

To show a language is context-free, we can

Design a context-free grammar that generates it *or*

Design a pushdown automaton that recognizes it.

Closure properties of CFLs

To show a language is context-free, we can

Design a context-free grammar that generates it *or*

Design a pushdown automaton that recognizes it *or*

Use closure properties for context-free languages.

Recall that if L_1 and L_2 are *regular languages*, then

$\overline{L_1}$ is regular

$L_1 \cup L_2$ is regular

$L_1 \cap L_2$ is regular

$L_1 \circ L_2$ is regular

L_1^* is regular

L_1^R is regular

How many of these closure properties still hold for *context-free languages*?

THEOREM The class of context-free languages is closed under the regular operations.

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For a grammar that generates $L(G_1) \cup L(G_2)$, we add new production rules

$$S \rightarrow S_1$$

$$S \rightarrow S_2$$

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For a grammar that generates $L(G_1)^*$, we add new production rules

$$S \rightarrow S_1 S \mid \epsilon.$$

Reversal

Suppose that L is a context-free language.

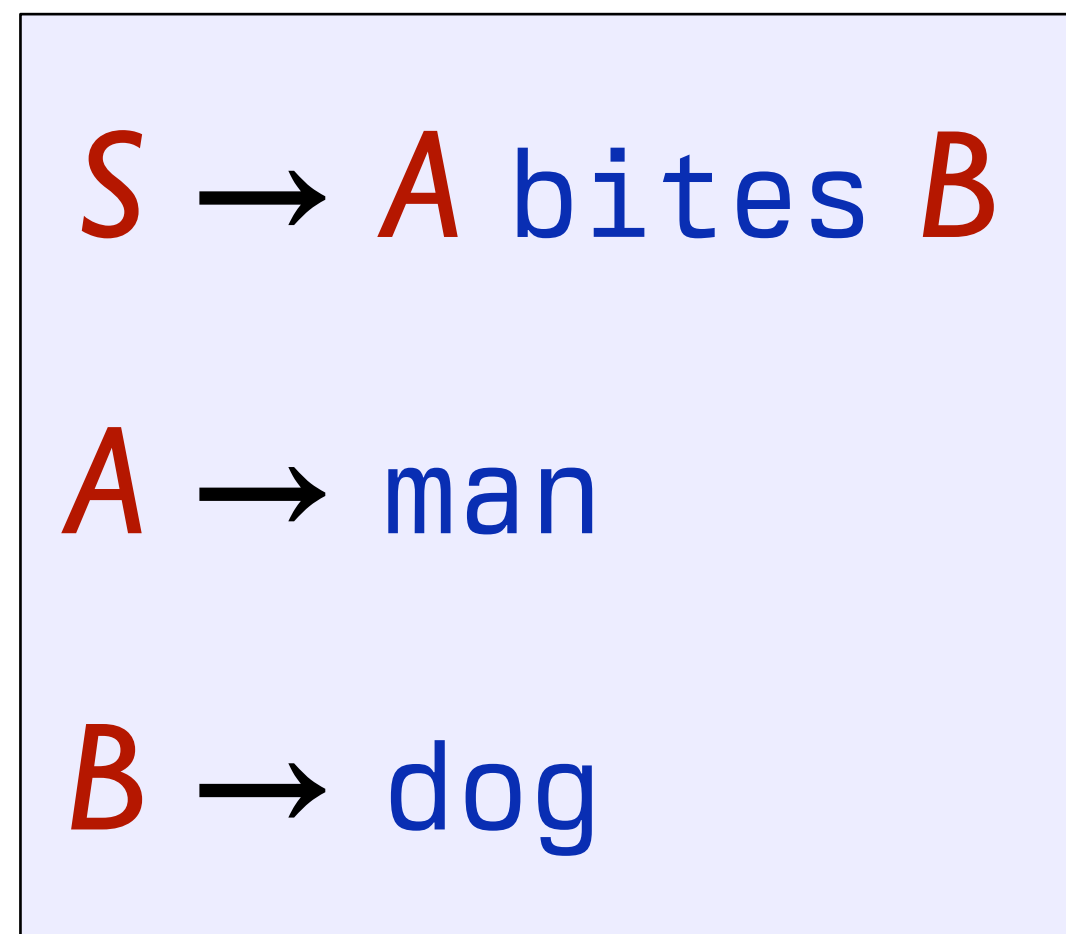
Is L^R a context-free language?

Reversal

Suppose that L is a context-free language.

Is L^R a context-free language?

Yes! Proof idea:



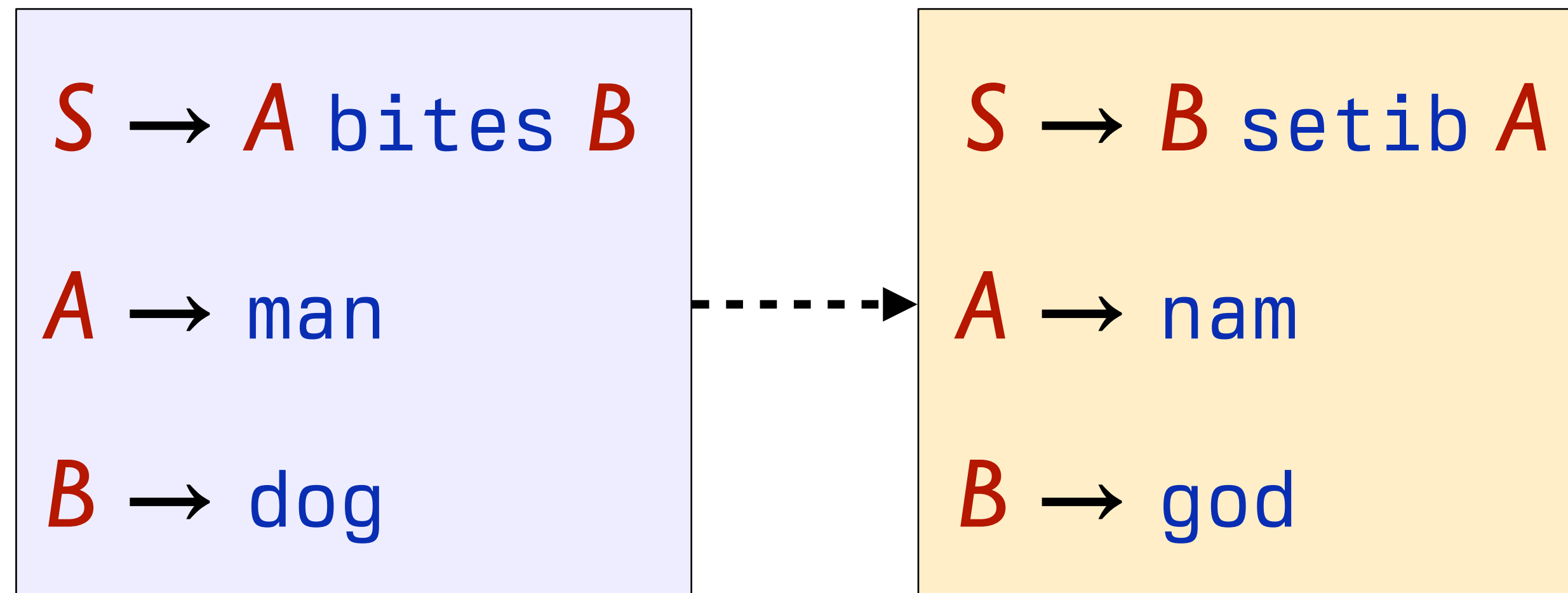
$S \rightarrow A \text{ bites } B$
 $A \rightarrow \text{man}$
 $B \rightarrow \text{dog}$

Reversal

Suppose that L is a context-free language.

Is L^R a context-free language?

Yes! Proof idea:



Reversal

PROOF SKETCH Given a CFG $G = (V, \Sigma, P, S)$,
construct grammar $G^R = (V, \Sigma, P^R, S)$:

Define $P^R = \{A \rightarrow a^R \text{ where } A \rightarrow a \in P\}$.

That is, perform normal string reversal over the
body of each production rule.

We've seen that if L_1 and L_2 are context-free languages, then

$L_1 \cup L_2$ is context-free

$L_1 \circ L_2$ is context-free

L_1^* is context-free

L_1^R is context-free

What about intersection, complement, or difference?

We've seen that if L_1 and L_2 are context-free languages, then

$L_1 \cup L_2$ is context-free

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L_1^* is context-free

L_1^R is context-free

What about intersection, complement, or difference?

There isn't an obvious way to do these using CFG productions...

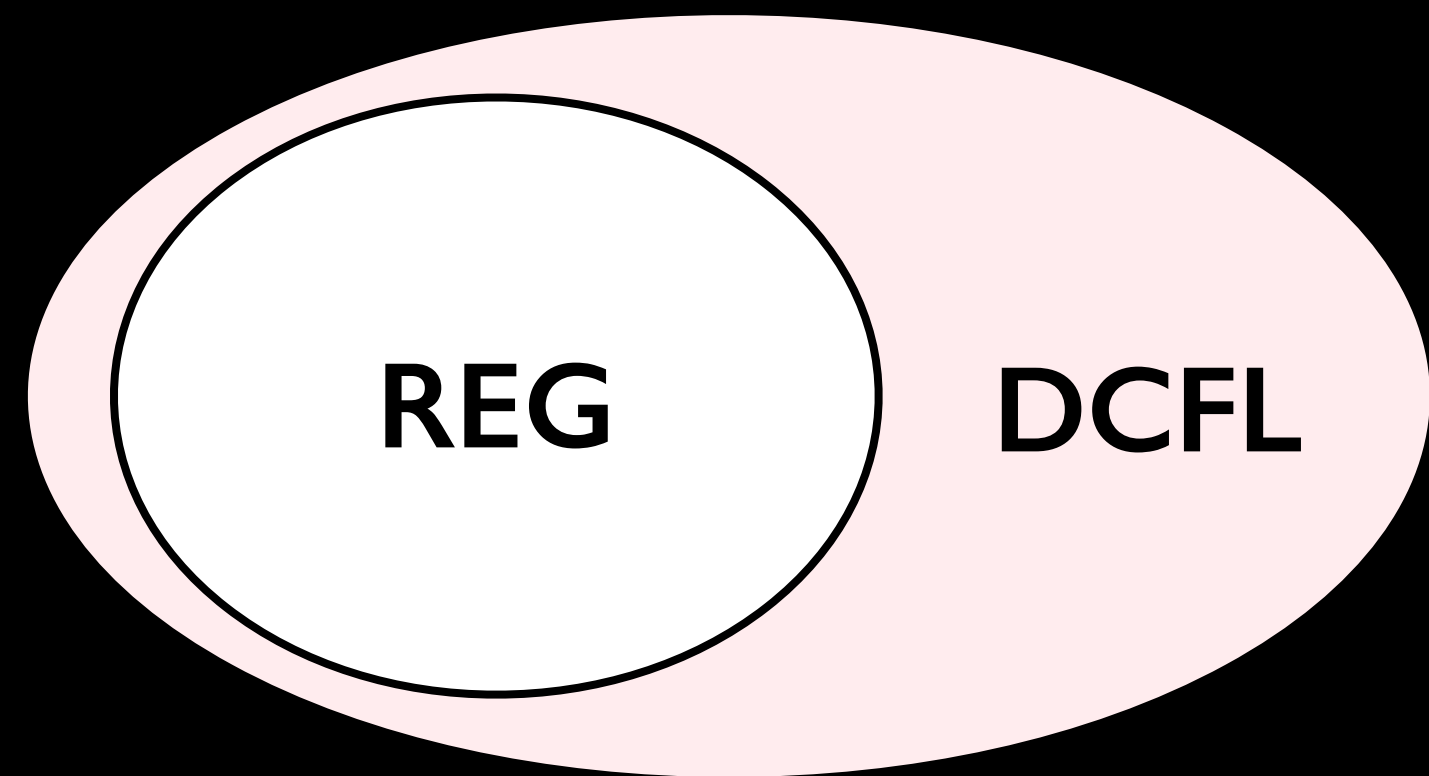
If we want to prove *non*-closure for these operations, we first need to know that there are languages that aren't context-free!

Are some problems inherently harder than others?

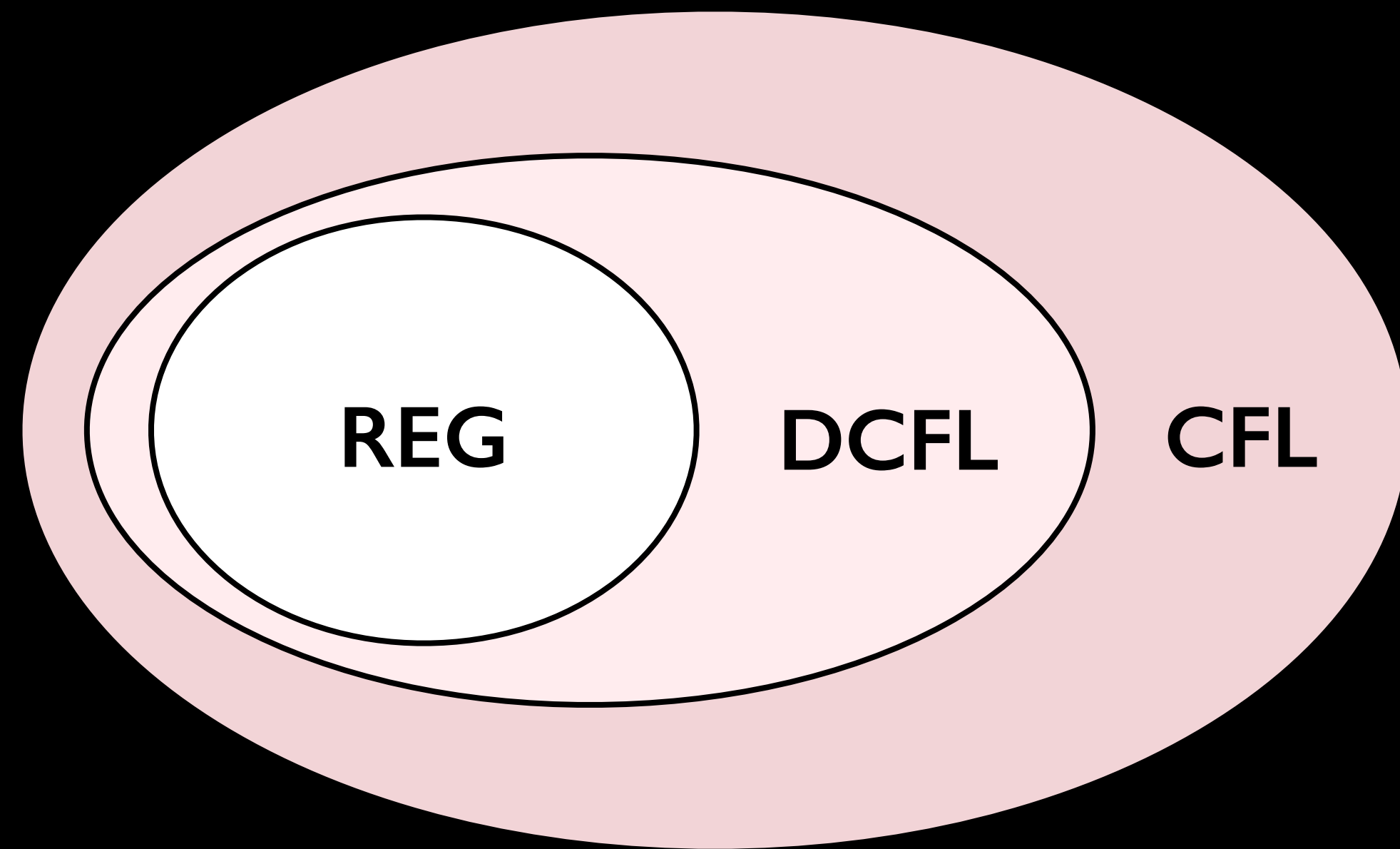


REG

All languages

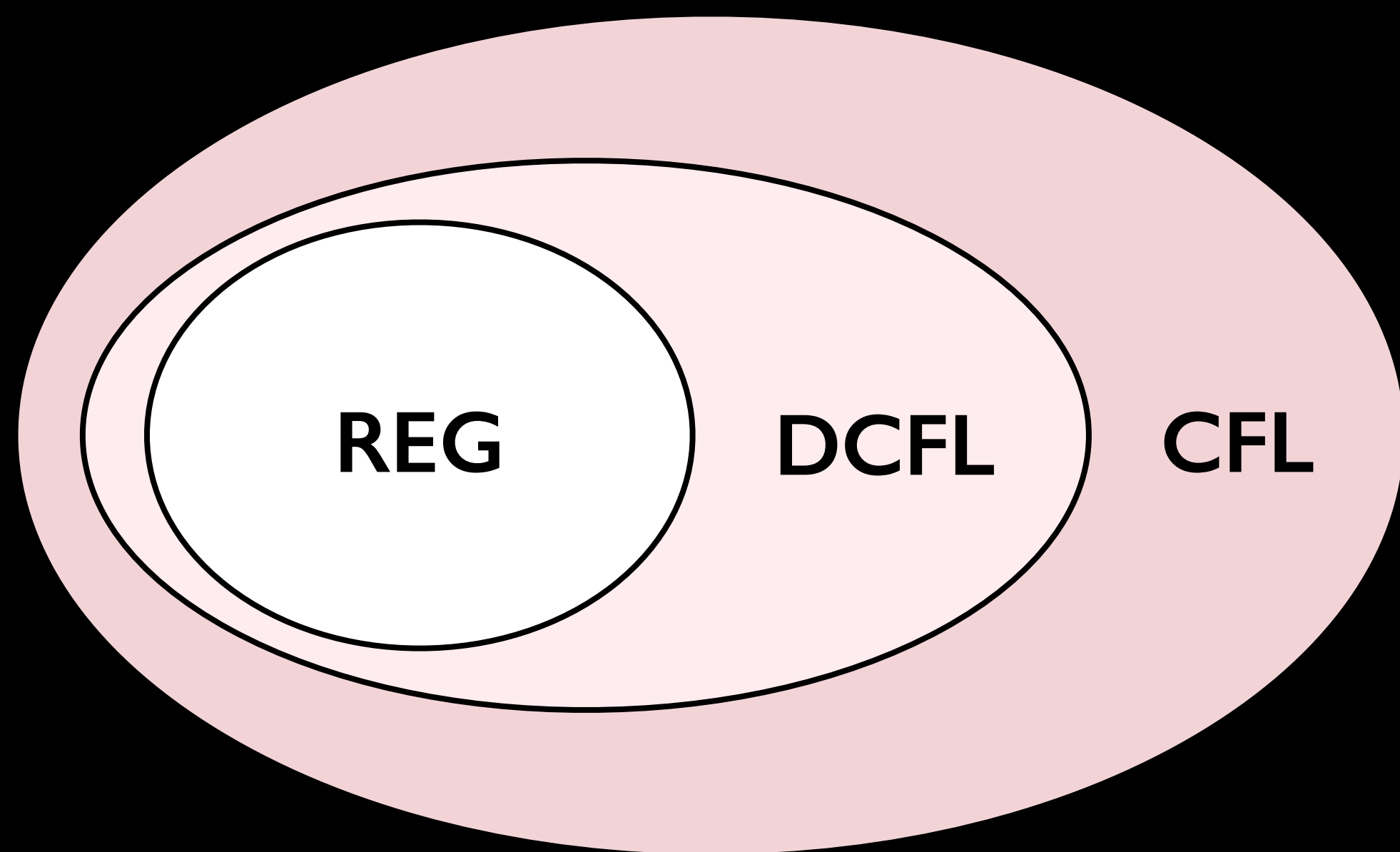


All languages



All languages

What sort of languages are out here?



All languages

The Pumping Lemma for Regular Languages

If L is a regular language, there must be a DFA D that recognizes L .

A sufficiently long string $s \in L$ must visit some state in D twice.

This means s went through a loop in the DFA D .

By replicating the characters that went through the loop in D , we can “pump” a portion of s to produce new strings in the language.

The Pumping Lemma for Regular Languages

For any regular language L ,

there exists a positive integer p such that

for any string $s \in L$ such that $|s| \geq p$,

there exist strings x , y , and z such that

$$s = xyz$$

s can be broken into three pieces,

$$|xy| \leq p$$

where the first two pieces occur at the start of the string,

$$y \neq \varepsilon$$

the middle part isn't empty, and

$$xy^iz \in L$$

the middle piece can be repeated zero or more times.

Intuition for the Pumping Lemma

The model of computation used has a finite description.

For sufficiently long strings, the model of computation must repeat some step of the computation to recognize the string.

Under the right circumstances, we can iterate this repeated step zero or more times to produce more strings.

