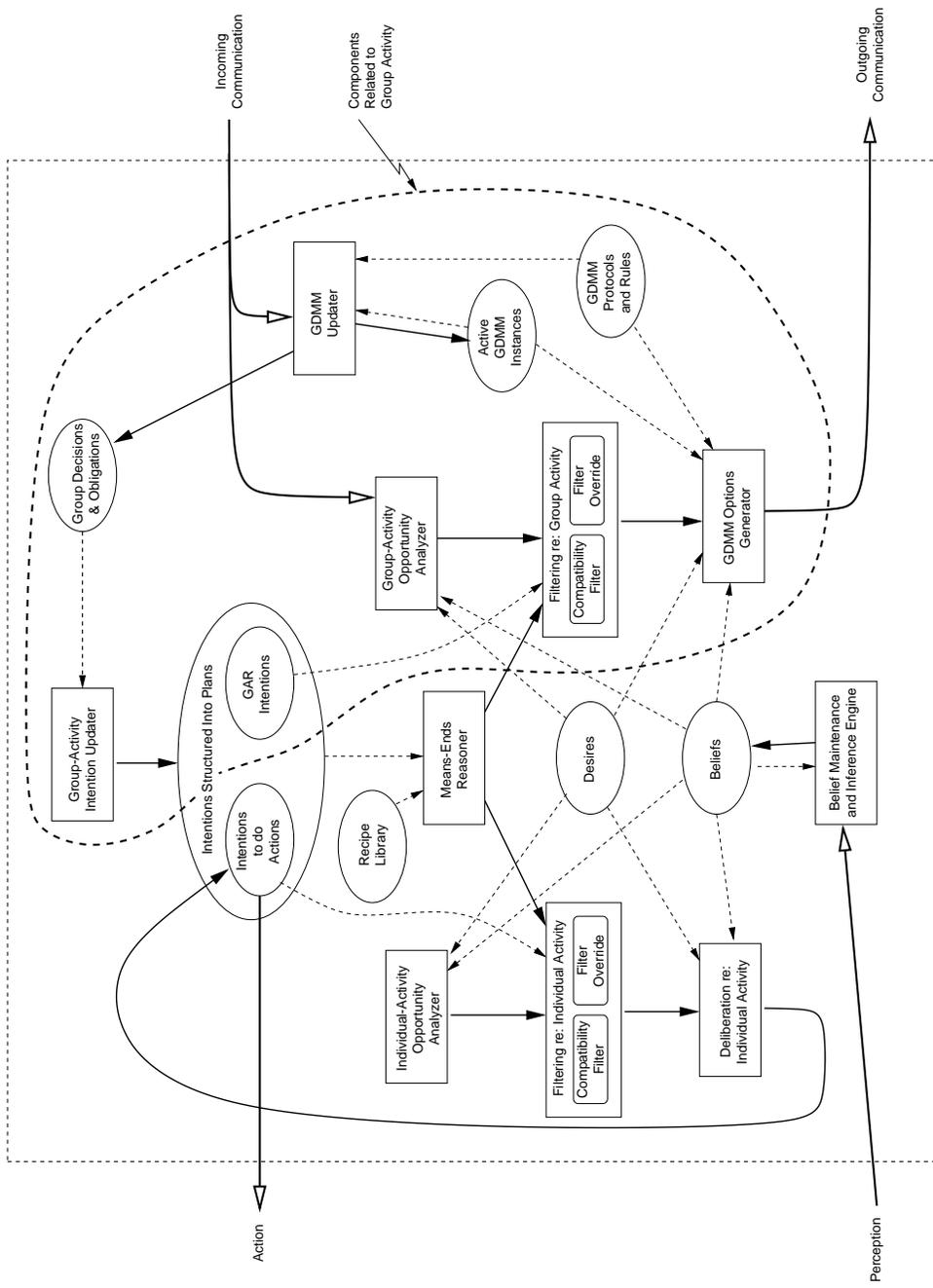


Figure 3: The CCSP Architecture for a Collaboration-Capable Agent

tor” uses the “GDMM Protocols and Rules” database to select a particular GDMM and initiate a group decision-making process using that GDMM. For example, the agent might decide to use a *Unanimous Consent* GDMM and send an email to its fellow musicians proposing that they agree to play this new gig on Friday night. The newly initiated GDMM instance is recorded in the “Active GDMM Instances” database.

Replies from other agents (“incoming communication”) are processed by the “GDMM Updater” which updates the status of the GDMM instance in the “Active GDMM Instances” database. If all the other agents agree, then the agent who originated the proposal announces the group decision (“outgoing communication”) and records it in its own “Group Decisions and Obligations” database. Suggestions from other agents are handled in an analogous manner.

Group decisions to engage in new group activities lead the “Group-Activity Intention Updater” to create a new GAR intention and enter it into the database of “Intentions Structured Into Plans”. When all agents in the group have adopted corresponding GAR intentions, each constrained by the CCR, the group’s SharedPlan is initialized.

The new GAR intention requires that certain decisions be made (e.g., how to get to the gig, what equipment to bring). The “Means-Ends Reasoner” (as in the single-agent case) generates options for solving such decision problems (e.g., rent a van, borrow a car from my sister, bring the high-powered sound system). As in the single-agent case, such options are filtered for compatibility with the agent’s existing intentions and plans. Options that survive the filter, say, to rent a van, are sent to the “GDMM Options Generator”. If an active GDMM instance is already handling the question of whether to rent a van the “GDMM Options Generator” might generate a “vote to accept the proposal to rent a van”.

