Dynamic intention structures I: a theory of intention representation

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Abstract This article introduces a new theory of intention representation which is based 1 on a structure called a Dynamic Intention Structure (DIS). The theory of DISs was motivated 2 by the problem of how to properly represent incompletely specified intentions and their 3 evolution. Since the plans and intentions of collaborating agents are most often elaborated 4 incrementally and jointly, elaboration processes naturally involve agreements among agents 5 on the identity of appropriate agents, objects and properties that figure into their joint plans. 6 The paper builds on ideas from dynamic logic to present a solution to the representation and evolution of agent intentions involving reference to incompletely specified and, possibly, 8 mutually dependent intentions, as well as the objects referenced within those intentions. It 9 provides a first order semantics for the resulting logic. A companion paper extends further the 10 logical form of DISs and explores the problem of logical consequence and intention revision. 11

12 **Keywords** Intentions · Representation · Collaborative planning

13 1 Introduction

Numerous theories of intention and collaboration have been developed over the past 25 years
[4,5,7,14,15,21,22,30,32,33,36]. However, the representation of the content of agent intentions has not addressed the following important issues. First, agents frequently need to communicate with others about parameters in their plans (e.g., when negotiating over constraints
on parameters). Thus, the representation of such parameters must enable agents to unambiguously refer to them when communicating with other agents, even if those parameters have
not yet received values. Second, agents frequently delegate parameter-binding decisions in

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collaborative activity. Thus, the representation of parameters in the content of an agent's 21 intention must be able to distinguish cases where the agent is free to select the value of a parameter from cases where the agent's intention involves some parameter whose value shall be selected by some other agent. Third, a group of collaborating agents frequently delegate subsidiary tasks or goals to one or more group members. Thus, the representation of the content of intentions must be able to properly reflect the hand-off of responsibility for the subsidiary tasks or goals while still maintaining the higher-level intention "that the selected agent do the subsidiary task or accomplish the subsidiary goal." Fourth, the representation of the content of agent intentions needs to be able to address the partiality of agent intentions and plans. For example, an agent might intend to rent a car without having a particular car 30 in mind. Fifth, the representation of the content of agent intentions needs to accommodate 31 the evolution of agent intentions and plans over time. Thus, a basic suite of intention-update 32 operators must be provided. Sixth, intentions in any kind of complex task are frequently 33 related to one another. For example, if I intend to get a car by renting it, but then find out that 34 renting a car is impossible, then I would typically revert to my original intention to get a car, 35 which would lead me to find other ways of getting a car (e.g., by borrowing one). Thus, the 36 representation of intentions must address the relationships between intentions in a complex 37 plan. 38

This article presents a theory of intention representation that provides solutions to these representational problems. Insodoing, it fills an important gap in existing theories of agents, planning and collaborative planning. In a companion paper [27] we present a theory of intention revision based on this representation.

Note: Throughout this article, we use the term *intention update* to refer to the incremental
 modification of a single intention, as opposed to *intention revision* which, in the companion
 paper, refers to the process of modifying the contents of an agent's entire database of intentions

to accommodate the updating of a single intention or the insertion of a new intention.

47 **2 Overview of our approach**

Suppose Alice and Bob plan to travel to California together by car. Suppose further that, as
part of their plan, Alice intends to rent a car and Bob intends to drive *that car* (with both of
them in it) to California. How can the content of their intentions be formally represented?
A straightforward approach to representing Alice's intention might employ a formula such
as

IntTh(A, ($\exists x$)*Rent*(A, x) \land *Car*(x))

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where *IntTh* is a modal intention operator, A is a constant denoting Alice, and the existentially quantified variable x represents an as-yet-unspecified car. The problem with such a representation of Alice's intention is that the scope of the existentially quantified variable is closed—and embedded within an intention operator—and, thus, unavailable for use when representing Bob's intention. However, the representation of Bob's intention must contain a reference to that same car.