The Pumping Lemma for CFLs

$S \rightarrow [P]$

$P \rightarrow RR \mid a$

$R \rightarrow (P) \mid b$

$S \rightarrow [P]$

S

$P \rightarrow RR \mid a$

$R \rightarrow (P) \mid b$

$S \rightarrow [P]$

S

$P \rightarrow RR \mid a$

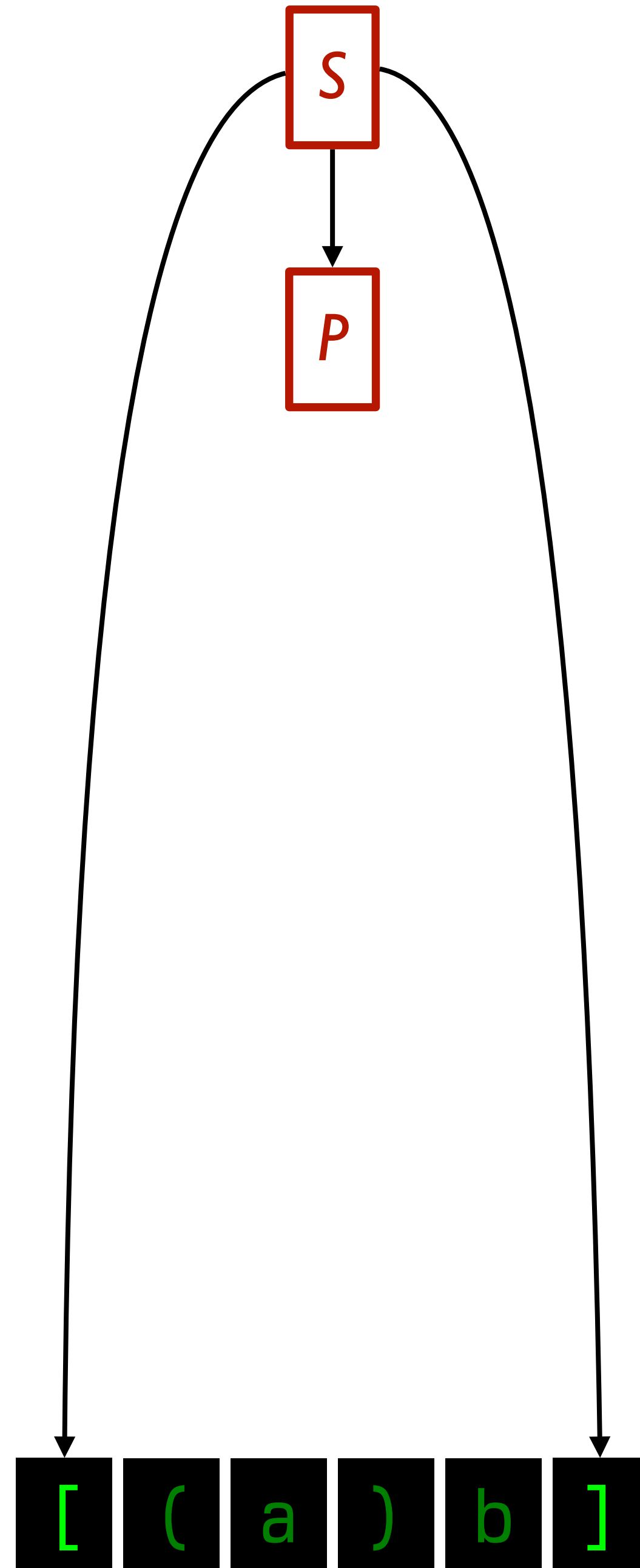
$R \rightarrow (P) \mid b$

[(a) b]

$S \rightarrow [P]$

$P \rightarrow RR \mid a$

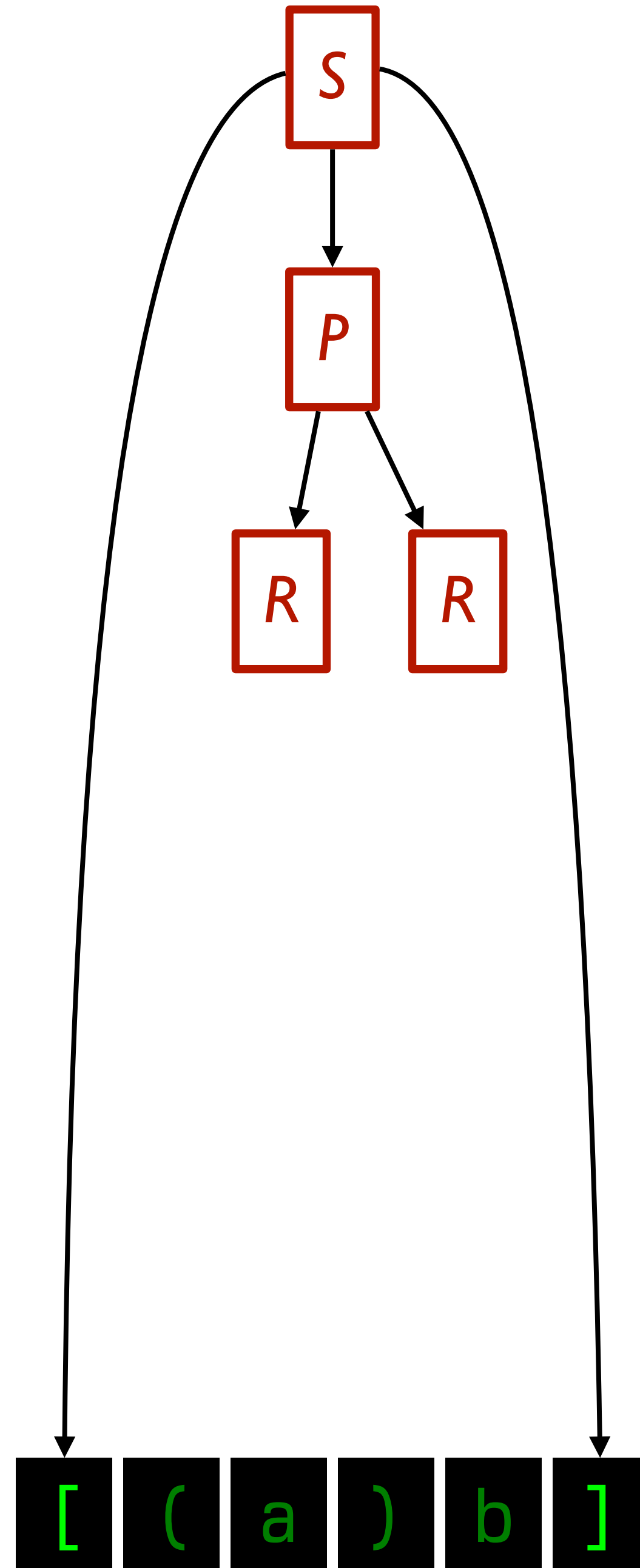
$R \rightarrow (P) \mid b$



$S \rightarrow [P]$

$P \rightarrow RR \mid a$

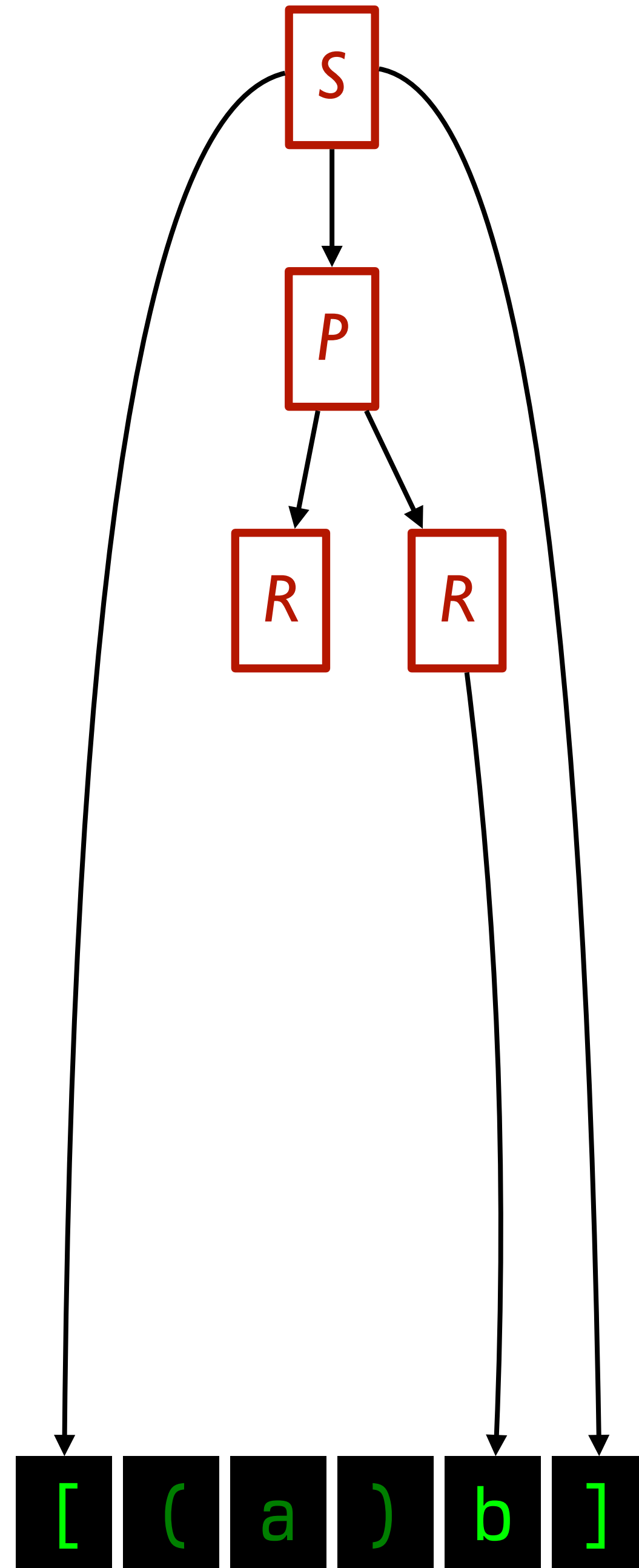
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$S \rightarrow [P]$

$P \rightarrow RR \mid a$

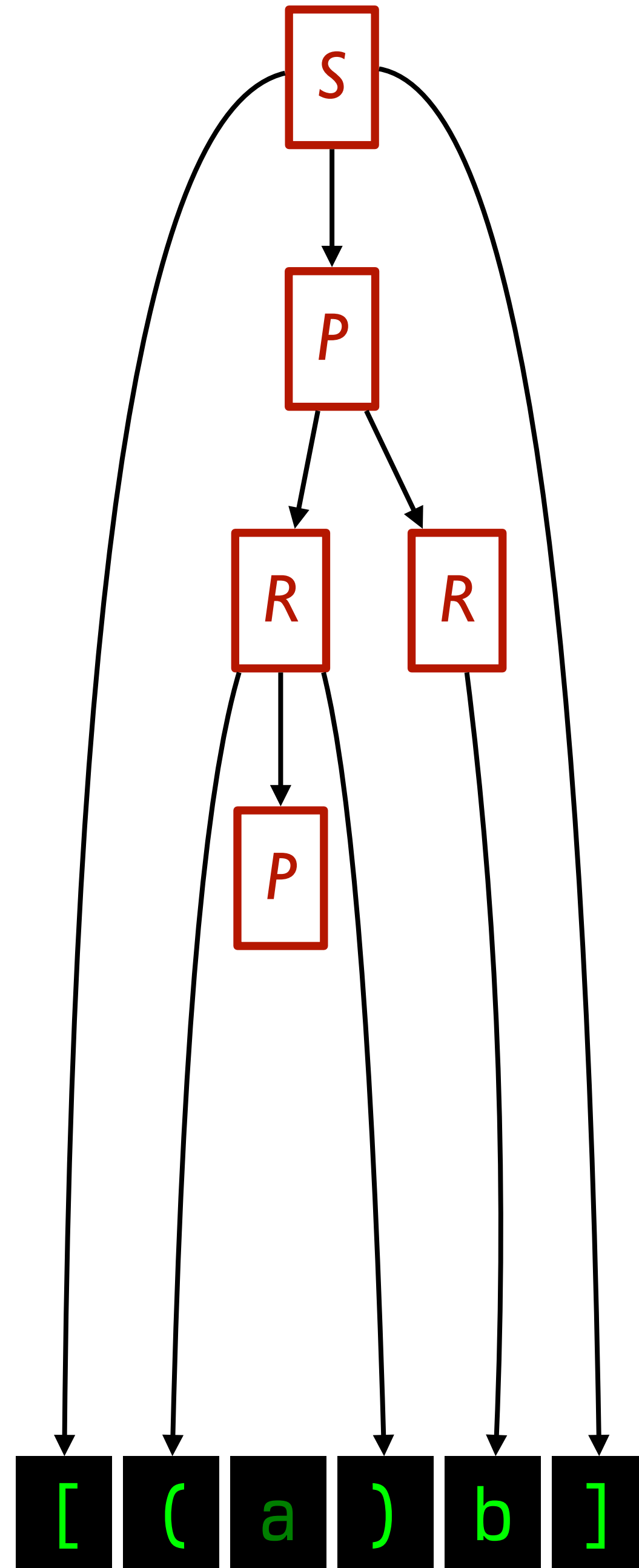
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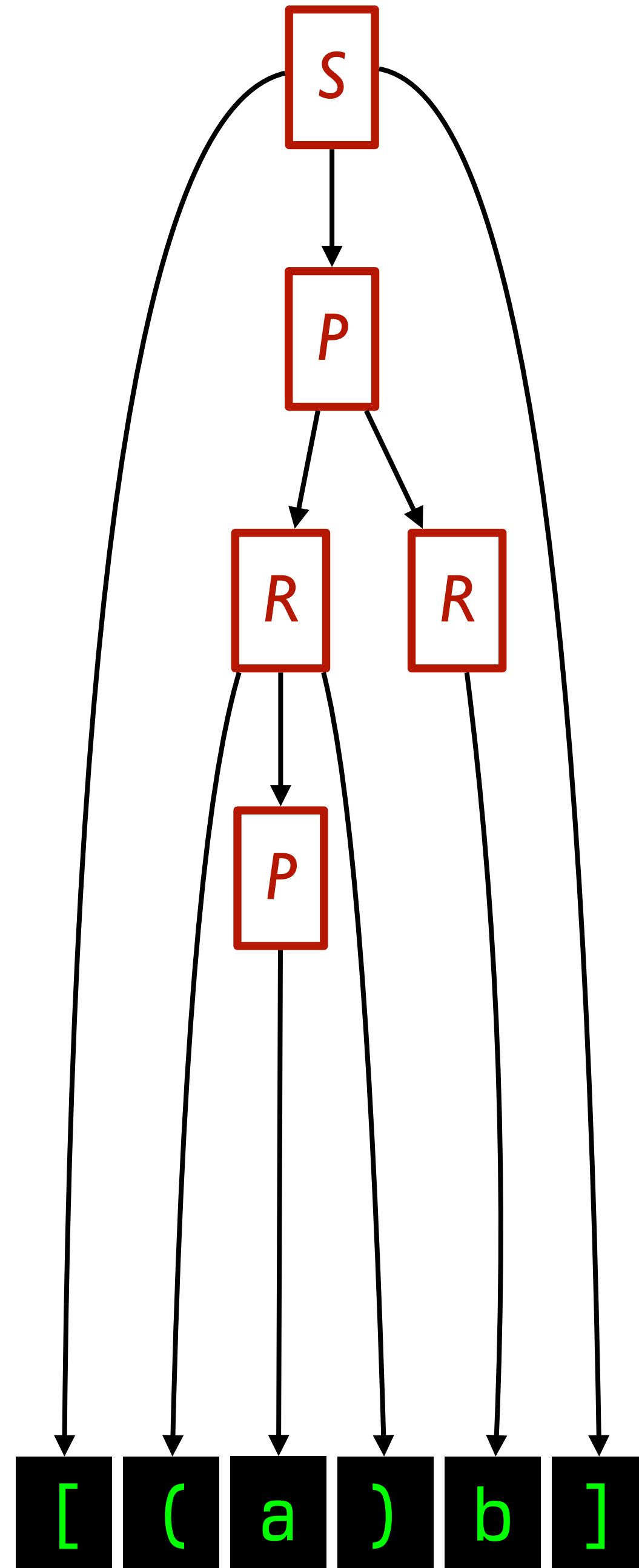
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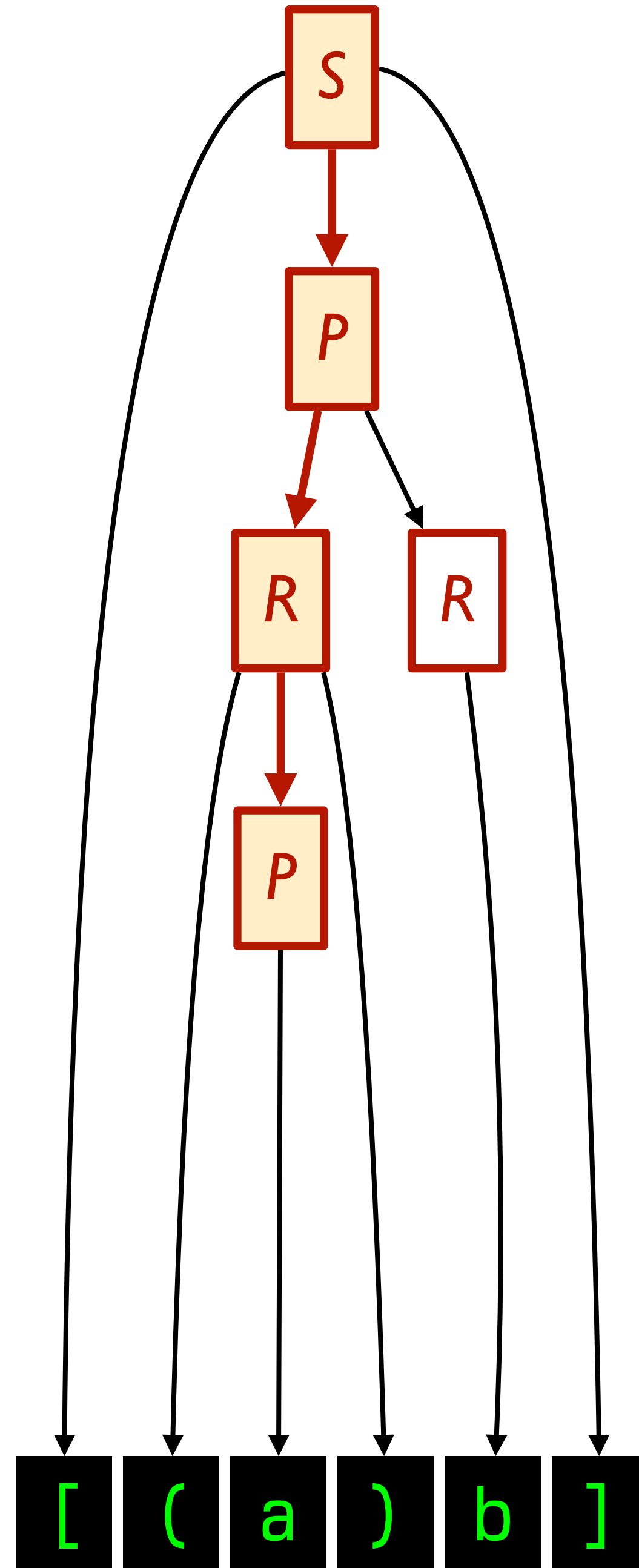
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$S \rightarrow [P]$

$P \rightarrow RR \mid a$

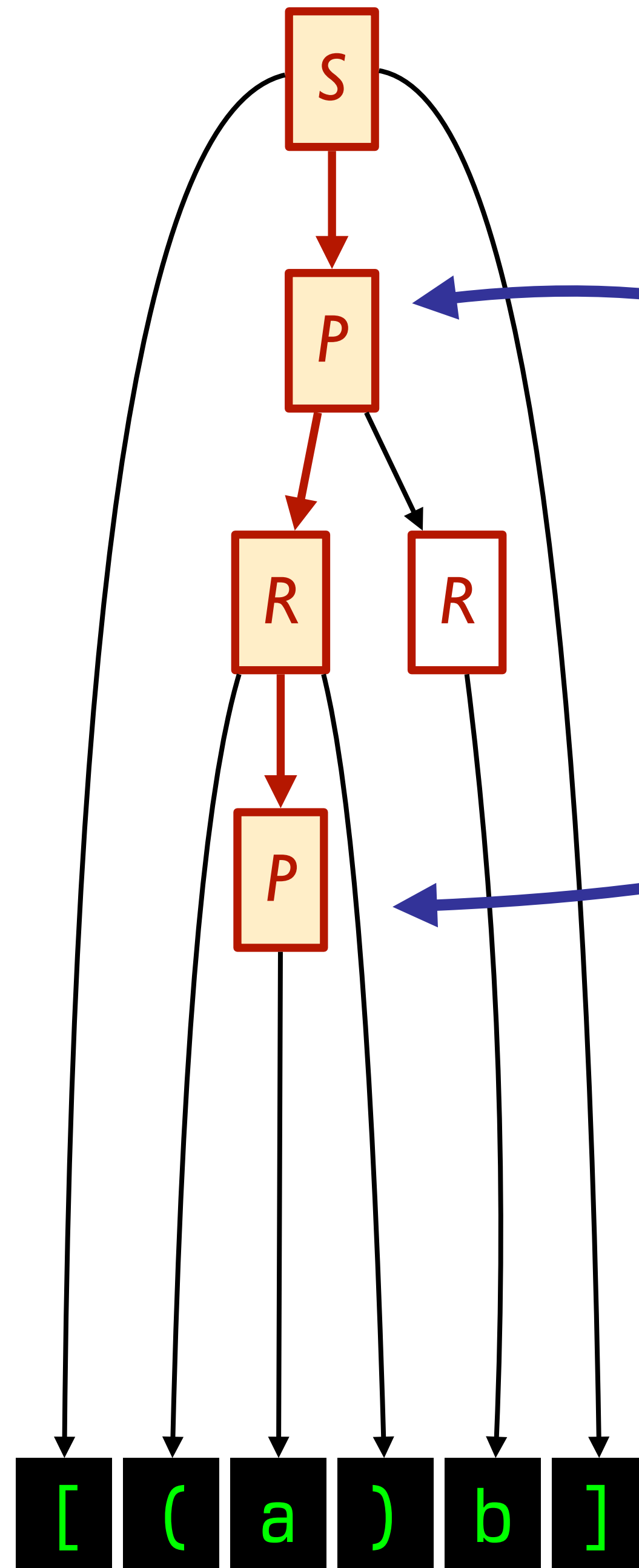
$R \rightarrow (P) \mid b$



$S \rightarrow [P]$

$P \rightarrow RR \mid a$

$R \rightarrow (P) \mid b$

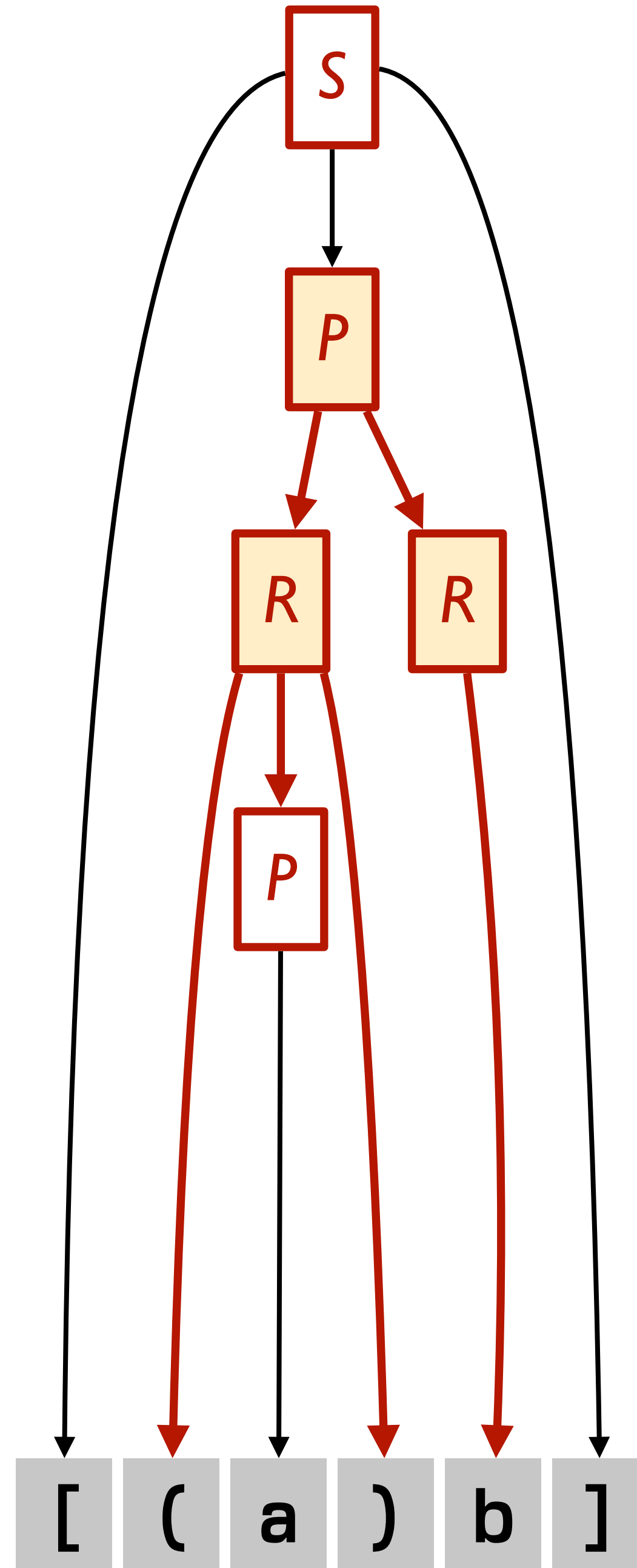


The variable P appears twice.