When the agent learns of new group decisions, the “GDMM Updater” records the new decision (e.g., to rent a van) which leads the “Group Activity Intention Updater” to enter new intentions or update existing intentions in the database of “Intentions Structured into Plans”. In the case of a task-allocation decision, say, “Bob to drive van”, the updater enters new, subsidiary intentions concerning the driving of the van. If “Bob” refers to this agent, then the intention is an intention to drive the van, which may lead to subsidiary single-agent planning activity (on the left side of the diagram). If “Bob” refers to a different agent, then the new intention is an intention that “Bob” be able to drive the van. (Because the cultivation of this latter form of intention is not the focus of this paper, such intentions are not shown in the database of “Intentions Structured into Plans”.)

Thus, the components in the CCSP agent architecture provide the functionality needed to facilitate an agent’s participation in group decision-making processes and, more generally, collaborative activity.

## 4 Discussion

Tuomela [44] argues that collaborating agents employ a we-mode of intending that is different from and not reducible to the ordinary I-mode of intending. For example, he claims that in the group case, “the goal state or event comes about due to the collective effort by, or at least under the collective guidance of, the group members.” Elsewhere [46] he stipulates that we-intenders must be disposed to engage in certain

forms of practical reasoning, for example, to derive their individual contributions to the collaborative activity; and that collaborating agents require a “socially-existing authority system” (or group-will formation system) and must be motivated to use it. The CCSP’s account of GAR intentions constrained by the CCR captures all of these requirements. Furthermore, it highlights the CCR as the source of an agent’s motivation to participate in group decision-making mechanisms, provides criteria for formally specifying such mechanisms, and specifies the particular obligations that are entailed by the group decisions generated by such mechanisms.

Bratman [3] presents a model of Shared Cooperative Activity that employs ordinary I-mode intentions, but with a highly specialized content: “that we J in accordance with and because of [our intentions] and meshing subplans of [our intentions].” In contrast, the CCSP model employs GAR intentions with a much simpler content (e.g., “that we do  $\alpha$ ”), but with their updating constrained by the CCR. This separation of the content of an intention from constraints on how that content can be updated enables the CCSP agent architecture to employ essentially the same means-ends reasoner used in the single-agent case, while adding separate components (e.g., the “GDMM Options Generator” and the “GDMM Updater”) to handle the deliberation and communication processing required to manage the agent’s participation in group decision-making mechanisms.

Searle [39] argues that it is important to be able to distinguish, for example, the case of a set of agents each independently intending to run to a common point from the case of a group of agents collectively intending to run to that same point. The CCSP model makes this distinction by requiring, in the collective case, that each agent have a GAR intention (“that we run to the common point”) and a derivative intention (“to run to the common point”) that is linked correctly to the GAR intention. Searle also argues that it is important to be able to distinguish cases where the content of the collective intentionality (“we are running a pass play”) is different from the content of derivative intentions (“I am blocking the defensive end”), which the CCSP model also accommodates with the same mechanism: I intend “that we run a pass play” and I have a subsidiary intention “to block the defensive end.”

Searle also presents two versions of a Business School scenario that he claims form a counter-example to any account of collective intentionality based solely on ordinary I-intentions. In the scenario, graduates of the Business School have learned that they may help humanity by pursuing their own selfish interests. In the first version, each graduate “intends to pursue his own selfish interests without reference to anybody else”, whereas in the second version, the graduates “form a pact to the effect that they will all go out together and help humanity by way of each pursuing his own selfish interests.” These cases are clearly distinguished in the CCSP model by the presence or lack of corresponding GAR intentions (“that we help humanity by each pursuing our own selfish interests”). In addition, the SharedPlans formalization has mechanisms that handle additional aspects of this distinction [12].

Finally, Searle argues that “the notion of a we-intention, of collective intentionality, implies the notion of *cooperation*”, the following crucial elements of which are captured by the CCSP model: (1) an agent’s motivation to participate in group decision-making processes; (2) the group’s coordinated updating of corresponding GAR intentions; (3) the persistence of the common-content property and, thus, the coherence of a group’s evolving SharedPlan; and (4) the commitment of agents to avoid interfering with the efforts of their fellow participants. In addition, hierarchical SharedPlans capture the

relationships between GAR intentions and subsidiary plans, even if the higher-level intentions are cooperative while the lower-level intentions are competitive (as happens, for example, in organized sports).

Gilbert [10] argues that any model of collective intentionality (or “shared intention”) must account for the following features: (1) that shared intentions entail certain obligations (e.g., “not to act contrary to the shared intention” or “to promote the fulfillment of the shared intention”), as well as corresponding rights and entitlements; (2) that participants in a shared intention “are not in a position to remove its constraints unilaterally”; and (3) “that there could be a shared intention to do such-and-such though none of the participants personally intend to conform their behavior to the shared intention.” The CCSP model accounts for the first feature in that group decisions, like joint commitments, entail certain obligations (in particular, to update existing GAR intentions or to adopt related subsidiary intentions). The CCSP model’s CCR constraint against the unilateral updating of GAR intentions captures the second feature. The third feature is debatable and we have not attempted to capture it in the CCSP model.

This section has examined the requirements for an account of collective intentionality as put forth by several researchers, who argue that their requirements can only be met by introducing a new kind of intention (e.g., a we-intention) or by adding complex constraints into the content of intentions. However, the CCSP model presented in this paper meets all of these requirements without introducing any new kind of intention or making the content of intentions more complex. Furthermore, by explicating the dynamic and interrelated processes of group decision making and intention updating, the CCSP model fills an important gap in existing accounts of collaborative intentionality.

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