Similar representational problems have been encountered when attempting to analyze sequences of sentences in a natural language. For example, consider the two sentences given below:

63 (1) A man walked into my room. (2) He was tall.

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⁶⁴ A straightforward translation of the first sentence into first-order logic (FOL) might yield ⁶⁵ a formula such as: $(\exists x)Man(x) \land WalkedInto(x, MyRoom)$. However, the scope of the ⁶⁶ existentially quantified variable, x, being closed complicates matters for the analysis of the ⁶⁷ second sentence. One would like to use a formula such as Tall(x) for the second sentence, ⁶⁸ but x is free in that formula.

Kamp [20] developed Discourse Representation Theory (DRT) to address these kinds of 69 quantifier scoping and reference problems in linguistics, which had been resistant to solution 70 using first-order logic.¹ In DRT, certain data structures are used to represent the current state 71 of a discourse (i.e., the information that can be derived from the current point in a discourse). 72 The semantics of the data structures is given in terms of so-called *verifying embeddings;* 73 74 alternatively a translation can be provided directly from discourse representation structures to formulas in first-order logic. Our approach to the representation of intentions is similar 75 to that of DRT in that we use a certain kind of data structure-called a Dynamic Intention 76 Structure (DIS)-to represent the content of an agent's intention. As in DRT, we define 77 the semantics of our DIS structures by providing a translation function that maps DISs to 78 logical formulas-in our case, a version of first-order modal logic developed by Fitting and 79 Mendelsohn [8]. 80

Although our approach draws from DRT, there are several significant differences. First, 81 the content of agent intentions is structured into a variety of different fields, each of which 82 plays a different role in the translation of that structure into logic. Second, the treatment of 83 parameters is richer in that it clearly distinguishes parameters for which the intending agent 84 85 will select values from those for which the values will be selected by some other agent or group. Third, the content distinguishes actions that the agent intends to do from propositions 86 that the agent intends should hold. Fourth, the content includes subsidiary boxes, representing 87 portions of a group activity that will be the responsibility of member agents or subgroups. 88 Many of these differences are in response to the different needs to which these structures are 89 being put in our work. Naturally, the intentions constituting a collaboration among a group 90 of agents are different from the sentences constituting a discourse. 91

⁹² 2.1 Overview of the syntax of dynamic intention structures

A Dynamic Intention Structure (DIS) comes in two varieties, containing the fields shown in 93 the boxes in Fig. 1. The box on the right, called a DIS* structure, contains an extra field, Grp, 94 that specifies the group in a collaborative activity. The Agt field specifies the agent holding 95 the intention. The ID field specifies an identifier for the intention. The ExVars and DefVars 96 fields specify two kinds of parameters: those the intending agent is free to find values for 97 and those whose values will be determined by some other agent(s). The ActType field spec-98 ifies the kind of action the agent intends to be done. The Conds field specifies conditions 99 (or constraints) that the agent intends shall hold.² The SubBoxes field contains subsidiary 100 DIS structures corresponding to subsidiary tasks or goals. The syntax of DISs will be given 101 formally in Sect. 3, after presenting a series of motivating examples; however, we must first 102 say a few words about semantics. 103

¹ Heim [18] presented a similar approach based on what she calls *file change semantics*. Groenendijk and Stokhof [12] presented still another approach based on Dynamic Predicate Logic.

 $^{^2}$ The *Conds* field contains a set of *intended conditions* (i.e., propositions that the agent intends shall hold). In contrast, this paper does not address *conditional intentions* (i.e., intentions conditioned on some proposition). Conditional intentions are treated in the companion paper [27].