$S \rightarrow [P]$

$P \rightarrow RR \mid a$

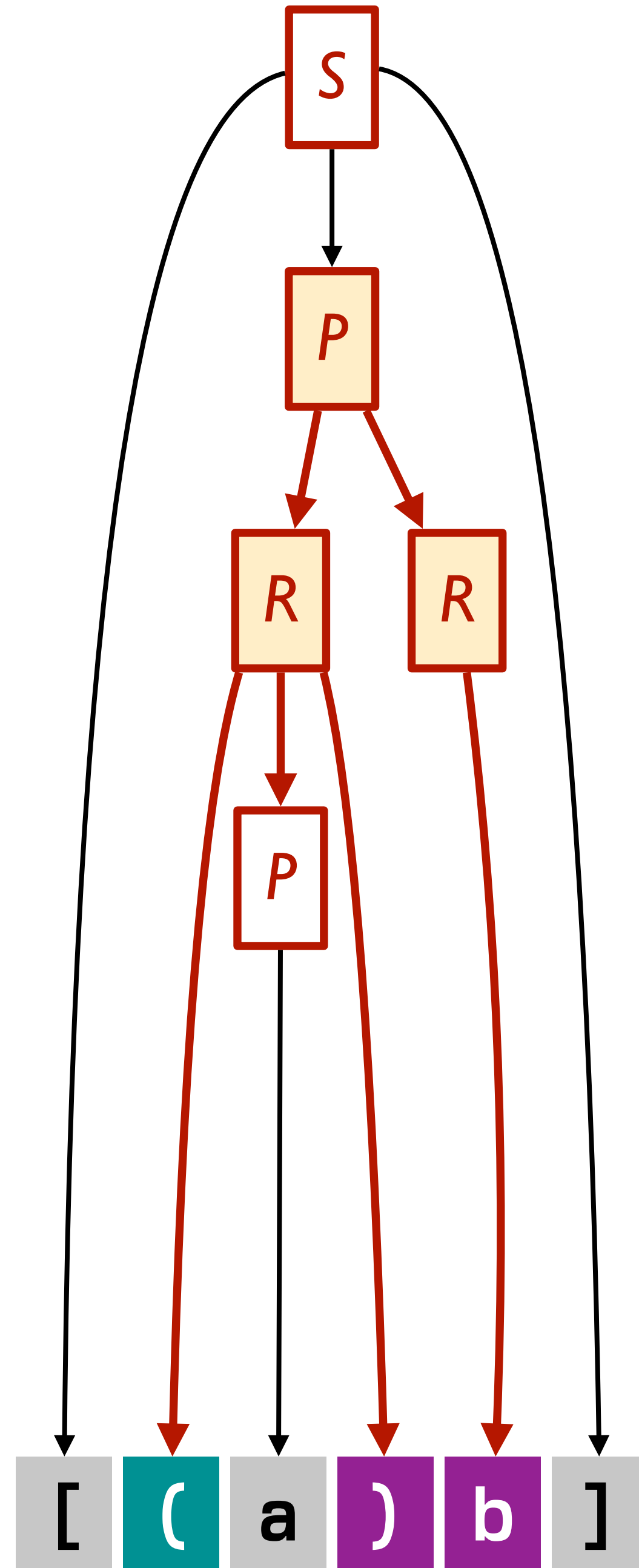
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$S \rightarrow [P]$

$P \rightarrow RR \mid a$

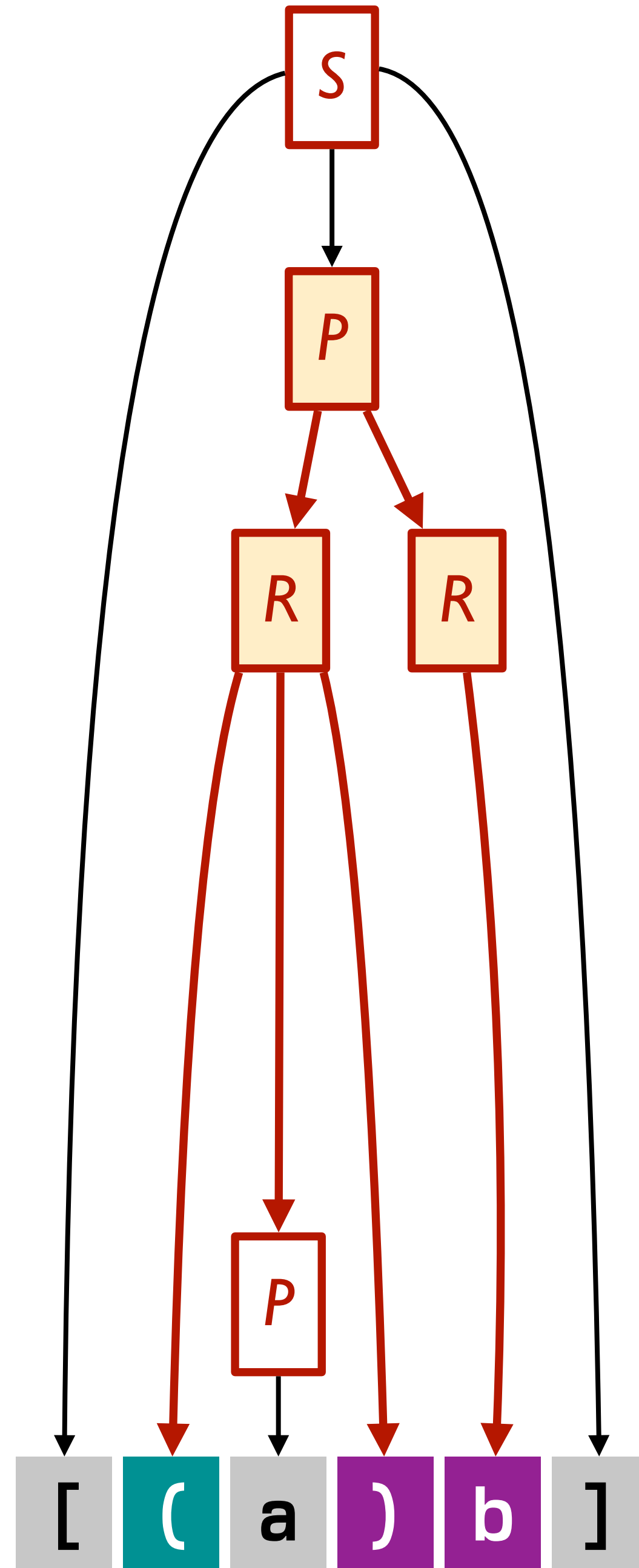
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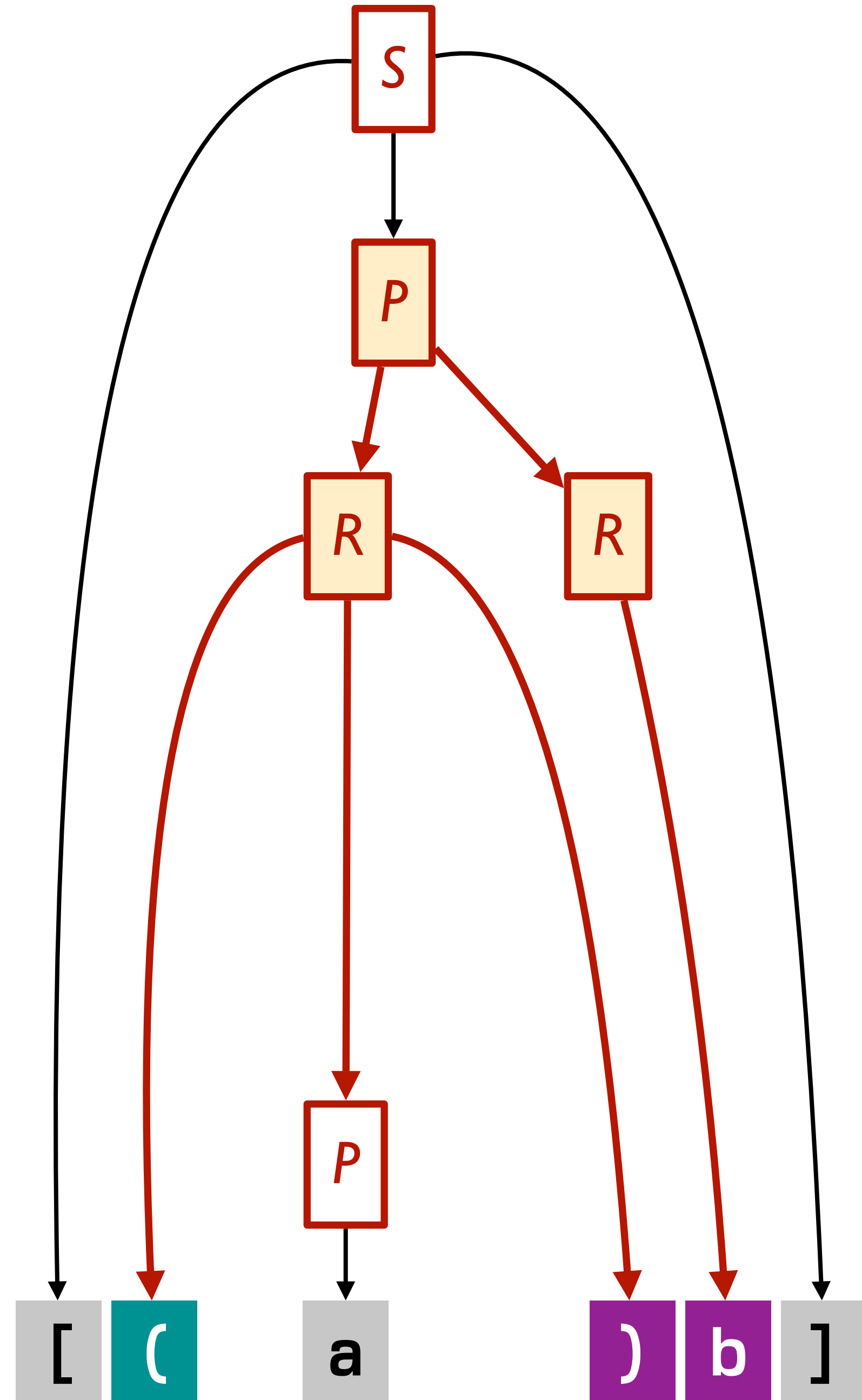
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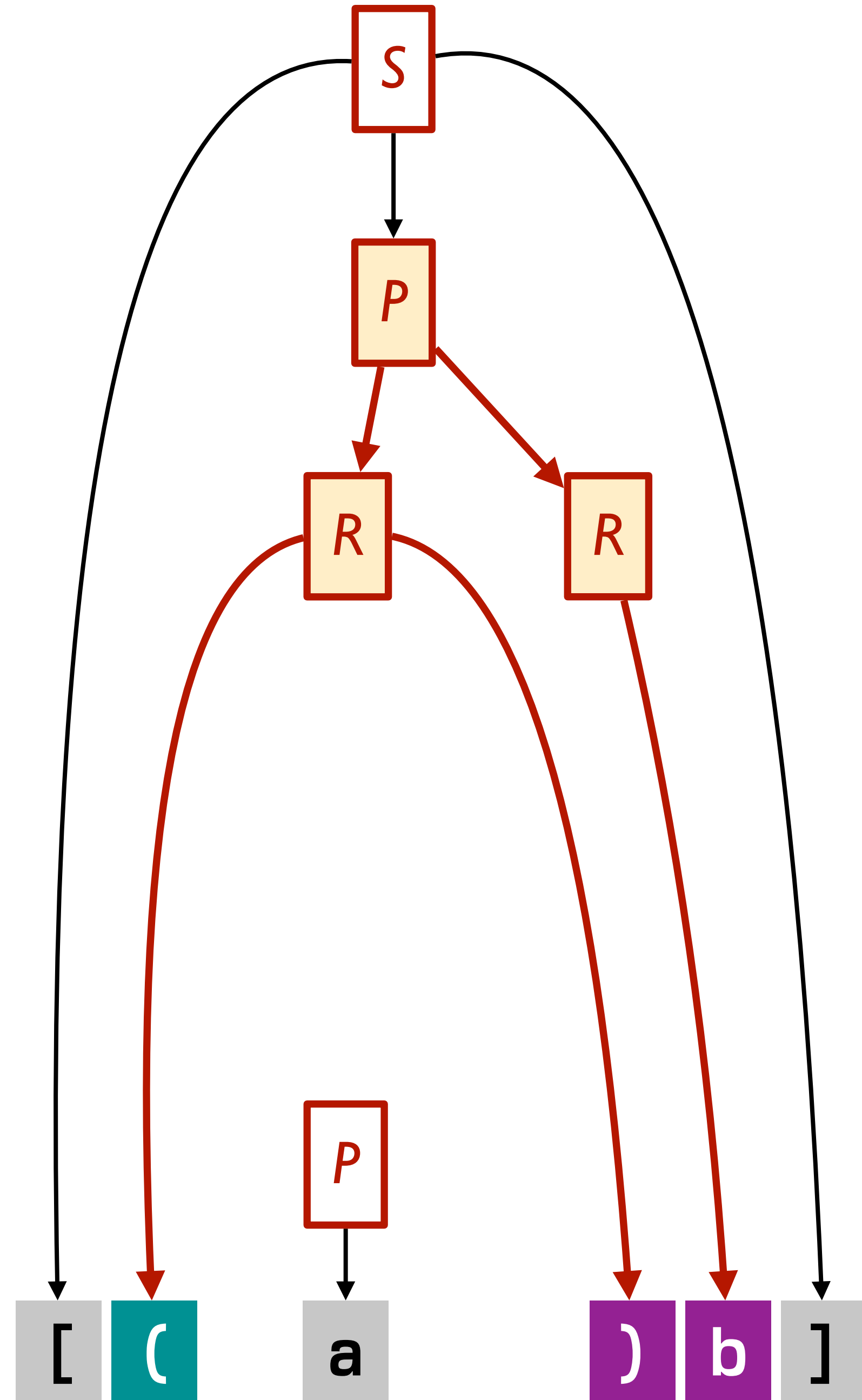
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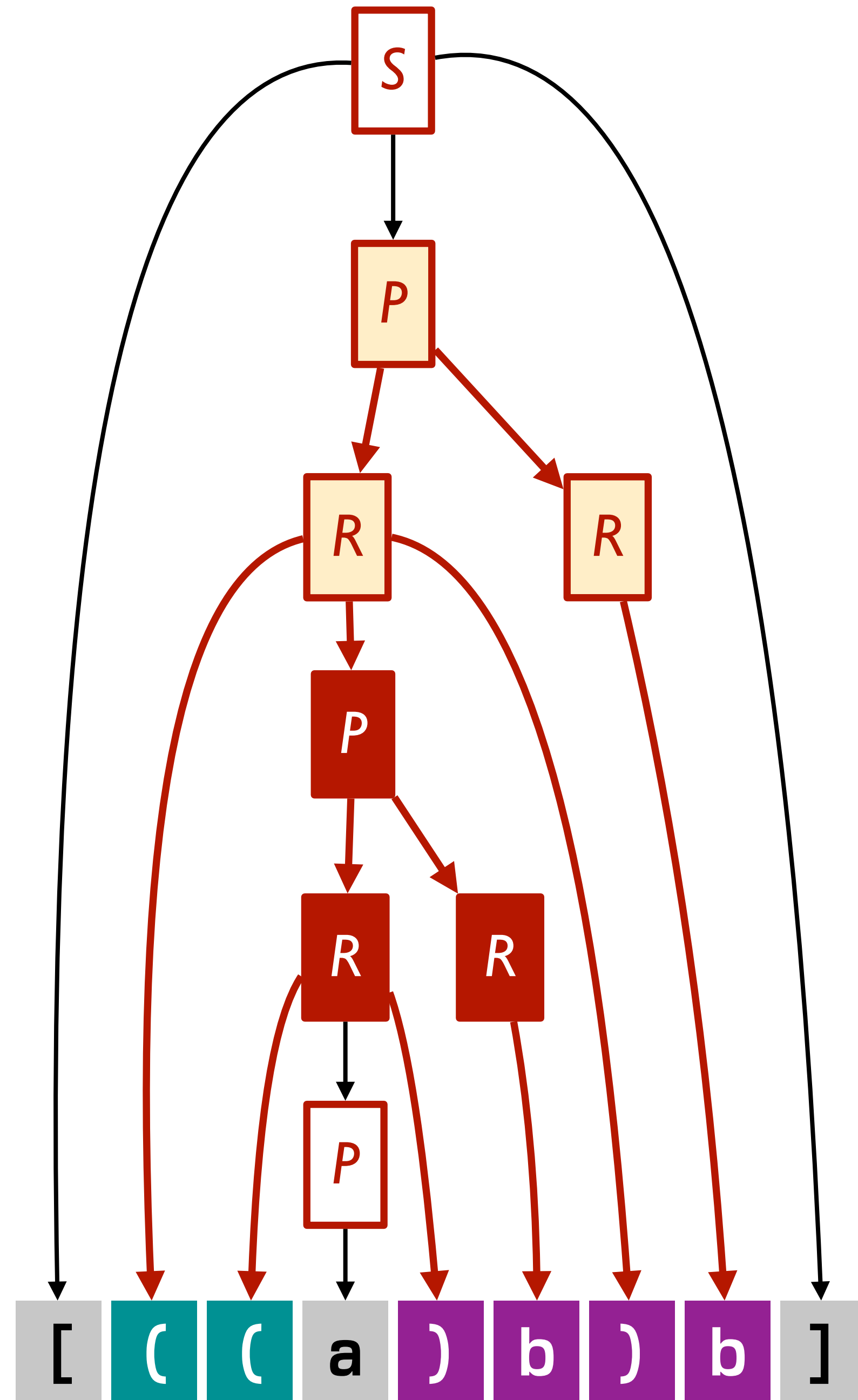
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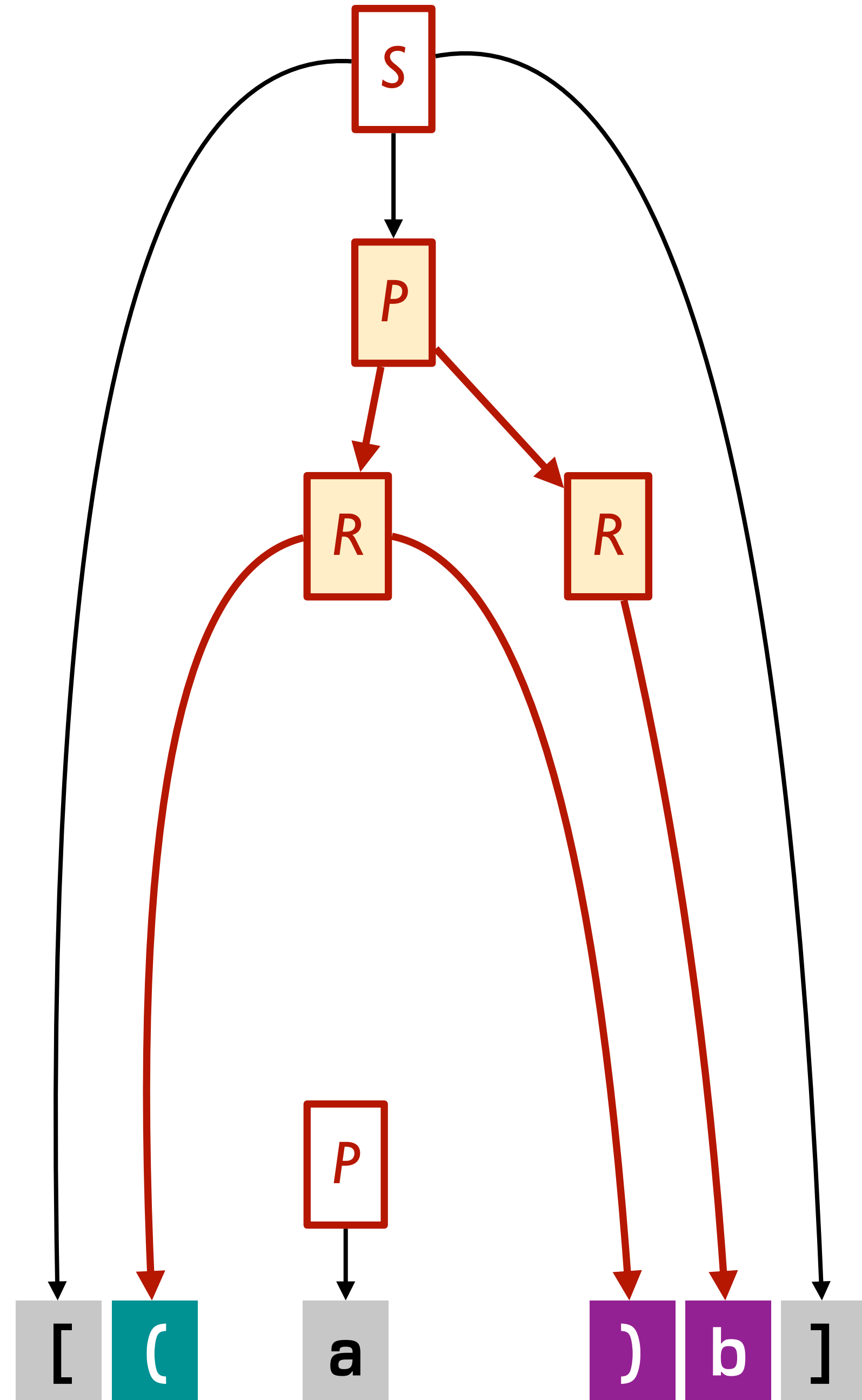
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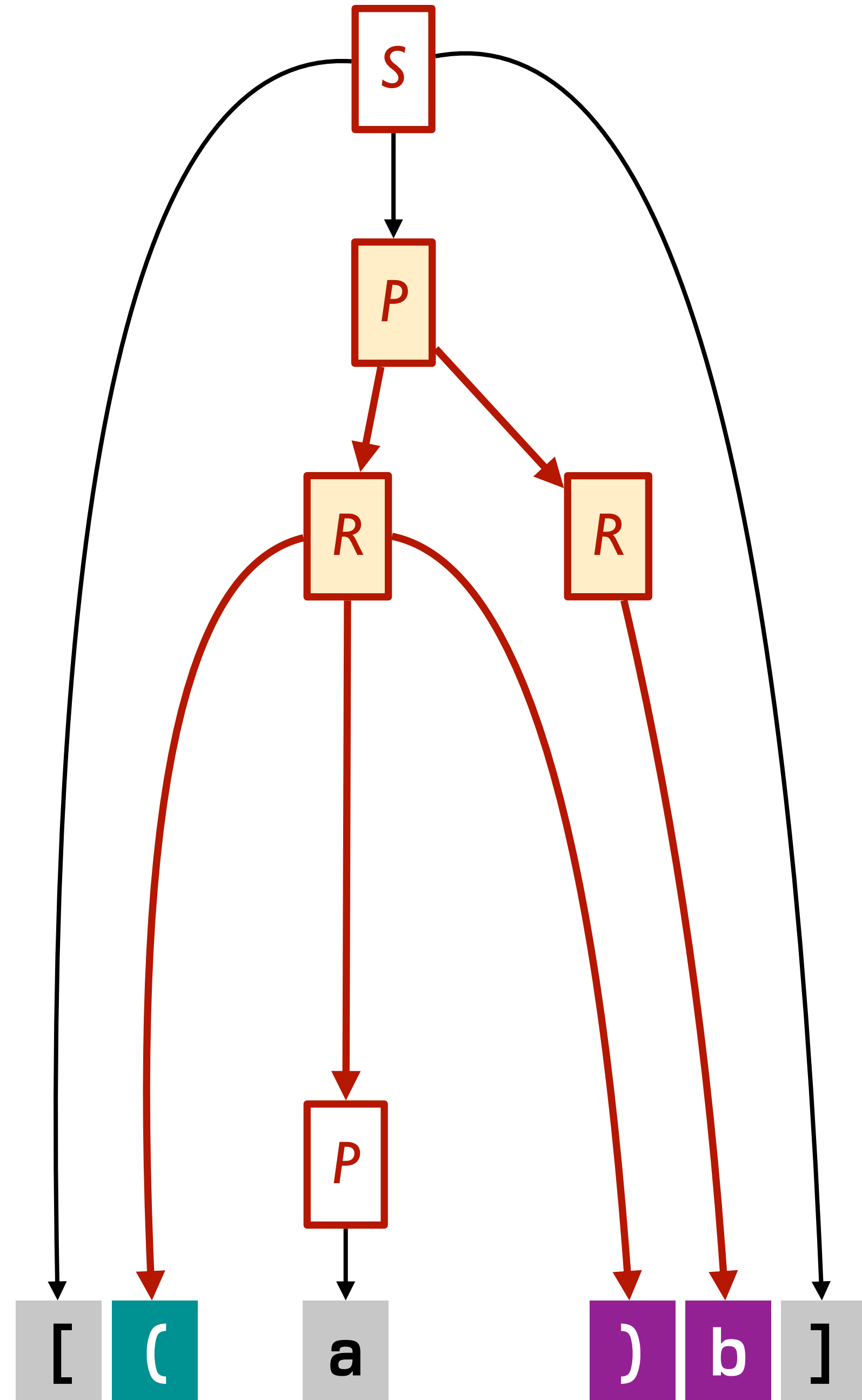
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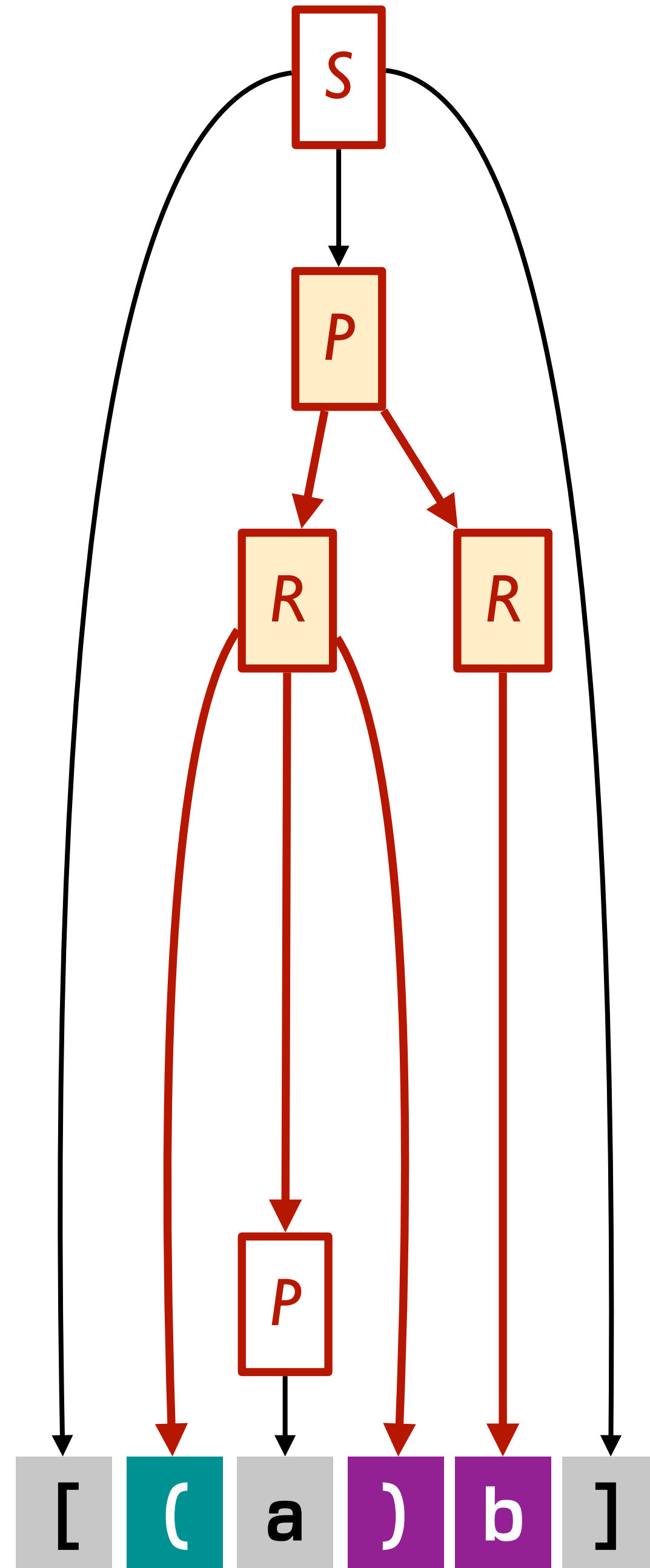
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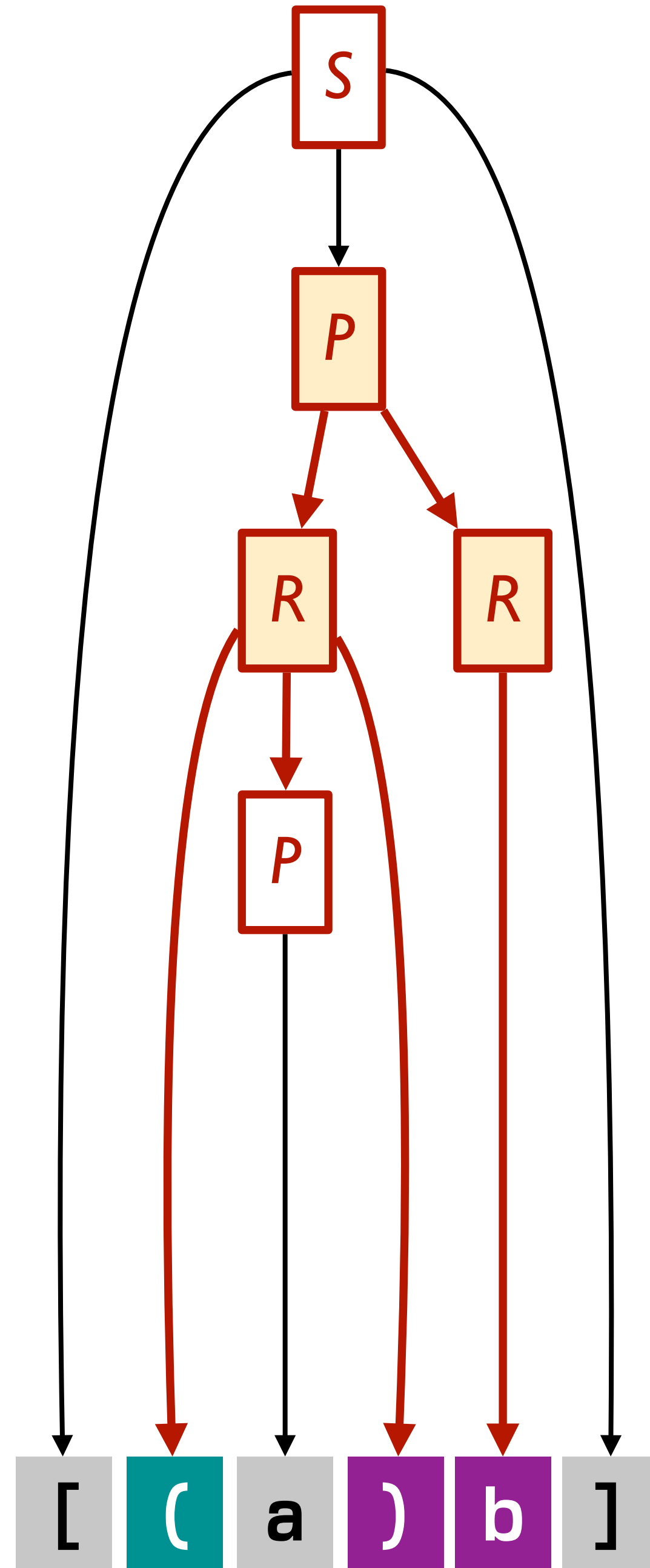
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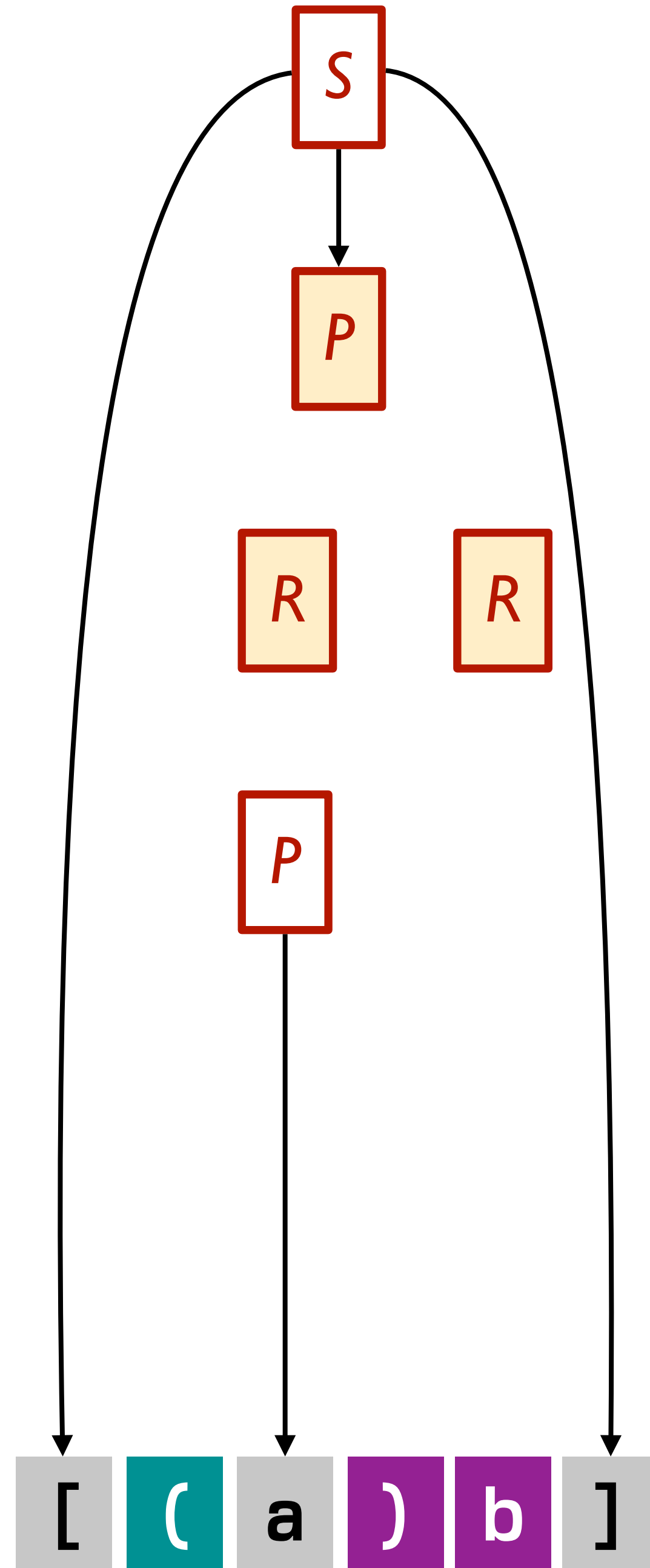
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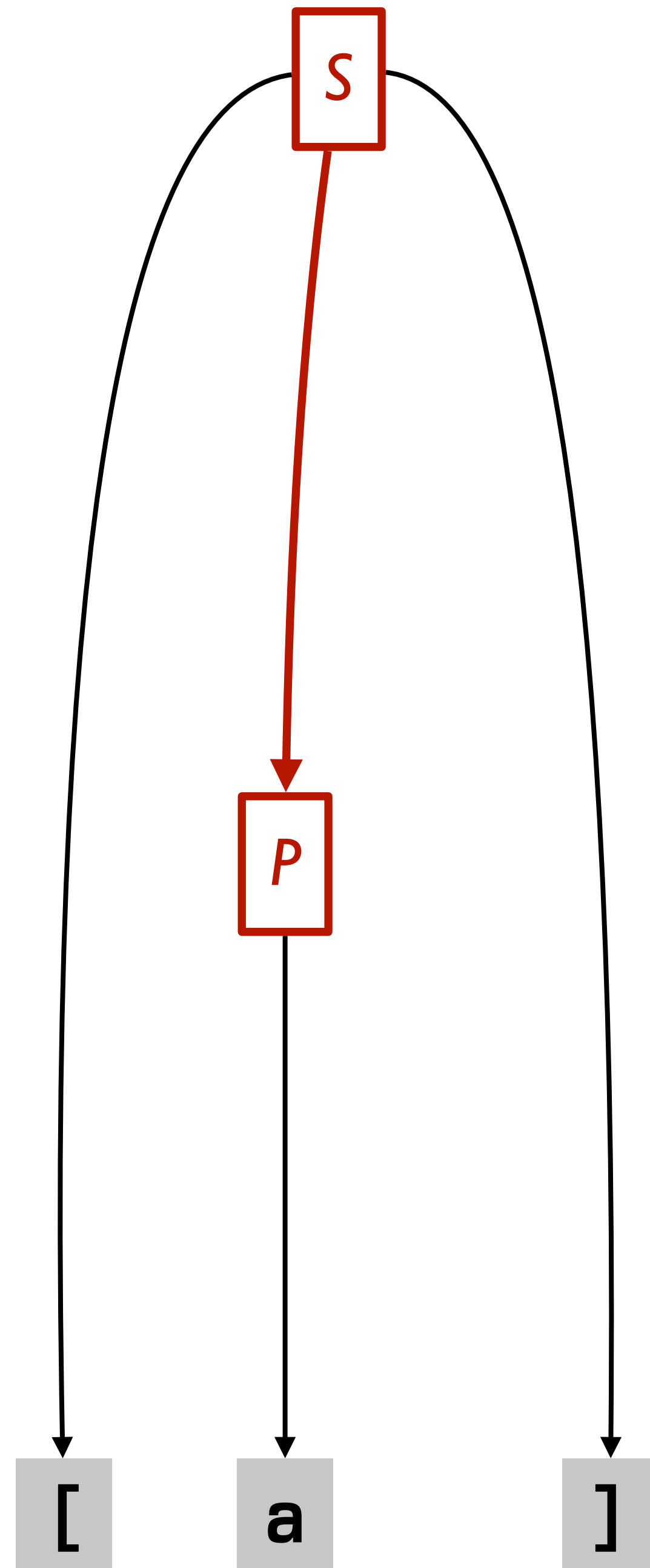
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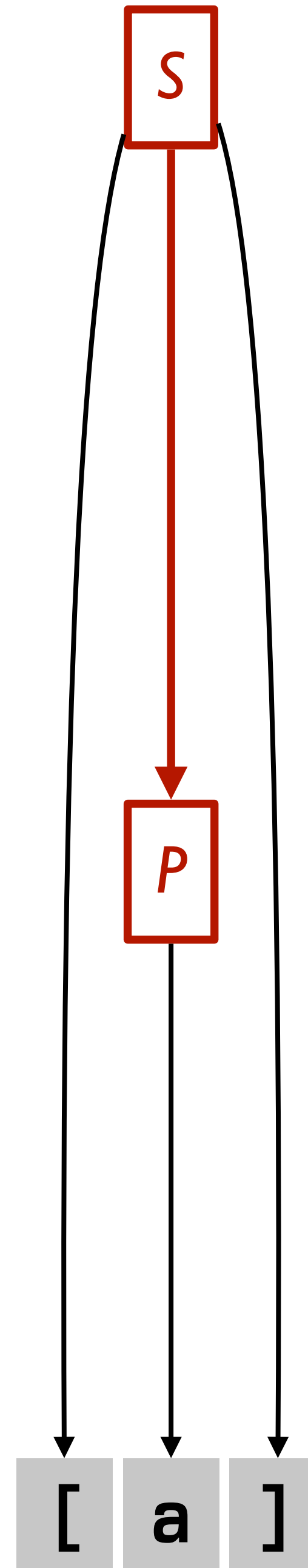
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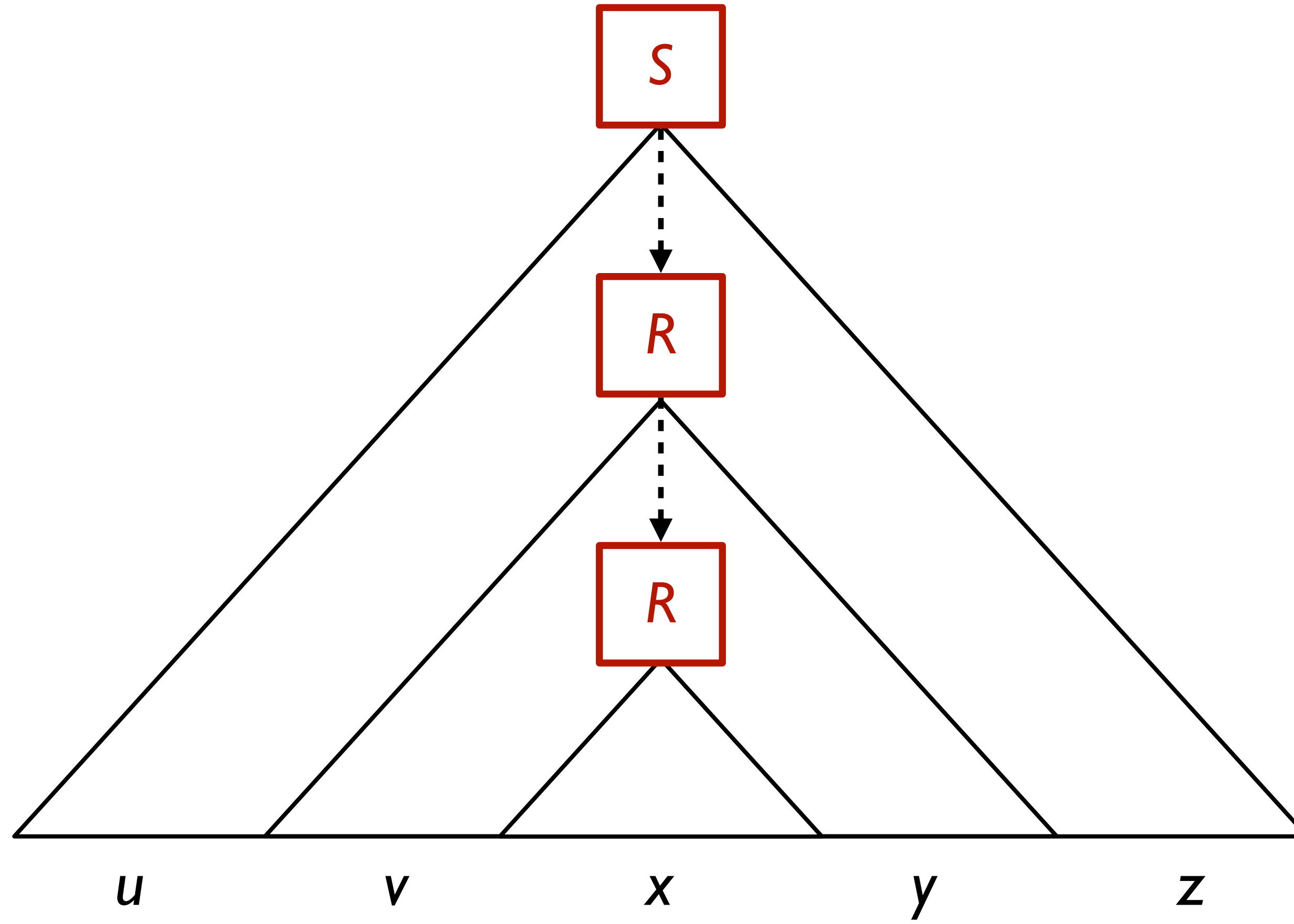