ID/Agt:	ID/Agt / Grp:
ExVars:	ExVars:
DefVars:	DefVars:
ActType:	Act Type:
SubBoxes:	SubBoxes:
Conds:	Conds:

Fig. 1 Dynamic Intentions Structures: DIS (left) and DIS* (right)

2.2 Overview of the semantics of dynamic intention structures

The semantics of the intention structures defined in this article is based on their translation 105 into a version of first-order modal logic developed by Fitting and Mendelsohn [8] having the 106 following features. 107

The iota operator: Terms of the form, $(is.\phi(s))$, can be glossed as "the unique object s 108 that satisfies $\phi(s)$." More precisely, if there is a unique object in the semantic domain 109 such that the interpretation of ϕ holds for that object, then the iota expression designates 110 that object; otherwise, it fails to designate. The iota operator is useful for constructing 111 definite descriptions such as "the car that Zoe selects". 112

Predicate abstractions: Predicate abstractions, which have the form, $\langle \lambda s. \phi(s) \rangle$, are 113 similar to lambda expressions in the lambda calculus. The application of a predicate 114 abstraction to the term t is notated: $\langle \lambda s. \phi(s) \rangle$ (t). If ϕ has no occurrences of modal oper-115 ators, then this expression is equivalent to what would, in most logics, be written as $\phi(t)$. 116

However, things get more interesting when ϕ involves a modal operator. For example, 117

the term t is evaluated outside the scope of the modal \Box operator in $\langle \lambda s. \Box \psi(s) \rangle(t)$, 118

whereas it is evaluated inside the scope of the \Box operator in $\Box(\langle \lambda s, \phi(s) \rangle(t))$. 119

Intention operators; and the Achieved and Done predicates 120

This article presumes the existence of a suitable intention operator and uses it in the logical 121 formulas generated by the translation of intention structures.³ The paper restricts attention to 122 intentions having propositional content, such as "I intend that we go to the movies tonight." 123

We employ expressions of the form, $IntTh(g, \phi)$, where IntTh is the intention operator, g is 124

the agent holding the intention, and ϕ is the intended proposition. 125

Intention/plan identifiers. When agents coordinate their activities, it is useful to have iden-126 tifiers for their plans. For example, if Alice and Bob have a plan to drive to Boston using 127 some as yet unspecified car, then they might arbitrarily assign an identifier such as Plan39 128 to their plan, thereby enabling them to refer to "the car in Plan39" instead of "the car that 129 we plan to rent for our trip to Boston". Using identifiers in this way is especially convenient 130 when the activities the agents are involved in might otherwise require lengthy descriptions 131 to uniquely identify them. Similarly, in this article, we allow intentions to have identifiers 132 associated with them. Thus, we presume that the intention operator can be augmented to 133 include an additional *identifier* argument. For example, agent g's intention that ϕ , identified 134

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by *id*, would be represented by the formula, $IntTh(g, id, \phi)$. 135

 $^{^{3}}$ In this article, we do not provide a semantics for the intention operator. Instead, we focus on the use of DISs to provide a fine-grained structure to the content of an intention, with an eye toward its subsequent manipulation. In Sect. 7, we present an overview of a companion paper [27], in which we address the semantics of intention in detail.

Although one could always distinguish intentions by adding more descriptive content such as time or place, we include identifiers for convenience, to distinguish otherwise identical intentions and to simplify reference to them. However, not all intentions need have identifiers—for example, intentions that are inferred from others. To handle such cases one can simply add an axiom of the form, $IntTh(g, id, \phi) \Rightarrow IntTh(G, \phi)$, where the converse need not hold.

Act types. We presume that actions fall into types, called *act types*. Act types can be either *basic* or *complex*. A basic act type represents a class of atomic actions that, under the right
circumstances, a capable agent can directly perform. A complex act type represents a class
of actions that can be performed by executing a set of subsidiary tasks, commonly called a *recipe*. Thus, an agent might rent a car by walking to the rental car agency, filling out a form,
paying lots of money, and so forth. Similarly, a group of agents might build a house by laying
a foundation, buying some wood, nailing boards together, and so forth.

Following Ortiz [28], we allow act types to be partially specified using the @ constructor. An act type expression has the form, $A@arg_1(val_1)...@arg_n(val_n)$, where A is an act type, each arg_i is the name of an argument, and each val_i is the value of the *i*th argument. For example, a drive act type, by itself, does not specify any arguments; however, drive@obj(Car39)@to(Boston) specifies a car and a destination.