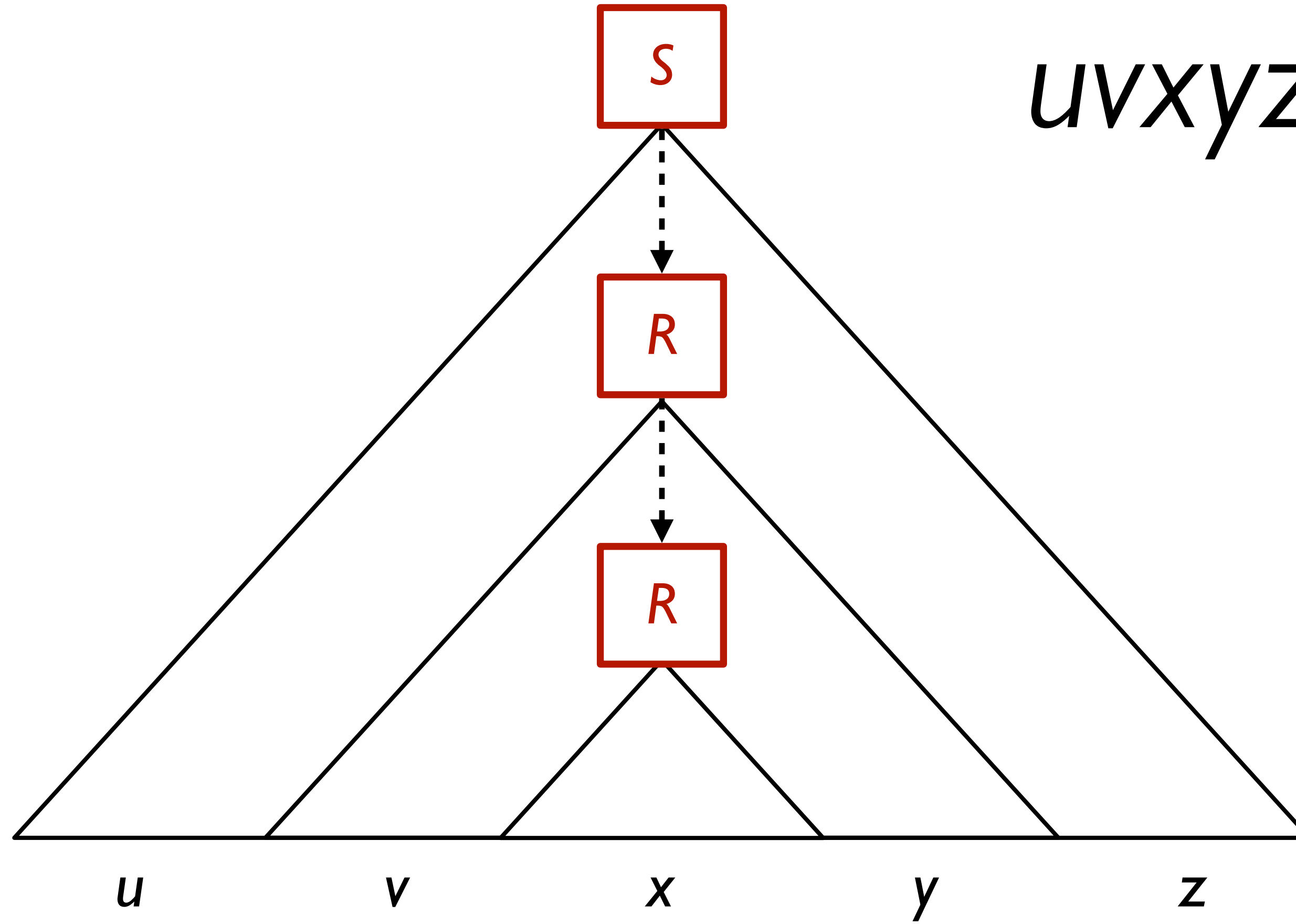
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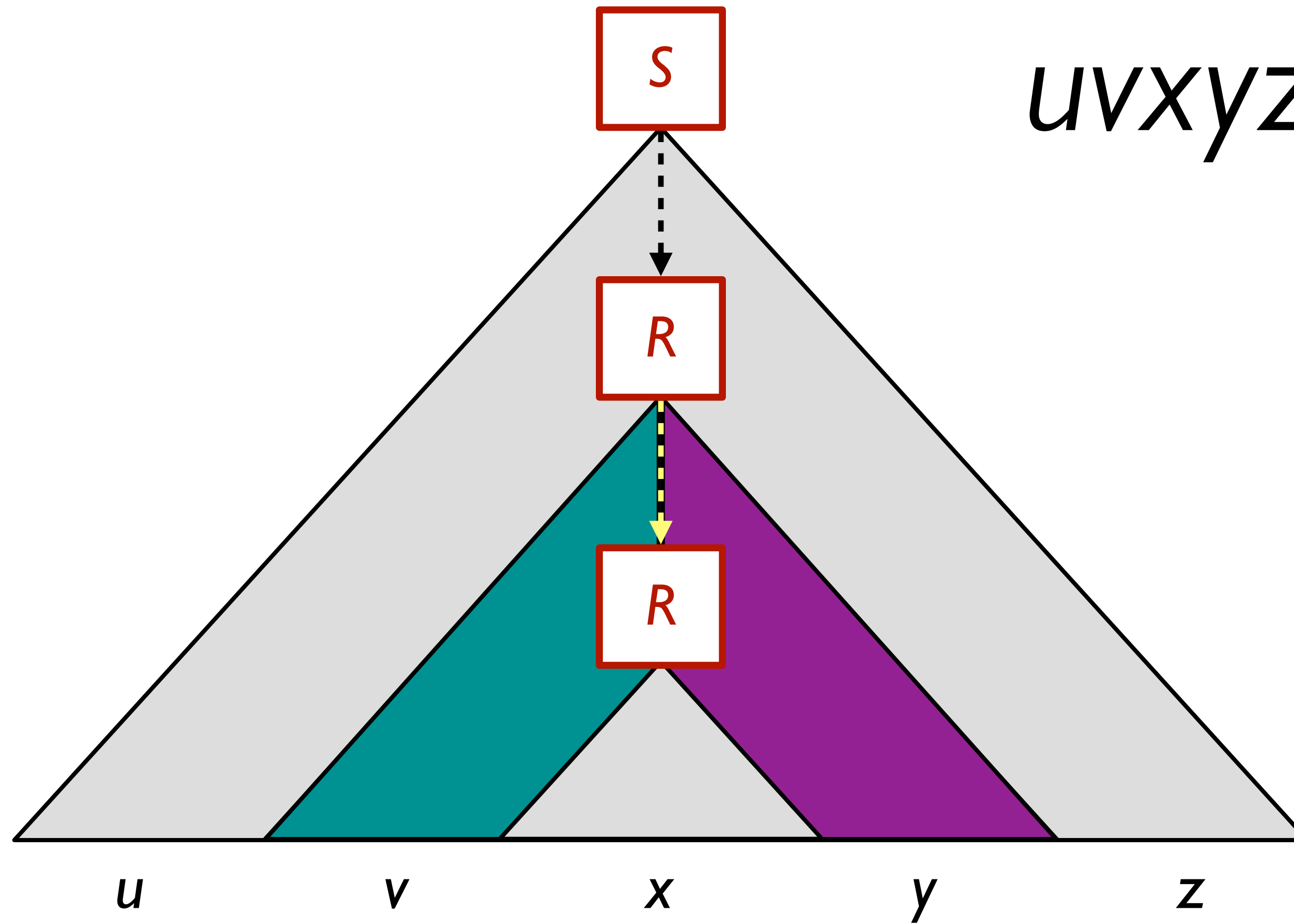
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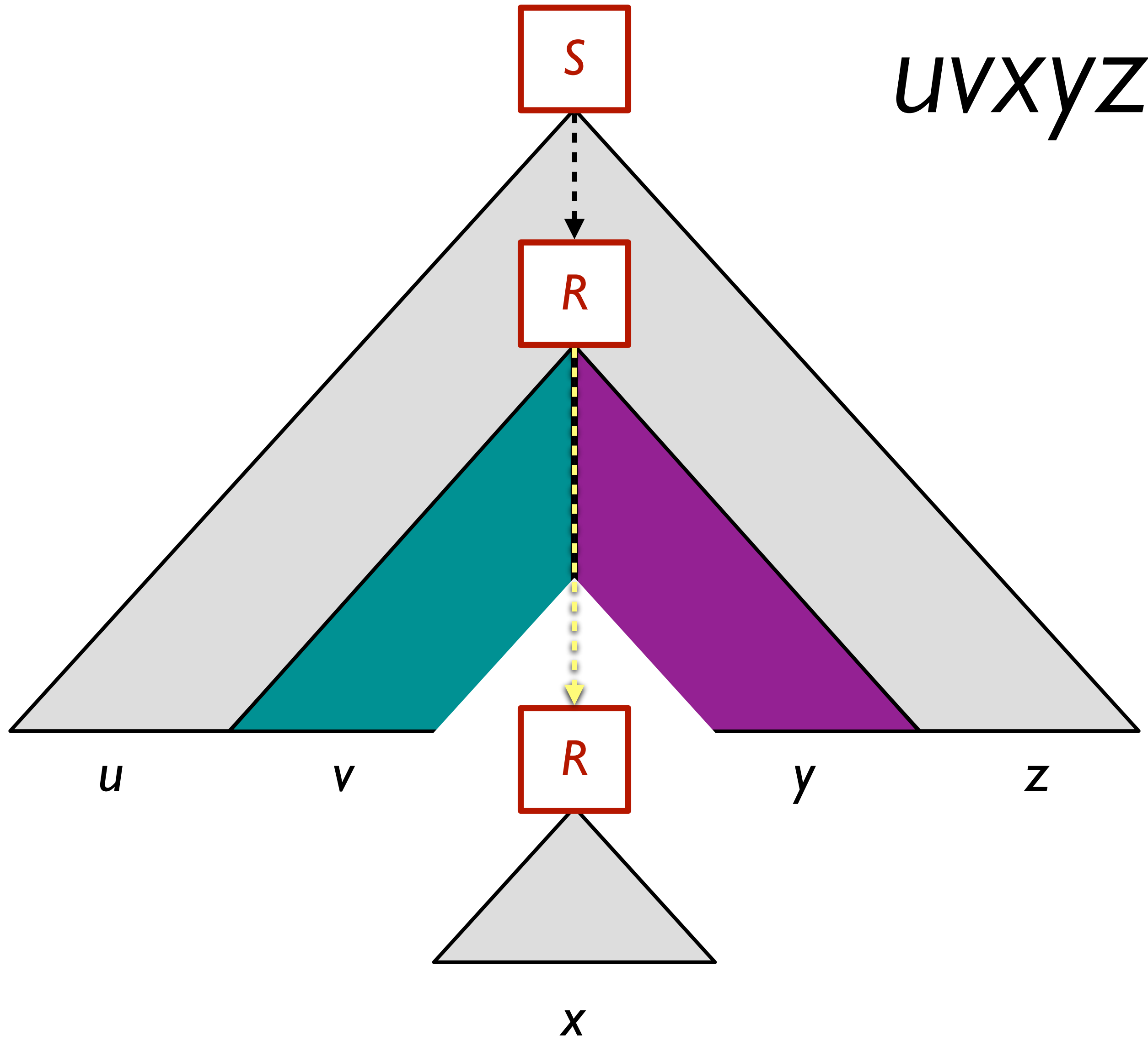


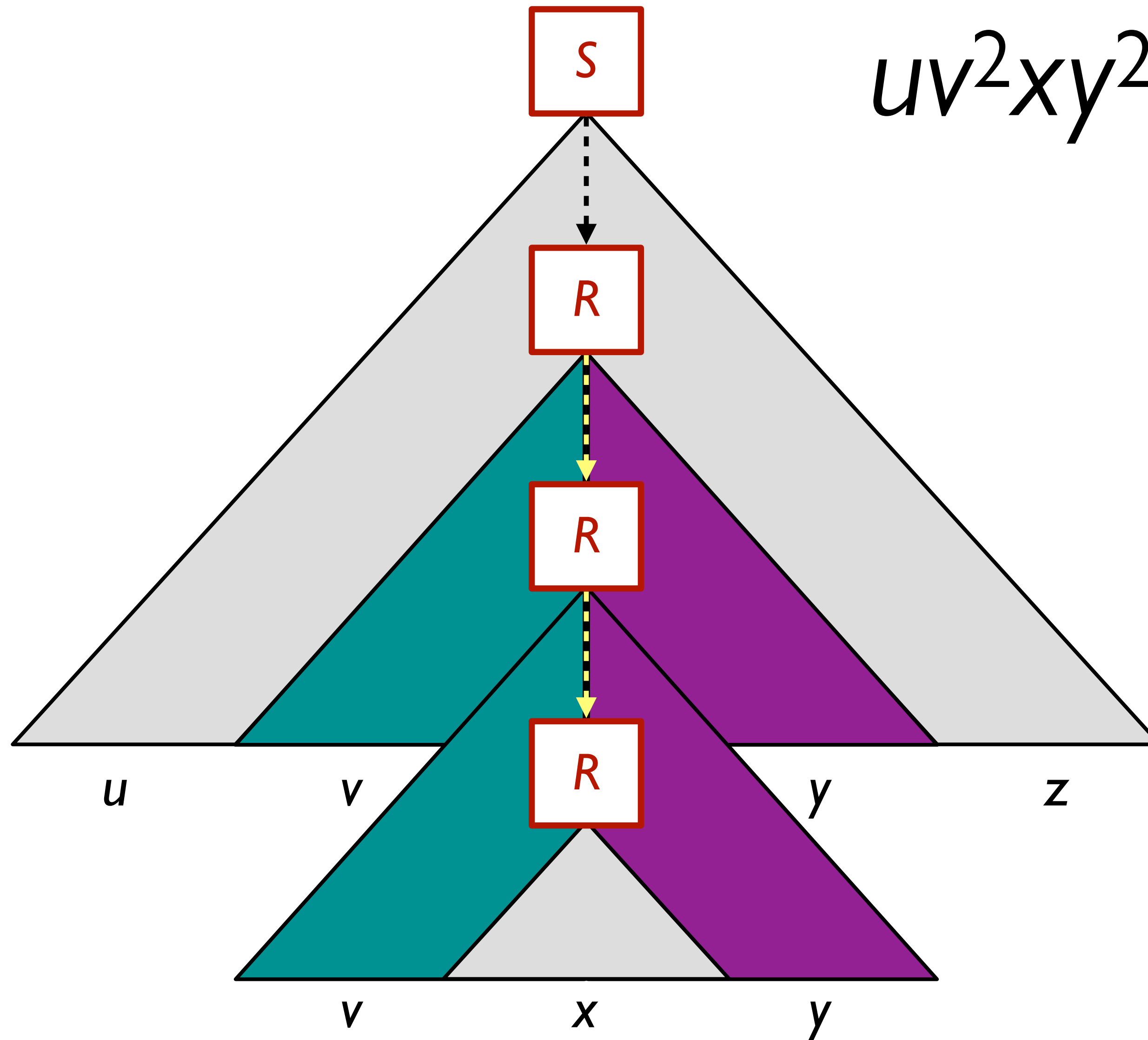
$uvxyz \in L$



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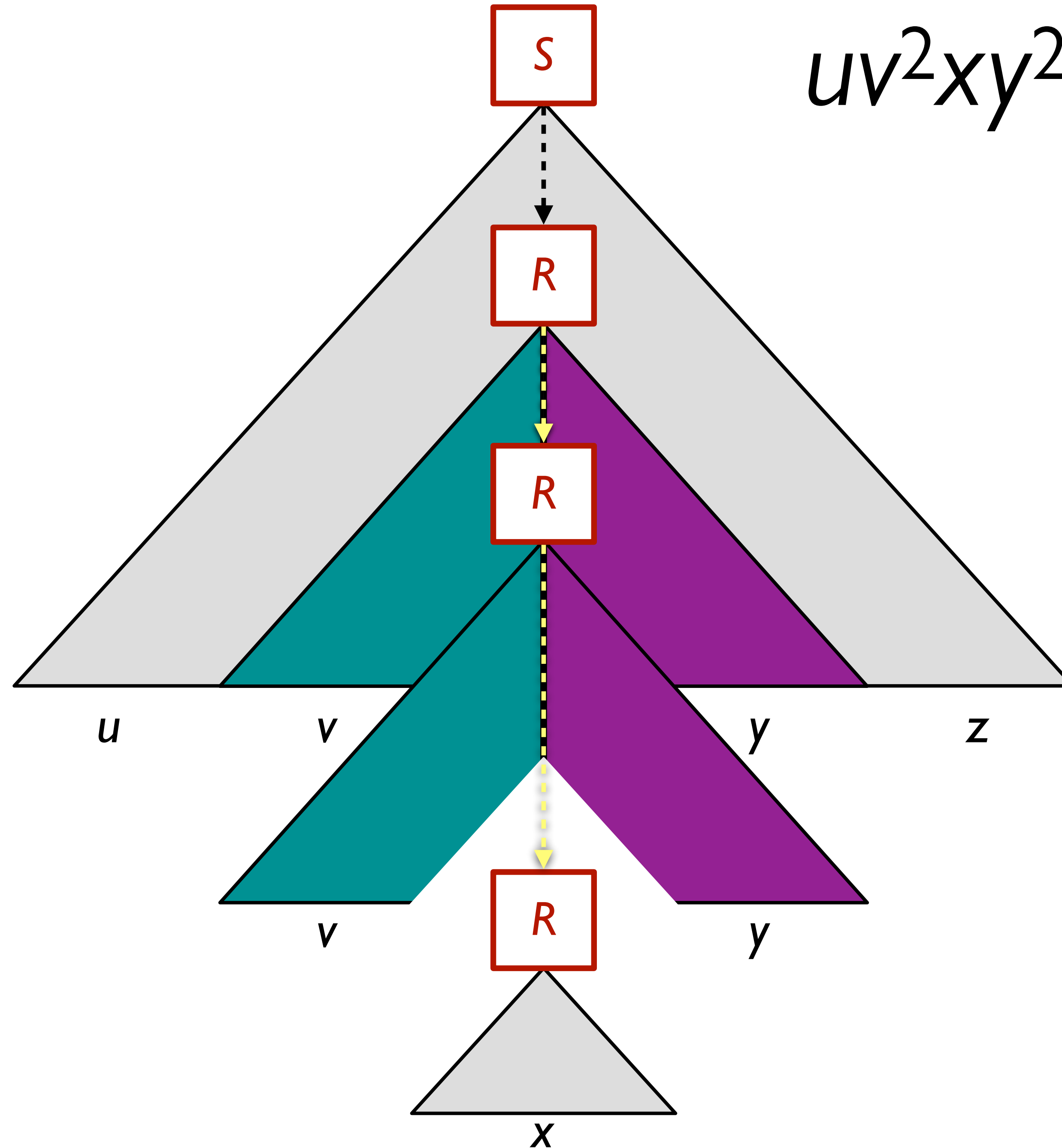
$uvwxyz \in L$





$$uv^2xy^2z \in L$$

$$uv^2xy^2z \in L$$



S

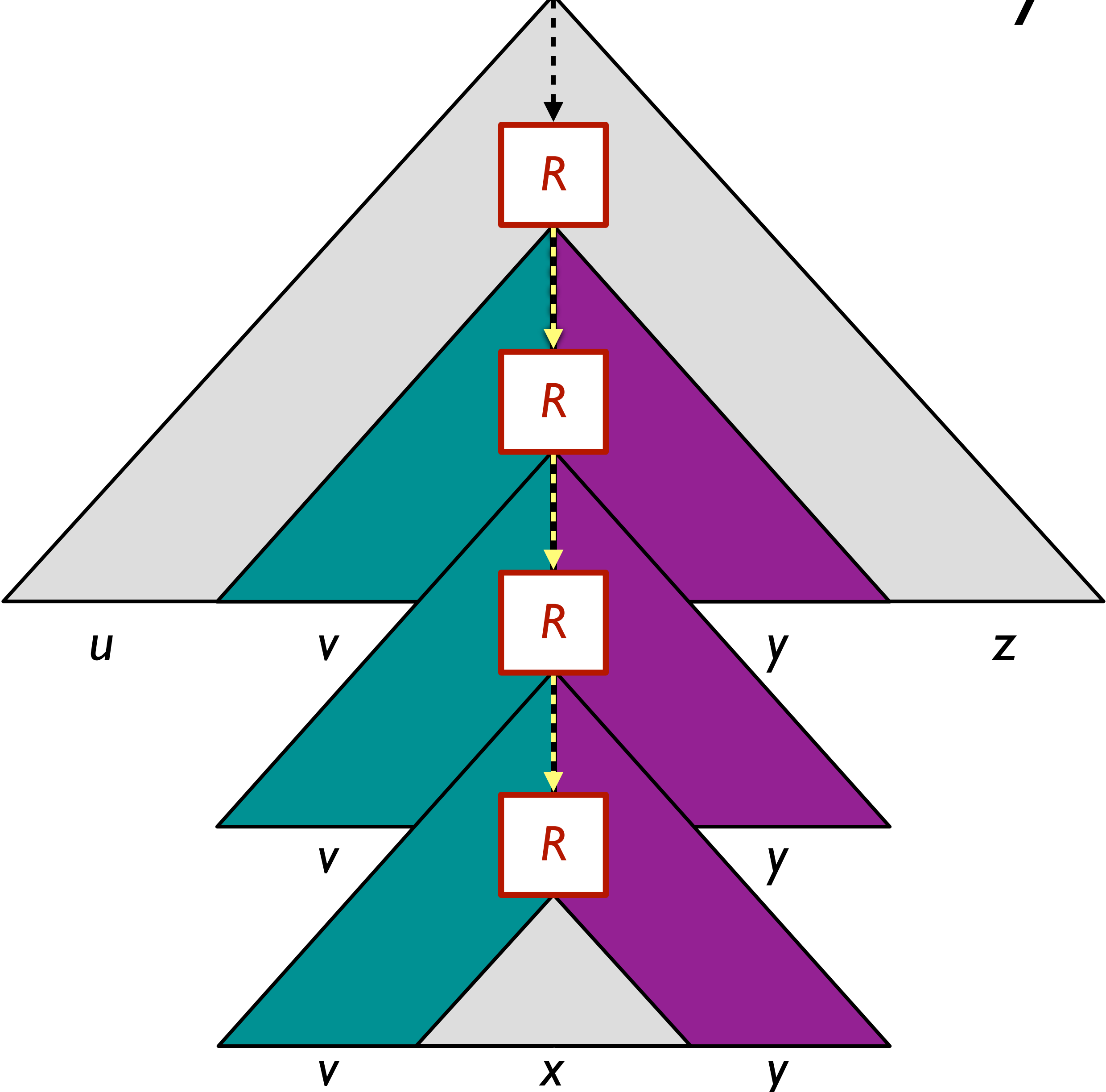
$$uv^3xy^3z \in L$$

R

R

R

R



u

v

y

z

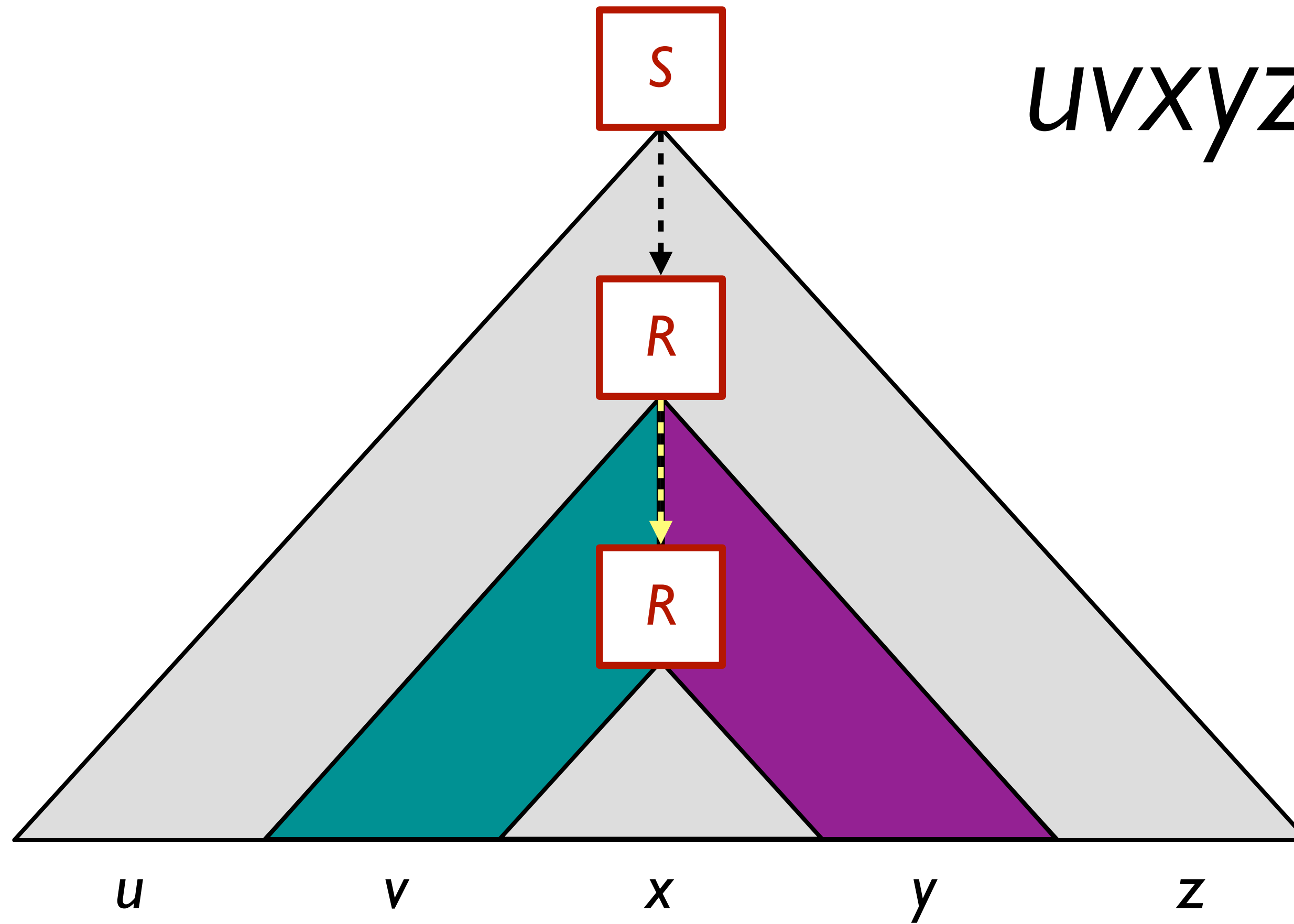
v

y

v

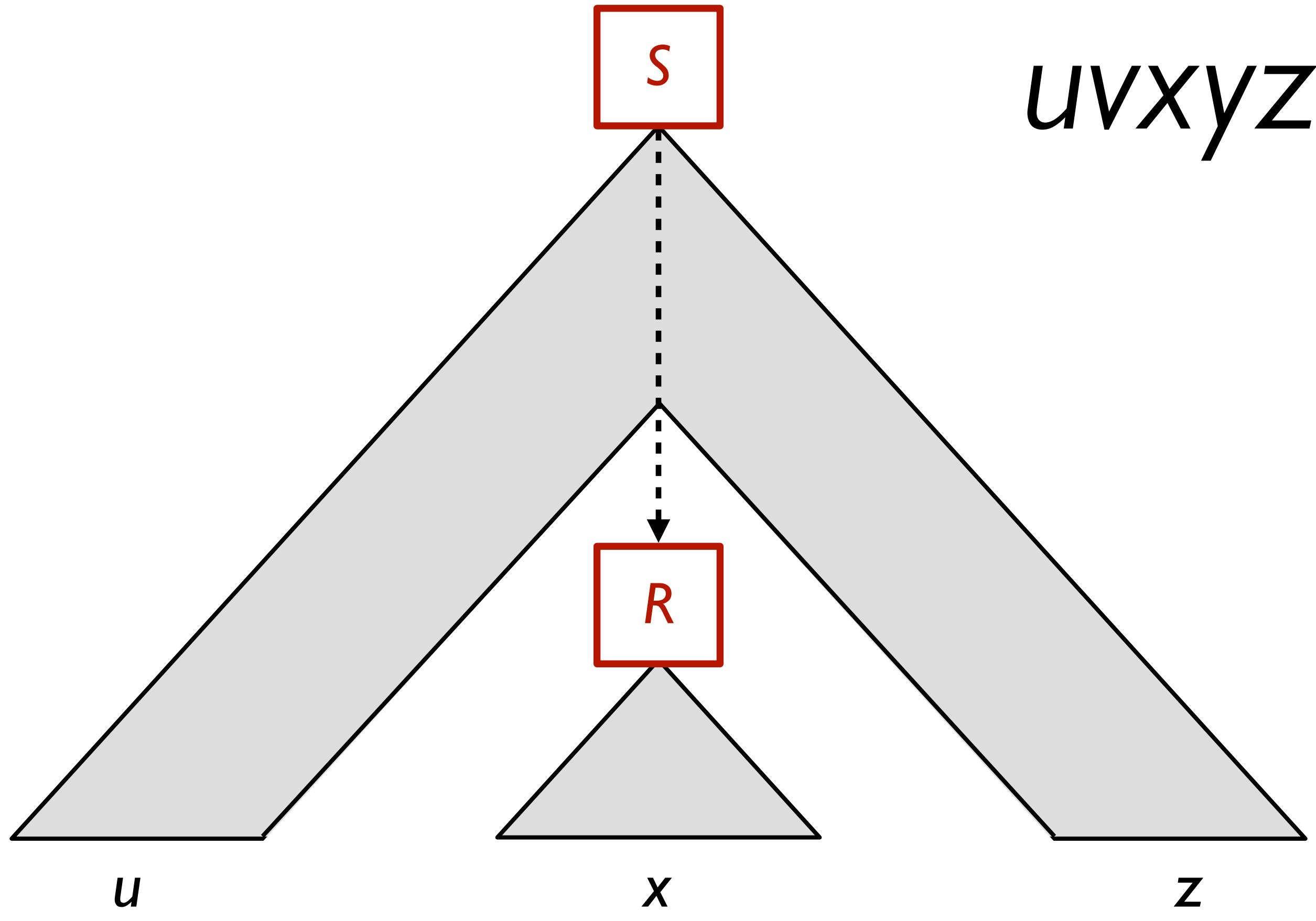
x

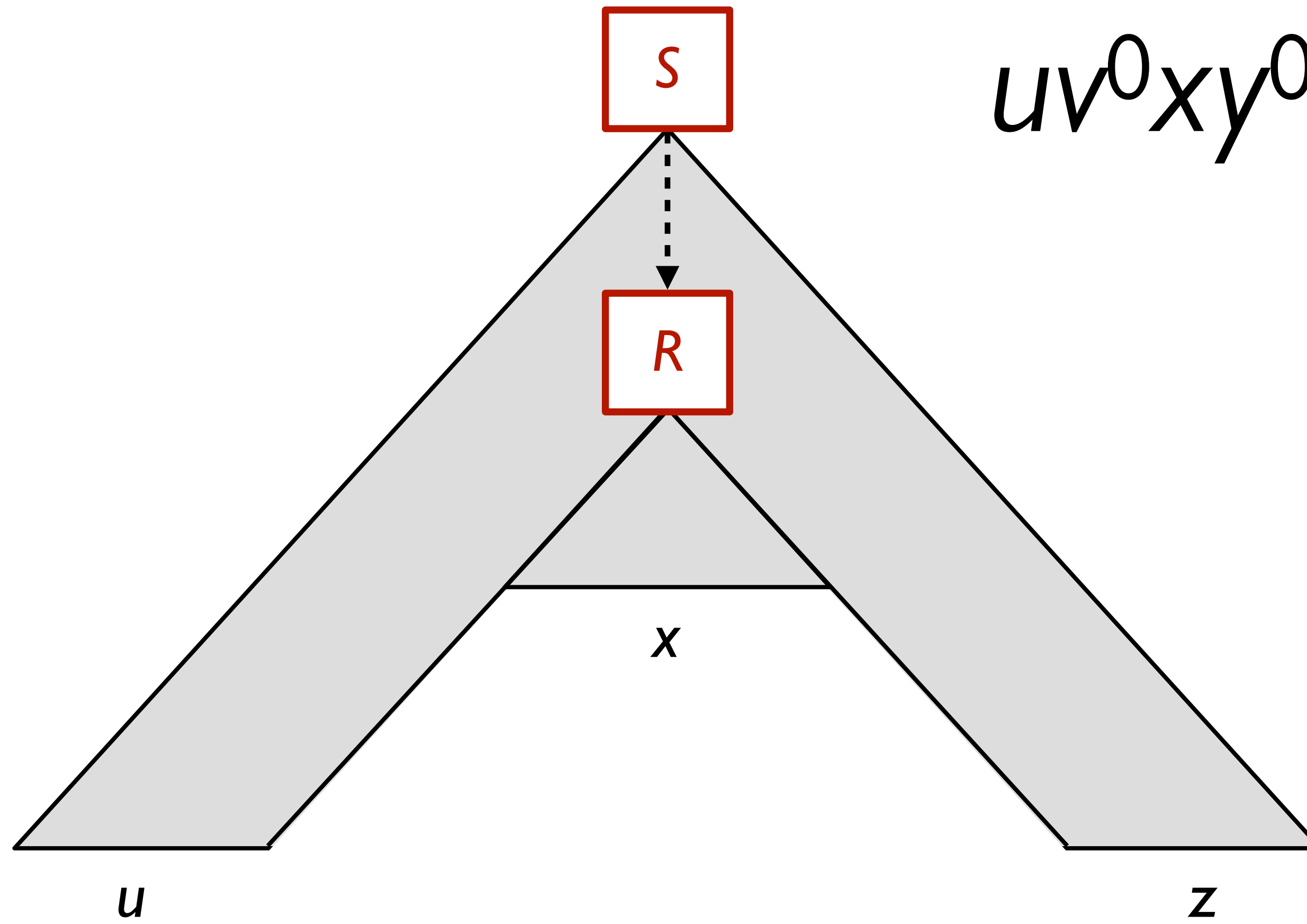
y



$uvxyz \in L$

$uvwxyz \in L$





$$uv^0xy^0z \in L$$

Anna strikes again



Anna: The language $B = \{a^n b^n c^n \mid n \in \mathbb{N}_0\}$ is not context-free.

Elsa: Oh, yes it is!

Anna: Do you have a context-free grammar that generates it?

Elsa: Of course! Here it is:

$A \rightarrow aA$

$A \rightarrow aB$

$B \rightarrow bC$

$C \rightarrow BC$

$C \rightarrow c$

Anna: How many variables does your grammar have?

Elsa: Three.

Anna: What's the longest right-hand side for a rule?

Elsa: Two variables/terminals.

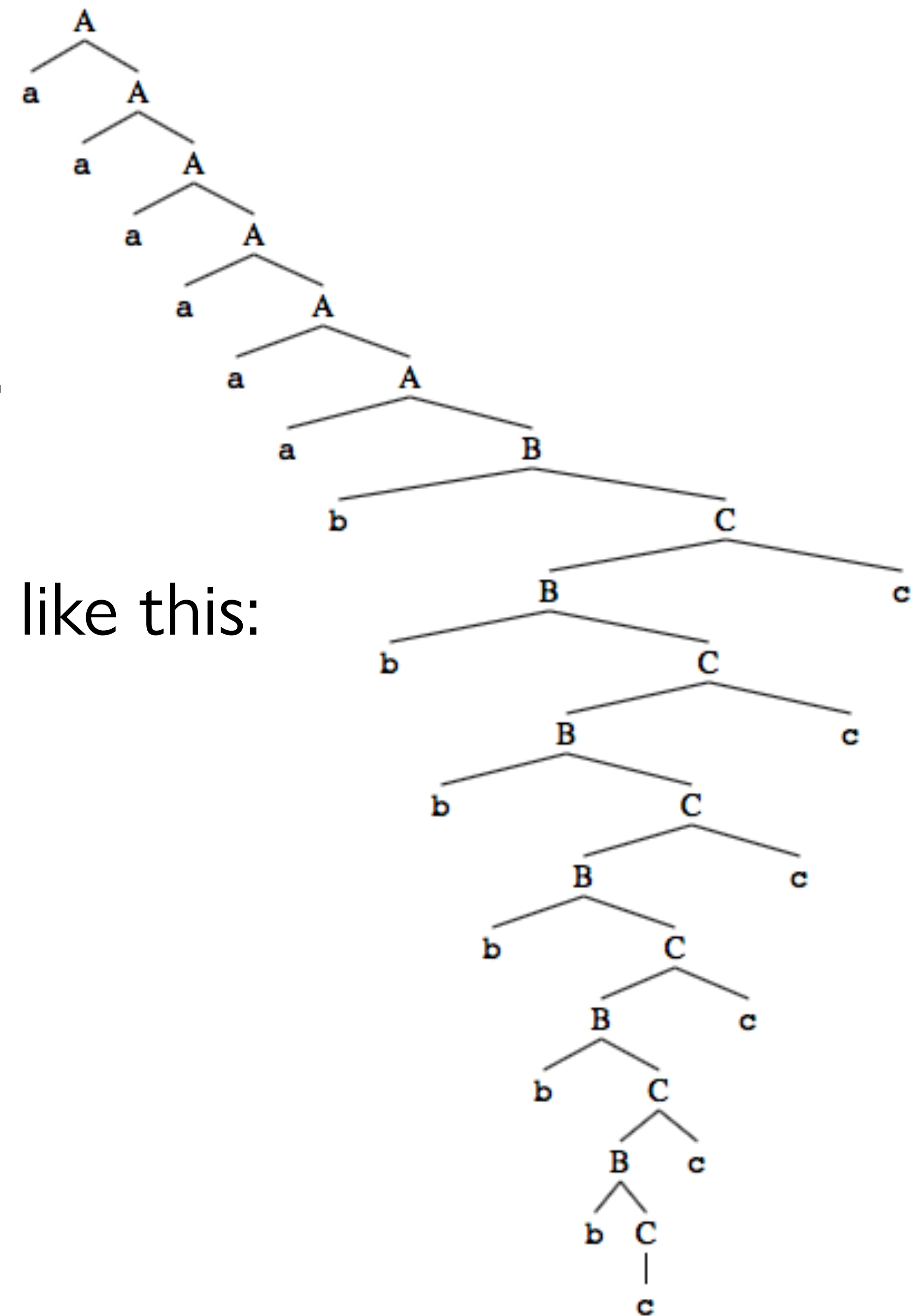
Anna: Does your grammar generate this string:

aaaaabbbbbcccccc?

Elsa:



Yikes. Give me a minute.



Elsa: I asked the computer to check for me.
[We'll see how to do this next class!]

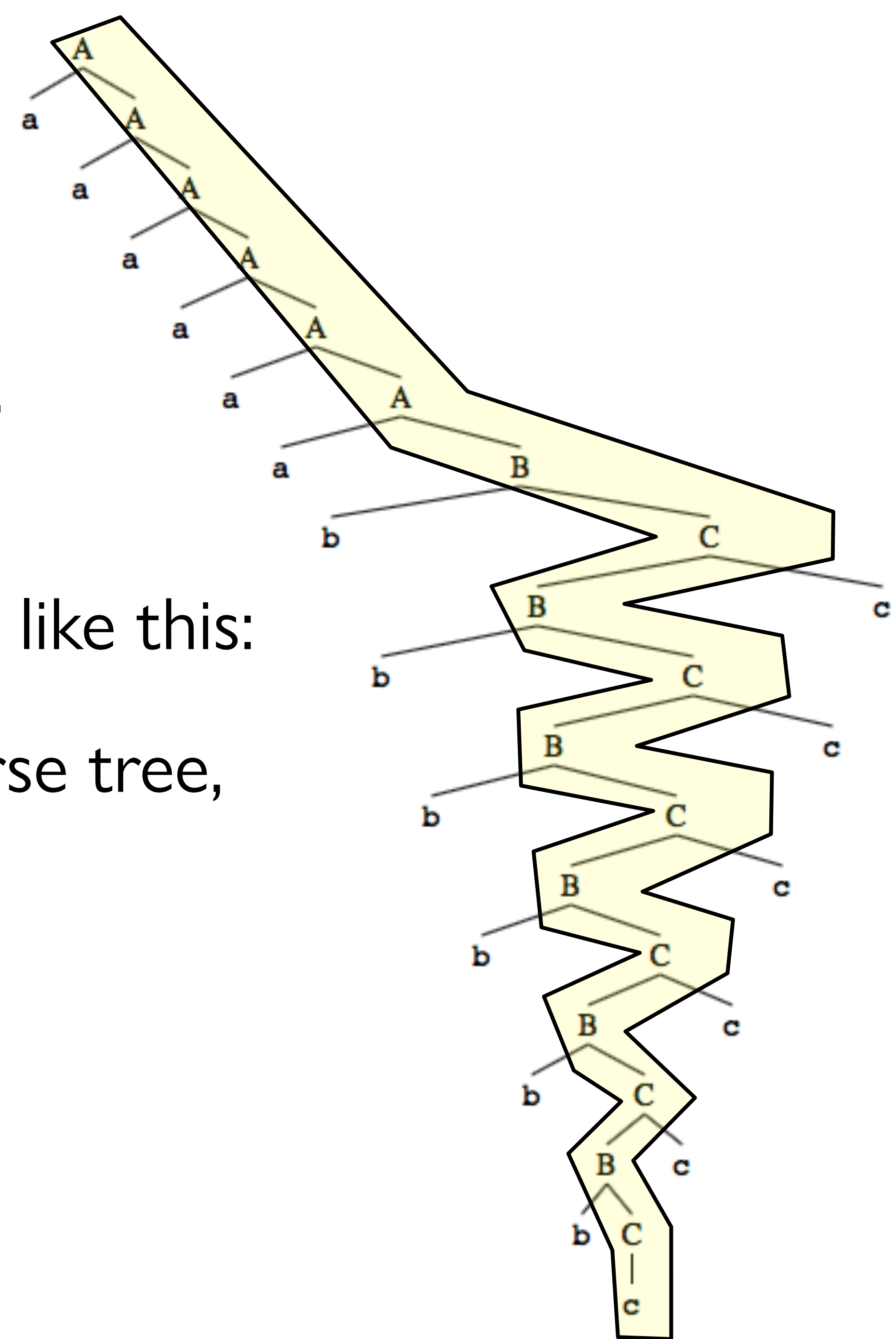
It says my grammar generates that string like this:

Elsa: I asked the computer to check for me.
[We'll see how to do this next class!]

It says my grammar generates that string like this:

Anna: Ok, what's the *longest path* in the parse tree, from the start symbol to a terminal?

Elsa: Here it is:



Anna: In this path, you use the same variable twice, right?