Intending to do an action. Since all intentions in this aricle have propositional content, an 154 agent g's intention to do an action α is represented as an intention that α is done by g. 155 For this purpose, we employ the *Done* predicate, where $Done(g, \alpha)$ is true if g has done an 156 157 action of type α . In many cases, the act type expression will include an agt argument that specifies the agent of the action. For example, drive@agt(Bob)@obj(Car39) 158 specifies the act type of Bob driving a particular car. In such a case, the agent argument 159 of the *Done* predicate is redundant and is therefore omitted. For example, the formula, 160 Done(drive@aqt(Bob)@obj(Car39)), represents that Bob has done a drive action 161 using Car39. Furthermore, Bob's intention to do the indicated drive action is represented 162 as follows. 163

intTh(Bob, Done(drive@agt(Bob)@obj(Car39)))

Intentions in the context of collaborative activity. The SharedPlans formalization [14,15] 165 specifies the mental state (i.e., intentions and beliefs) of a group of collaborating agents. In 166 particular, when a group of agents are collaborating on a group activity α , then each agent 167 in the group holds (among other things) an intention that can be glossed as "I intend that 168 we do α ." Grosz and Hunsberger [13] have identified a constraint they call the Coordinated 169 Cultivation Requirement (CCR) that constrains a collaborating agent from certain forms 170 of unilateral decision making. In their model of collaborative activity, called the Coordi-171 nated Cultivation of SharedPlans (CCSP) model, intentions subject to the CCR are called 172 Group-Activity-Related (GAR) intentions. For the purposes of this article, the effect of the 173 CCR on an agent's subsequent cultivation of its GAR intention is not important. However, a 174 GAR intention does require an extra argument that specifies the group to which the collabo-175 rating agent belongs. In this article, we represent the GAR intention held by an agent g, in a 176 group GR, toward a proposition ϕ , with plan identifier *id* as follows: 177

$$IntTh^*(g, id, GR, \phi)$$

¹⁷⁹ In the case that the GAR intention concerns a group's doing of α , then it would have the ¹⁸⁰ following form:

$$IntTh^*(g, id, GR, Done(\alpha@aqt(GR)))$$

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The achievement operator. In the context of collaborative activity, a group of agents might decide that some agent (or subgroup) g should achieve some subsidiary goal ϕ . In that case, the content of each group member's GAR intention would include a clause that can be glossed as "that g achieves ϕ ." In this article, such clauses are represented using the *Achieved* operator, where an expression of the form, *Achieved* (g, ϕ), represents that the agent (or subgroup) g achieved the proposition ϕ .⁴ Thus, an agent g_1 in a collaboration might hold the following GAR intention:

Int $Th^*(g_1, id, GR, Achieved(g_2, \phi))$.

¹⁹⁰ 2.3 Preliminary examples of intention structures

This section provides some preliminary examples of Dynamic Intention Structures (DISs).
 The examples illustrate the syntax of DISs and their translation into first-order logic. The
 syntax and semantics of DISs are formally defined in Sects. 3 and 4, respectively.

194 Example 1 Alice intends to rent a car

This example is interesting because Alice may intend to rent a car without having any particular car in mind yet. An important requirement is that Alice should have some way of referring to the car that she intends to rent (e.g., she may later declare that the car that she intends to rent will be blue) even if she has not yet selected any such car. Here's the DIS, D_1 , for Alice's intention:⁵

$$D_{1} = \begin{bmatrix} ID/Agt: & id1 / A \\ ExVars: & v_{1} \\ ActType: & rent@obj(v_{1}) \\ Conds: & Car(v_{1}) \end{bmatrix}$$

The constant idl serves as an identifier for Alice's intention. Alice is represented by the constant A. The constant v_1 is the parameter representing the car. The act type expression, rent@obj(v_1), represents the rental action. The constraint, $Car(v_1)$, stipulates that the object to be rented must be a car. The translation of D_1 into first-order logic, which we notate as $||D_1||$, is given below.