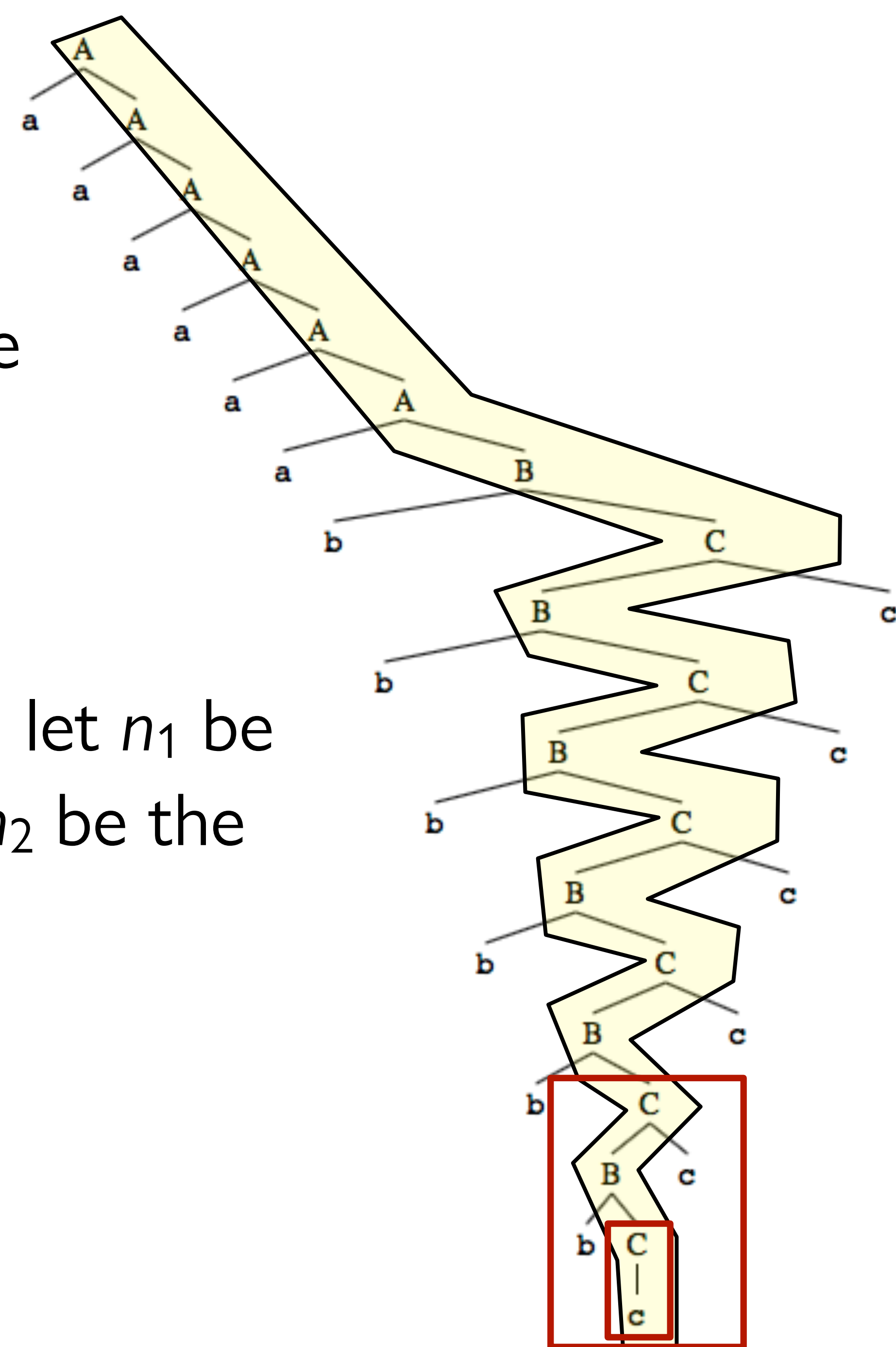
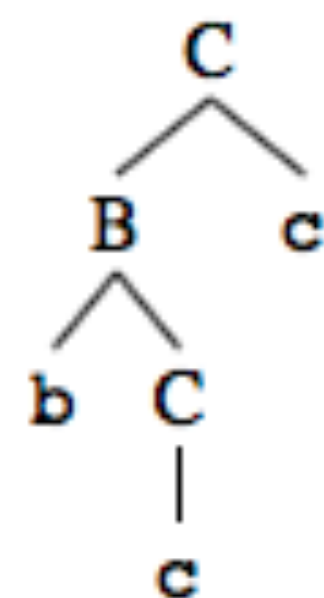
Elsa: Yes.

Anna: Starting from the bottom of the tree, let n_1 be the first occurrence of the variable and n_2 be the second occurrence.

Elsa: Okay, $n_1 =$



and $n_2 =$



Anna: Does your grammar generate...

$uv^2xy^2z = \text{aaaaabbbbbbbcccccc}$

...this string?

Elsa: I'll ask the computer.

The Pumping Lemma for CFLs

Bar-Hillel,
Perles, and
Shamir, 1961

For any context-free language L ,

there exists a positive integer p such that

for any string $s \in L$ of length p or more,

there exist strings u, v, x, y, z such that

$$s = uvxyz,$$

$$|vxy| \leq p,$$

$vy \neq \varepsilon$, and

$uv^i xy^i z \in L$ for all $i \in \mathbb{N}_0$.

s can be broken into 5 pieces

the middle 3 pieces aren't too long

*the 2nd & 4th pieces aren't **both** empty*

the 2nd & 4th pieces can be replicated 0 or more times

The Pumping Lemma for CFLs

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$$vy \neq \varepsilon, \text{ and}$$

$$uv^i xy^i z \in L \text{ for all } i \in \mathbb{N}_0$$

*Note that we need to pump v and y **together**; you can't pump just one or the other*

The Pumping Lemma for CFLs

For any context-free language L ,

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for any string $s \in L$ of length p or more,

there exist strings u, v, x, y, z

$$s = uvxyz,$$

$$|vxy| \leq p,$$

$vy \neq \varepsilon$, and

$uv^i xy^i z \in L$ for all $i \in \mathbb{N}_0$.

The two strings to pump, collectively, can't be too long and must be close together.

THEOREM $L = \{a^n b^n c^n \mid n \in \mathbb{N}_0\}$ is not a CFL.

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PROOF By contradiction; assume L is a CFL.

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Case 1:

Case 2:

For any context-free language L ,
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Proofs using the Pumping Lemma for CFLs tend to be harder than those for regular languages because there's no restriction on where in the string the portion that can be pumped can be.

THEOREM $L = \{a^n b^n c^n \mid n \in \mathbb{N}_0\}$ is not a CFL.

PROOF By contradiction; assume L is a CFL. Let p be the pumping length guaranteed by the Pumping Lemma. Let $s = a^p b^p c^p$. Since $s \in L$ and $|s| = 3p \geq p$, we can write $s = uvxyz$ such that $|vxy| \leq p$, $vy \neq \varepsilon$, and for any $i \in \mathbb{N}_0$, $uv^i xy^i z \in L$. We consider two cases for vxy :

Case 1: vxy is completely contained in a^p , b^p , or c^p .

Case 2:

For any context-free language L ,
there exists a positive integer p such that
for any string $s \in L$ of length p or more,
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Note that we chose s so that vxy can't span all three groups of symbols, making it impossible to pump all three groups at once!

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In either case, we contradict the Pumping Lemma. Thus our assumption must have been wrong, and L is not a CFL. ■

Regular languages cannot count arbitrarily high:

$\{a^n b^n \mid n \in \mathbb{N}_0\}$ is not regular.

While CFLs cannot count arbitrarily high *twice*:

$\{a^n b^n c^n \mid n \in \mathbb{N}_0\}$ is not context-free.

Keep the following in mind when using the Pumping Lemma for CFLs, where $s = uvxyz$:

Both v and y must be pumped *at the same time*.

v and y *don't* need to be contiguous in the string – a non-empty x can be in between.

One of v or y may be empty.

vxy can be *anywhere* in the string – not just at the start or in the middle. (The lengths of u and z can be anything!)

I *strongly* suggest recommend reading through
the presentation in Sipser and
the examples in the handout on the Pumping
Lemma for CFLs
to get a better sense for how these proofs work.

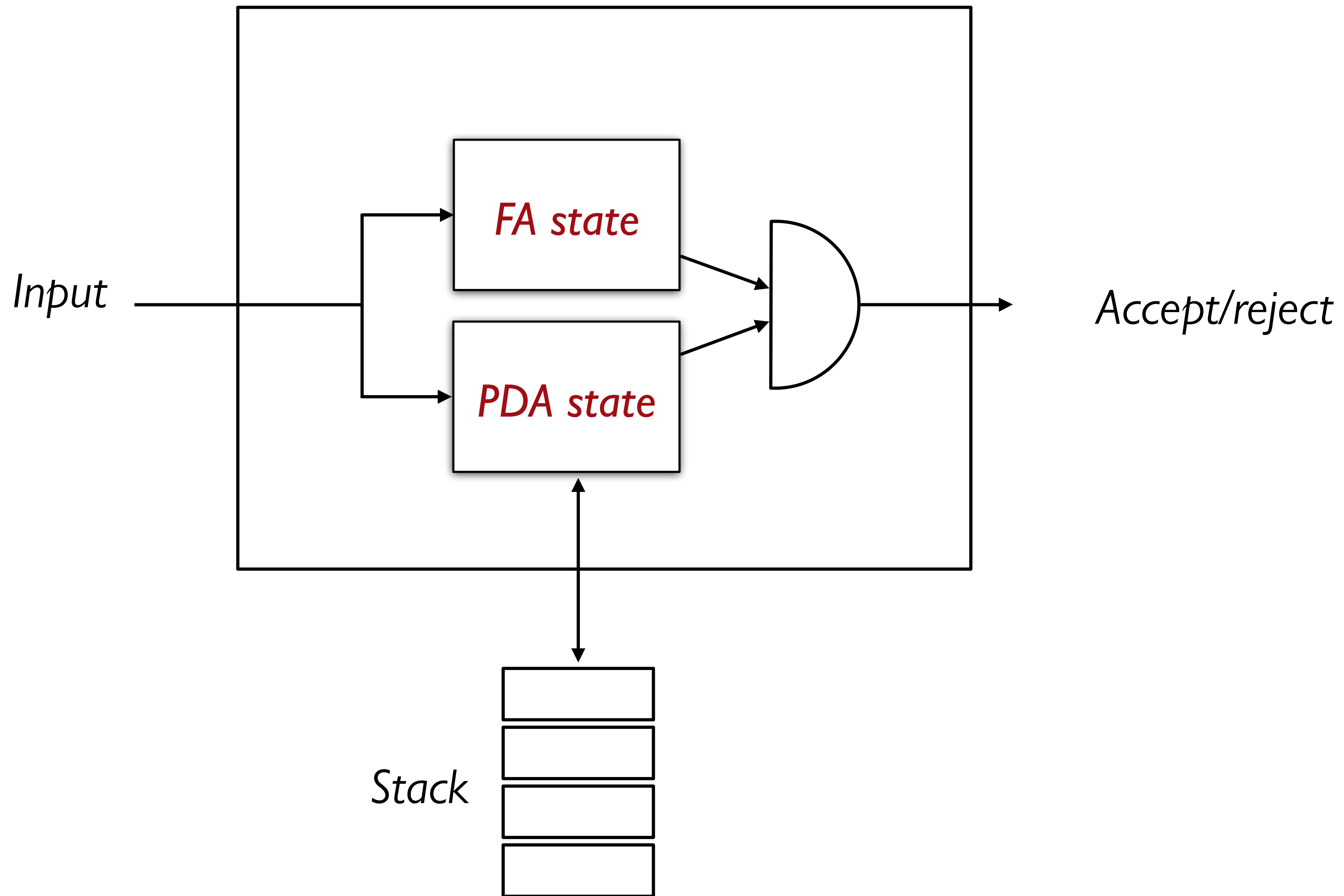
The Pumping Lemma gives a property that all context-free languages satisfy; we show that a language *doesn't* satisfy it.

If the language is complicated, we can use closure properties to find a simpler language that's either known to be non-context-free or is easier to do a Pumping Lemma proof for.

One more helpful closure property

THEOREM Let $L \subseteq \Sigma^*$ be a context-free language and let $R \subseteq \Sigma^*$ be a regular language. Then $L \cap R$ is a context-free language.

PROOF IDEA We can simulate running the corresponding PDA and DFA in parallel, similar to how we simulated running two DFAs in parallel.



Closure of CFLs under intersection with a regular language is very helpful when we want to prove a language is non-context-free.

It lets us “filter” a language to contain only strings of a certain form.

Let $L = \{w \in \{a, b, c\}^* \mid n_a(w) = n_b(w) = n_c(w)\}$.

We can prove L is non-context-free without doing a new Pumping Lemma proof.

$L \cap L(\mathbf{a^*b^*c^*}) = \{a^n b^n c^n \mid n \in \mathbb{N}_0\}$, which we already proved is non-context-free!

Non-closure properties of CFLs

Intersection

THEOREM There exist context-free languages $L_1, L_2 \in \Sigma^*$ such that $L_1 \cap L_2$ is not a context-free language.

Intersection

Let $L_1 = \{a^n b^n c^m \mid n, m \in \mathbb{N}_0\}$.

Let $L_2 = \{a^m b^n c^n \mid n, m \in \mathbb{N}_0\}$.

L_1 and L_2 are context-free.

We can prove this by designing a CFG – or we can just observe that each of these languages is the concatenation of two CFLs.

However, $L_1 \cap L_2 = \{a^n b^n c^n \mid n \in \mathbb{N}_0\}$, which is not a CFL.

This proves (by contradiction) that context-free languages are not closed under intersection.

Intersection: Caveat

Let $L_1 = \{a^n b^n c^n \mid n \in \mathbb{N}_0\}$.

Let $L_2 = \{a^n b^n d^n \mid n \in \mathbb{N}_0\}$.

Then $L_1 \cap L_2 \neq \{a^n b^n \mid n \in \mathbb{N}_0\}$!

$L_1 \cap L_2$ consists of strings that are in *both* L_1 and L_2 .

Every non-empty string in L_1 contains a **c**.

Every non-empty string in L_2 contains a **d**.

Thus, their intersection only includes the empty string:

$$L_1 \cap L_2 = \{\epsilon\}.$$

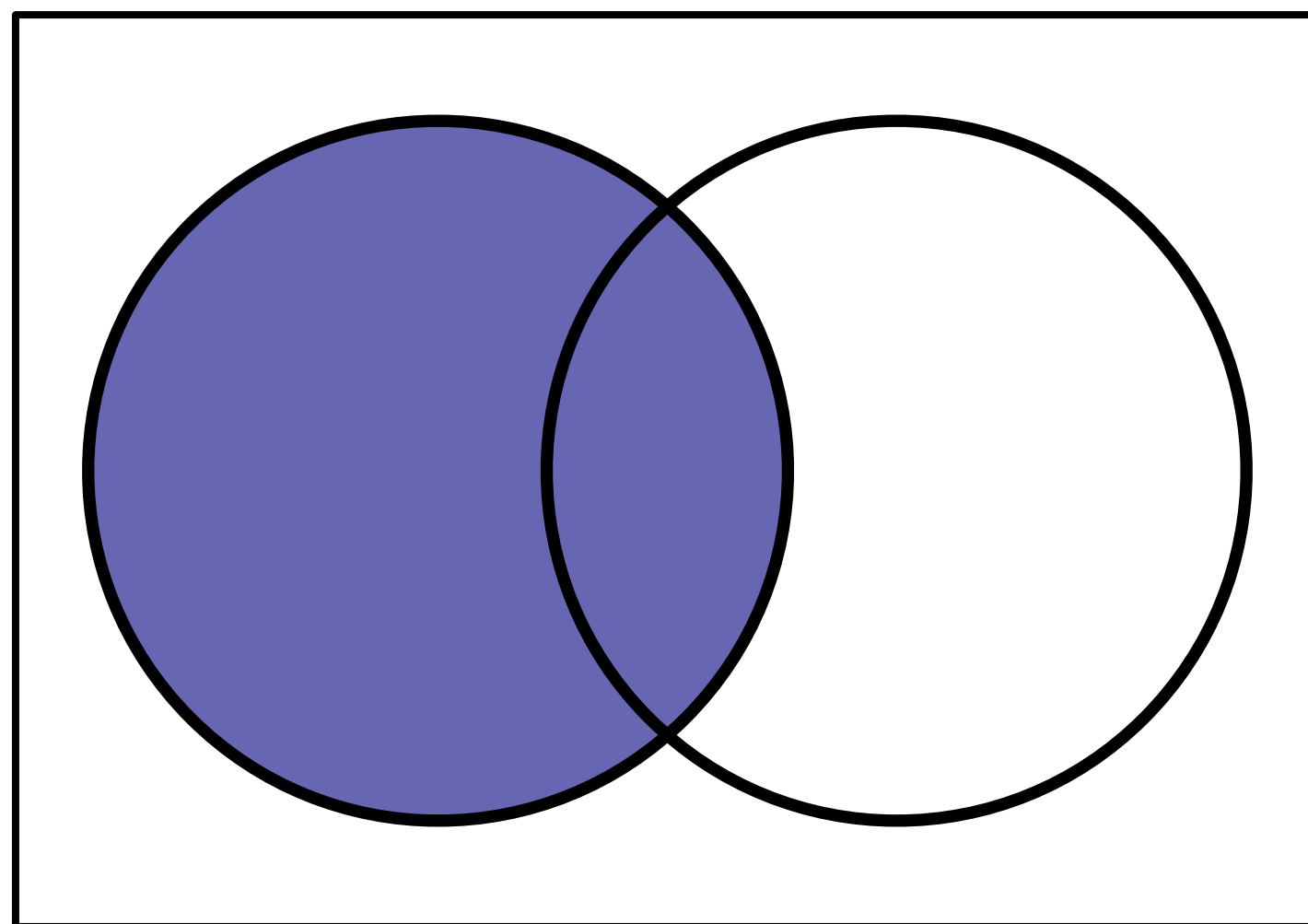
*This is a common
point of confusion!*

Complement

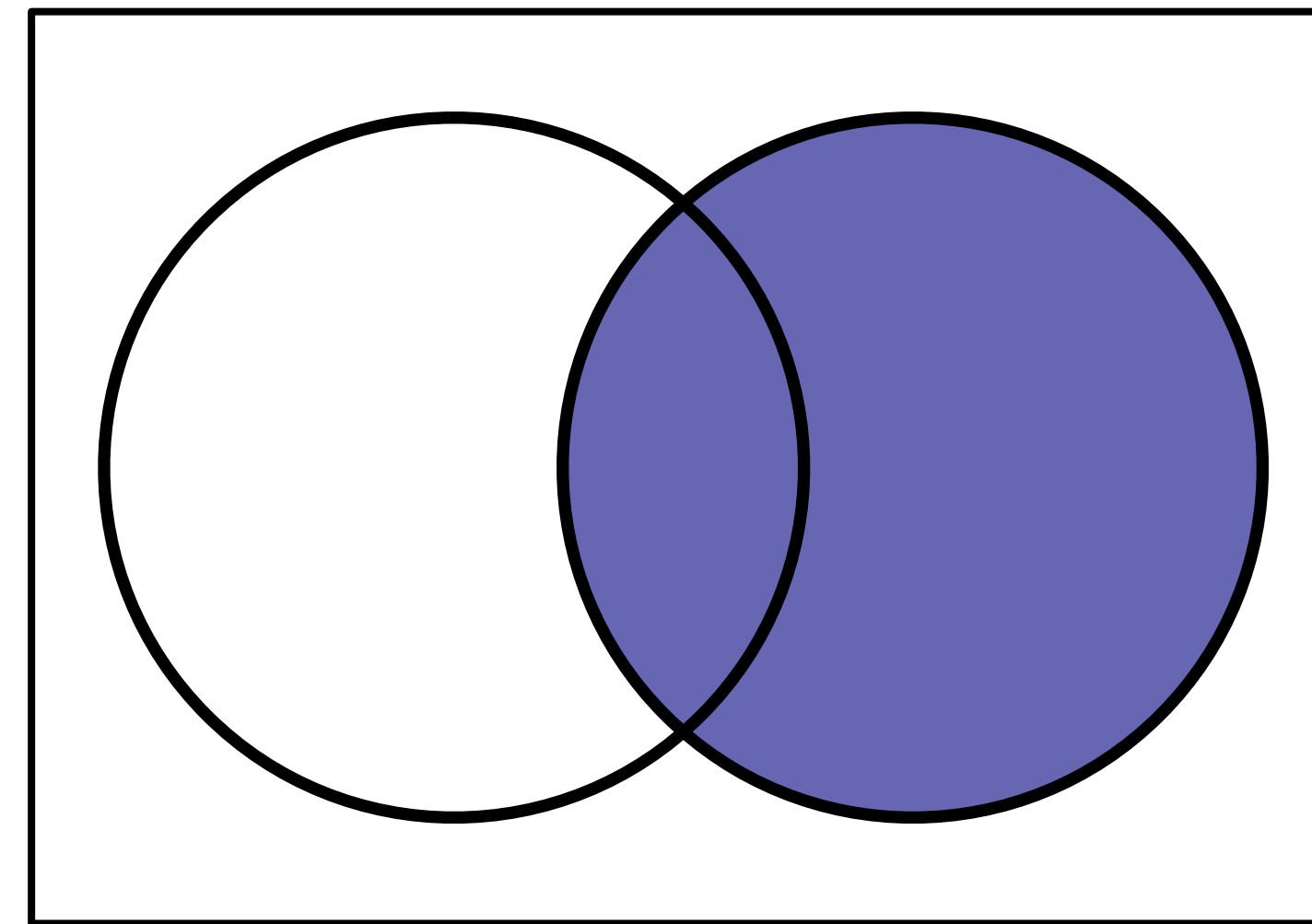
THEOREM There exists a context-free language L such that its complement, \bar{L} , is not a context-free language.

Complement

PROOF IDEA Using union and complement, we can construct the intersection.



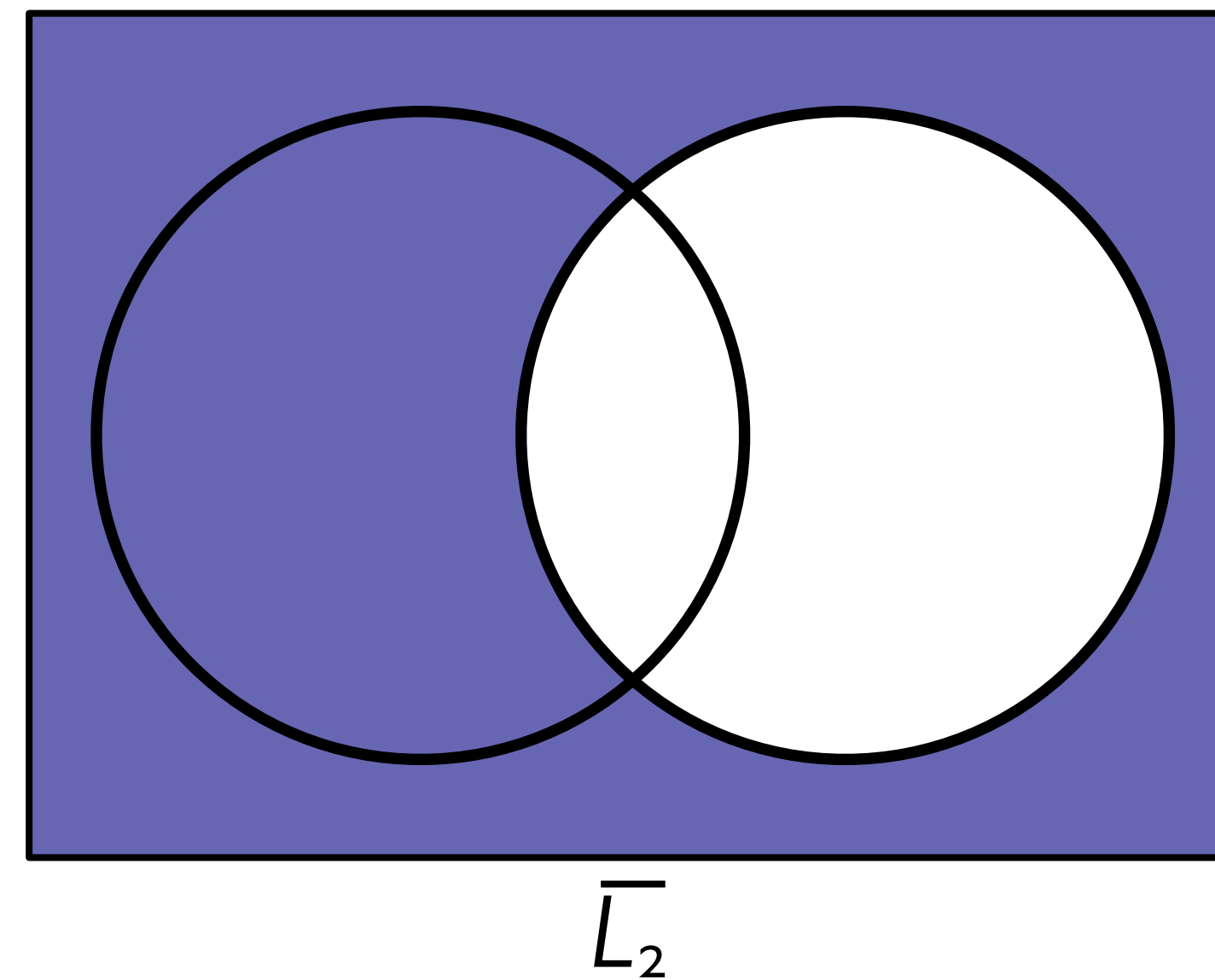
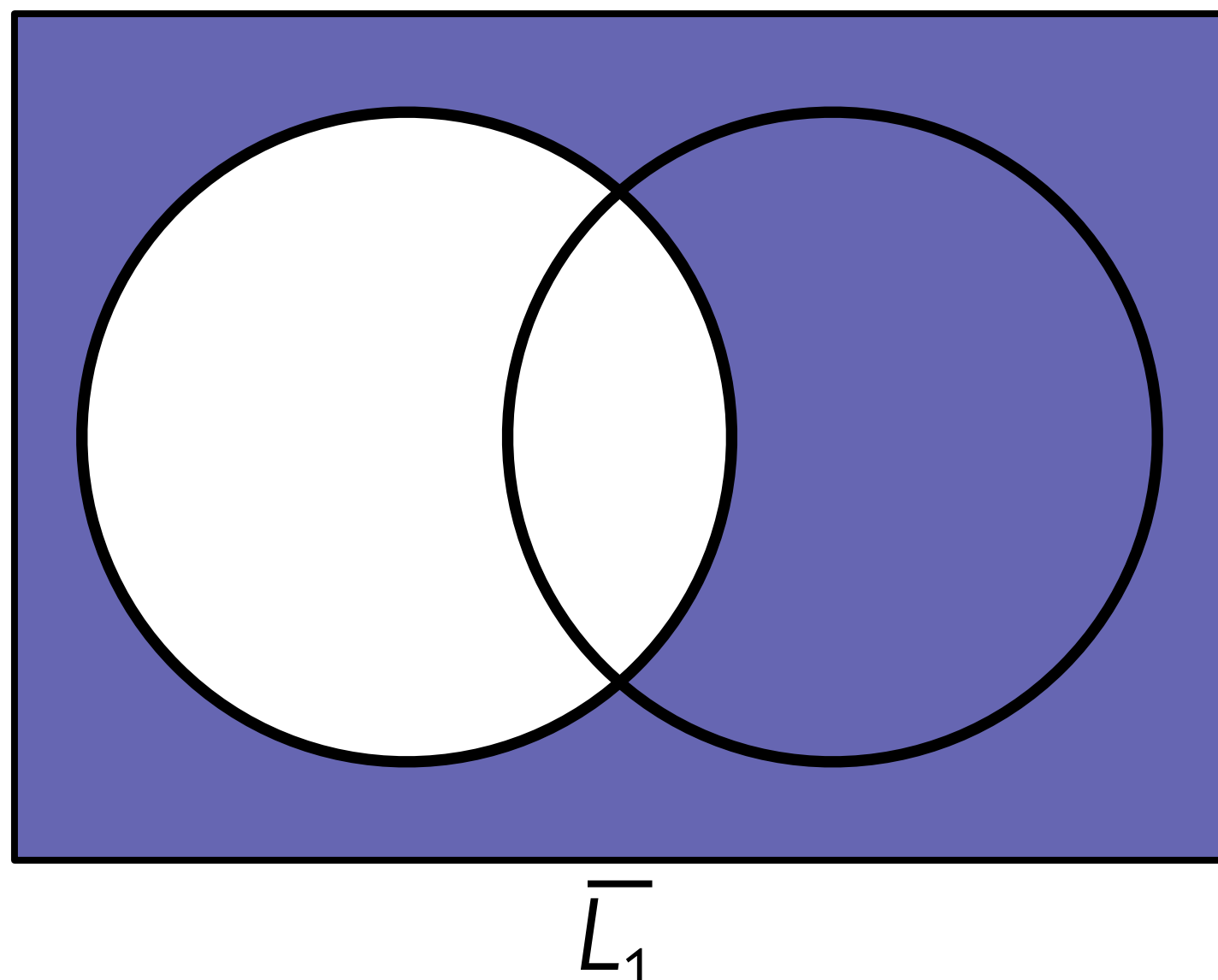
L_1



L_2

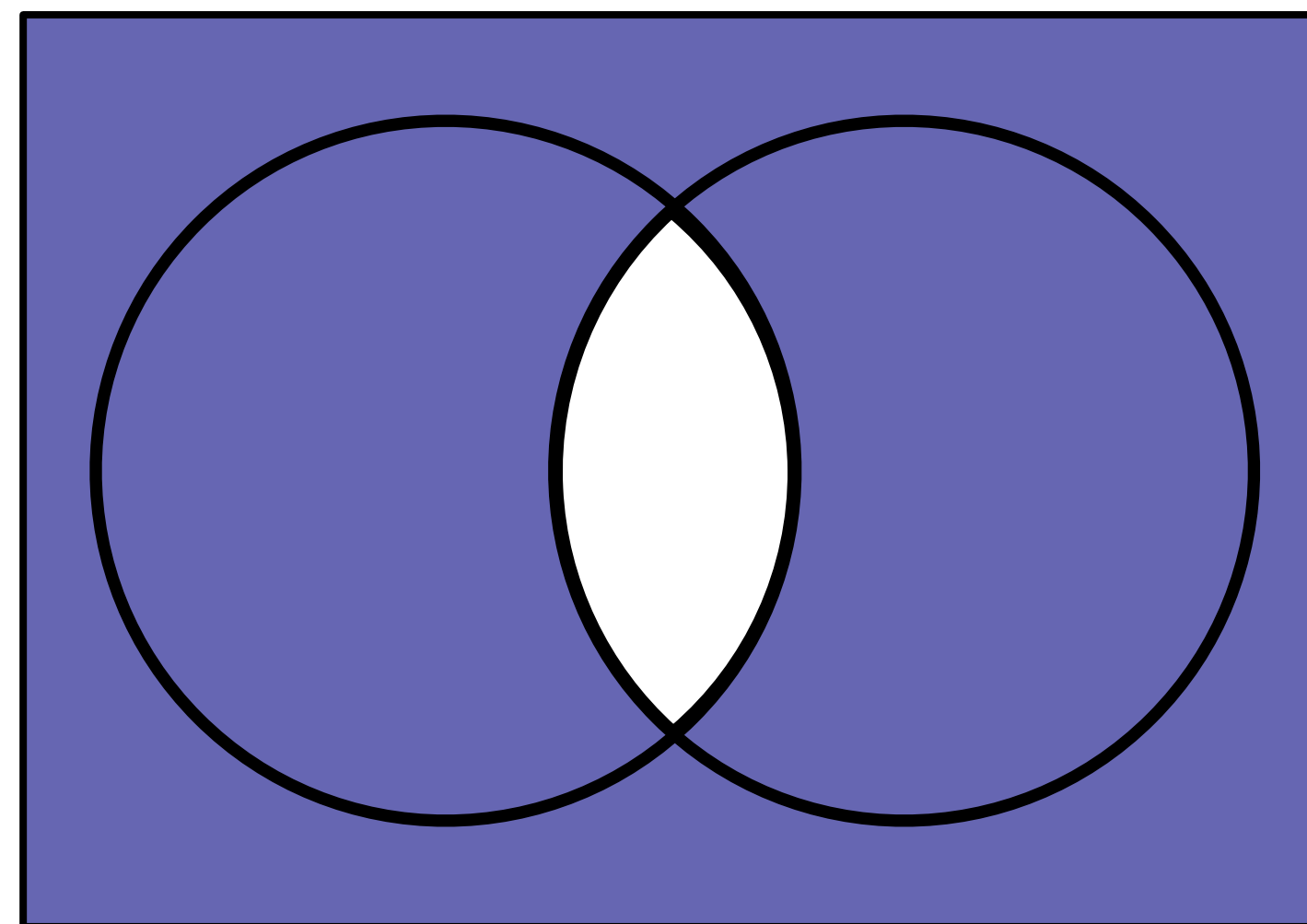
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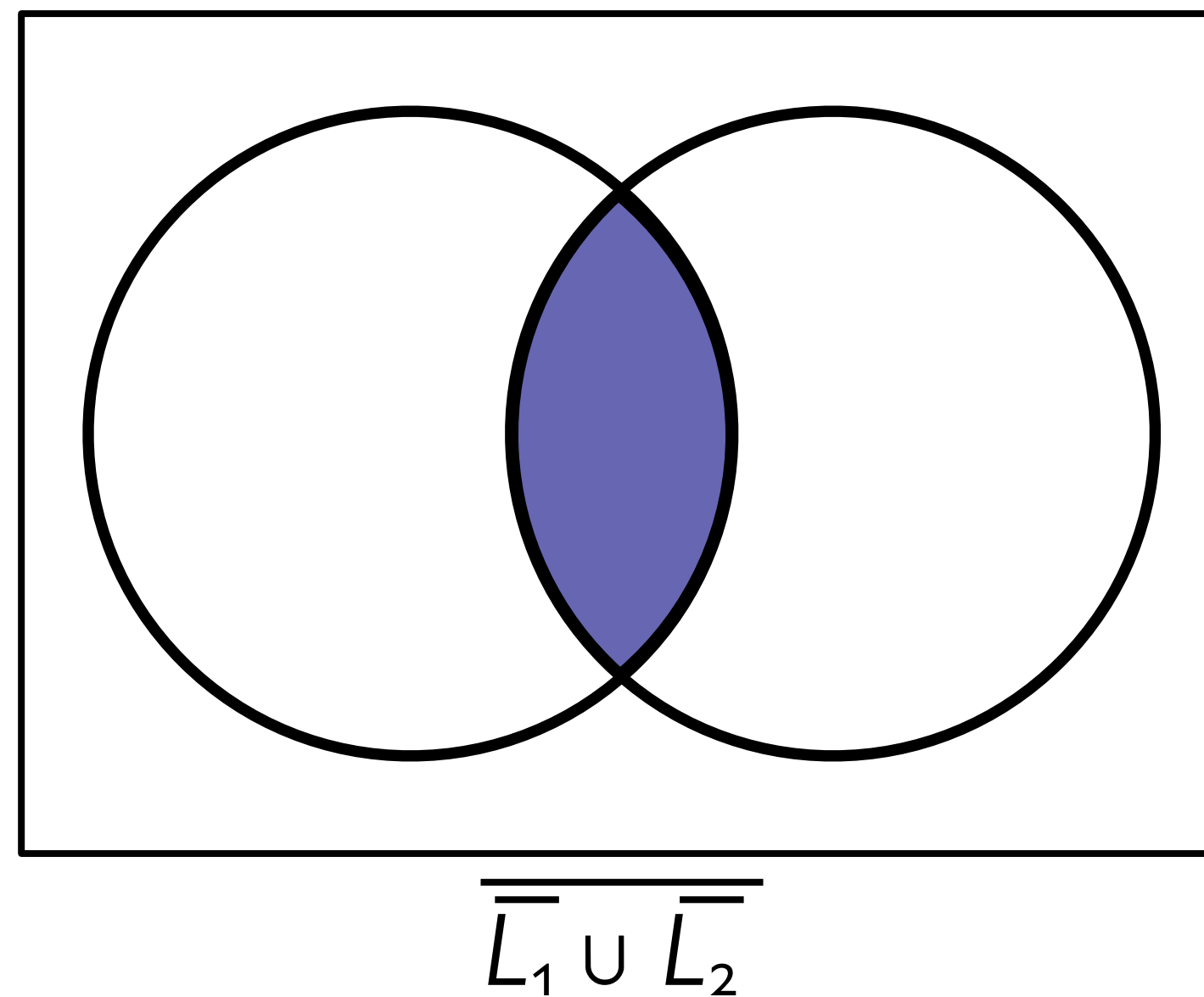
PROOF IDEA Using union and complement, we can construct the intersection.



$$\overline{L_1 \cup L_2}$$

Complement

PROOF IDEA Using union and complement, we can construct the intersection.



Complement

PROOF IDEA Using union and complement, we can construct the intersection.

Since the context-free languages are closed under union but *not* under intersection, this means they *can't be closed under complement* either.

Set difference

THEOREM There exist context-free languages

$L_1, L_2 \in \Sigma^*$ such that $L_1 - L_2$ is not a context-free language.

PROOF IDEA We know the complement of a CFL might not be context-free, and we can construct the complement from the difference.

Σ^* is context-free because it is regular.

But $\Sigma^* - L = \bar{L}$, which may not be context-free.

Closure properties of context-free languages

If L_1 and L_2 are context-free languages, then

$L_1 \cup L_2$ is context-free,

$L_1 L_2$ is context-free,

L_1^* is context-free, and

L_1^R is context-free,

but

$L_1 \cap L_2$ is *not* guaranteed to be context-free,

$\overline{L_1}$ is *not* guaranteed to be context-free, and

$L_1 - L_2$ is *not* guaranteed to be context-free!

Context-free languages and beyond

Summary

We've seen that CFLs are strictly more powerful than the regular languages.

They can be described by CFGs (generation) or PDAs (recognition).

- Non-deterministic PDAs are equivalent in power to CFGs

- Deterministic PDAs are less powerful – but are used in practice

Context-free language have a pumping lemma, just as regular languages do.

Computability theory

Finite automata represent computers with finite memory.

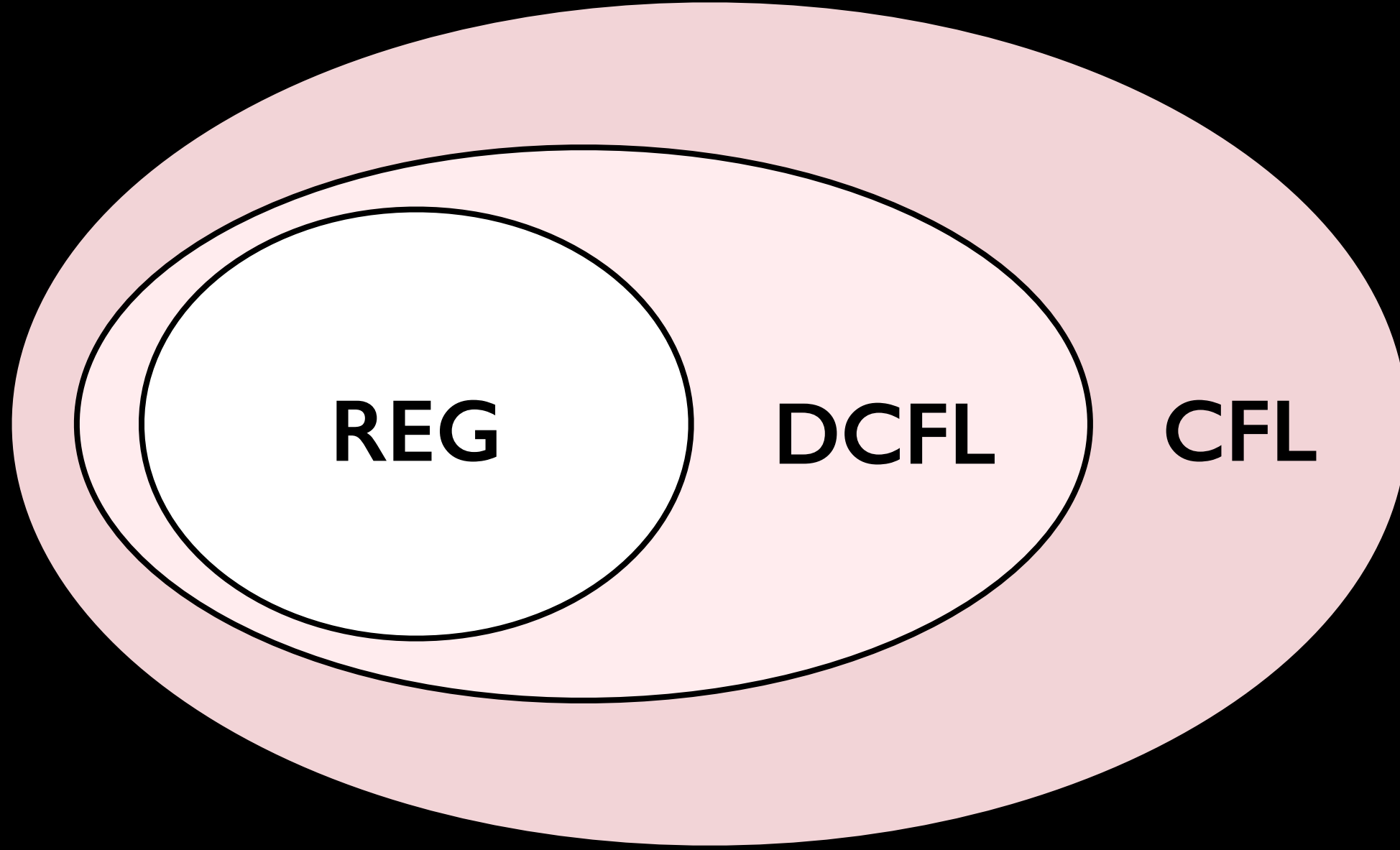
They recognize precisely the regular languages.

Pushdown automata represent computers with a weak infinite memory.

They recognize precisely the context-free languages.

Regular and context-free languages are comparatively weak.

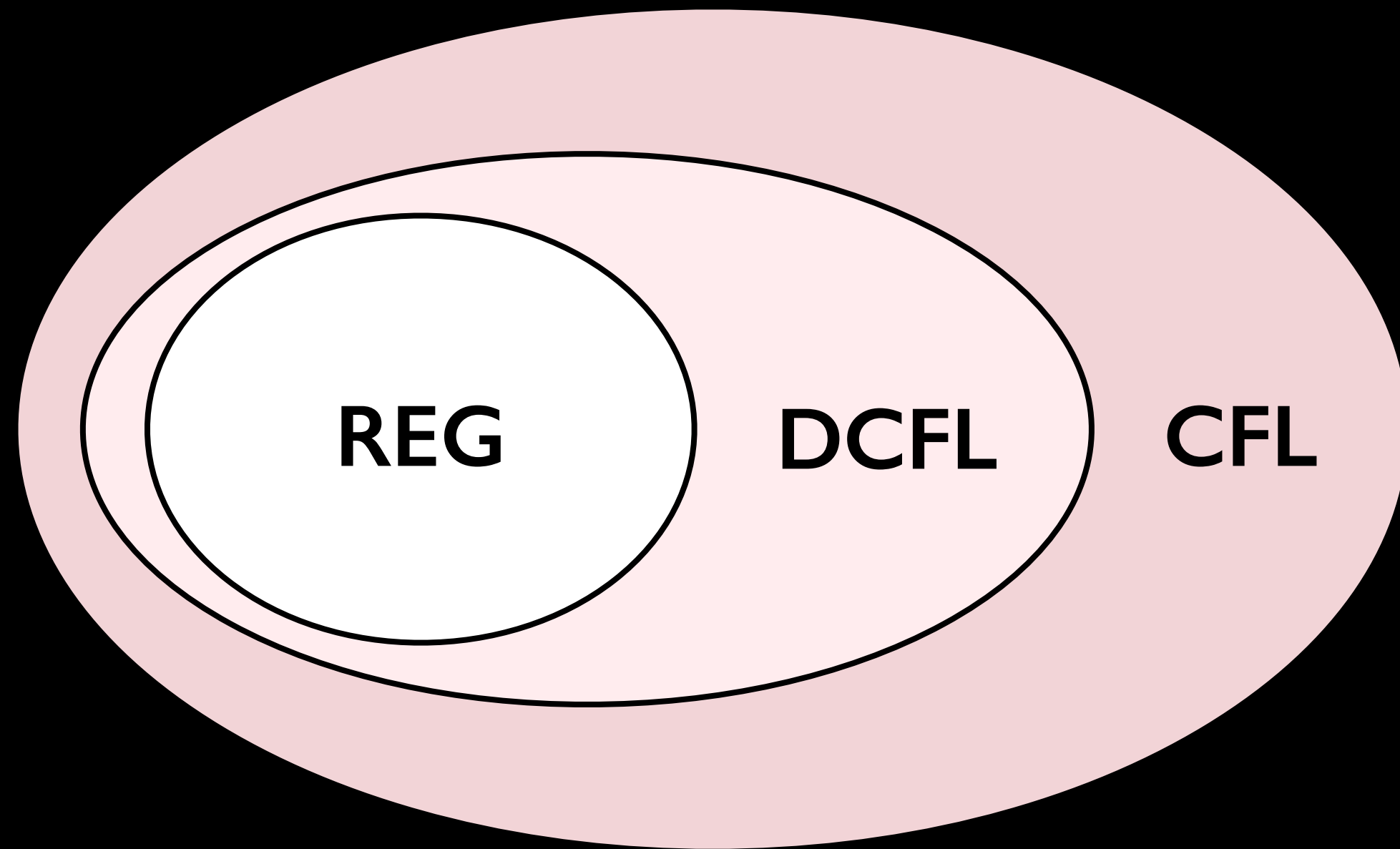
What sort of languages are out here?



All languages

What sort of languages are out here?

A lot! And we'll see more soon!



All languages

Next class we'll have a special topic: We'll look at a practical way to parse a string using a CFG.

Next week we'll review and then have Exam 2.