$$\|D_1\| = IntTh(A, id1, (\exists x_1)(Done(rent@agt(A)@obj(x_1)) \land Car(x_1)))$$

Notice that each occurrence of the parameter v_1 in D_1 has been replaced by an occurrence of the existentially quantified variable x_1 in the generated formula, $||D_1||$. The existential quantification indicates that Alice intends that she rent some car, even if she does not yet know which car she will eventually rent. In addition, the act type expression from D_1 has been augmented to stipulate that Alice is the agent of the action. The augmented act type expression appears within the *Done* predicate in the generated formula.

In this example, Alice's selection of a value for the car parameter is not explicitly represented. If it is desired to do so, there are at least two alternatives: (1) represent the act of selecting a car; or (2) represent the goal of having selected a car. In this article, we focus on the latter alternative, employing a predicate, *Sel*, to represent an agent having selected an item to be the value of a parameter for a given plan.

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⁴ Saying that g achieved ϕ is not a statement about g's mental state. g might have achieved ϕ accidentally or intentionally.

 $^{^{5}}$ To save space, only the non-empty fields in a DIS are shown in the box notation.

For example, consider D'_1 , given below, which is identical to D_1 , except that it has been augmented to include the proposition, $Sel(A, v_1, "v_1", id1)$, in the *Conds* field. The semantics of this extra entry is revealed by the translation of D'_1 into first-order logic.

Author Proof

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	ID/Agt:	id1/A	
ח/	ExVars:	v ₁	
$D_1 =$	ActType:	rent@obj(v ₁)	
	Conds:	$Car(v_1), Sel(A, v_1, "v_1", id1)$	
$\ D'_1\ =$	= $IntTh(A, z)$ $\land Sel(A, x)$	id1, $(\exists x_1)(Done(rent@agt(A)@obj(_1,v_1,id1)))$	$(x_1)) \wedge Car(x_1)$

Notice that each occurrence of the parameter v_1 in D'_1 —except for the quoted one—is trans-224 lated into an occurrence of the existentially quantified variable x_1 in the generated formula. 225 In contrast, the quoted term, "v1", is translated into v1. Thus, v1 is translated into a term 226 designating the object that A selects, whereas "v1" is translated into a constant designat-227 ing the name of the parameter for which that object is selected. In the generated formula, 228 $Sel(A, x_1, v_1, idl)$, holds if the item denoted by x_1 has been selected by A to be the value of 229 the parameter named v_1 in the plan identified by id1. For example, $Sel(A, Car39, v_1, id1)$ 230 holds if Alice has selected the car denoted by Car39 to be the value of the parameter v_1 in 231 that plan. 232

The quoting of variables discussed above is related to the quoting of expressions in 233 programming languages such as Lisp [34]. For example, in Lisp, an expression such as, 234 (let ((v'x)) (cons v'v)), is syntactically valid. It evaluates to the list, (x v). 235 The reason is that v evaluates to x, and 'v evaluates to v. In the same sense, the structure 236 D'_1 contains the terms v_1 and " v_1 ". The "evaluation" (or translation) of D'_1 yields a formula 237 containing the corresponding terms, x_1 and v_1 . In this case, v_1 translates into x_1 , which is a 238 logical variable denoting the car selected by the agent A; and " v_1 " translates into v_1 , which 239 is a logical constant denoting a name that A can use to refer to that car-even before such a 240 car has been selected. 241

242 Example 2 Alice intends to rent whatever car Zoe selects

This example is similar to the first example, except that Zoe will be selecting the car that Alice
rents. Thus, in addition to Alice's intention to rent a car, we also consider Zoe's intention to
select a car for Alice to rent.

Alice's intention. Alice's intention is given by a DIS—call it D_2 —in which the car to be rented is represented by a *DefVar* specification—that is, a variable whose value is given by a *definite description*.

	ID/Agt:	id2/A	
	n	DefVars:	(v ₂ , <i>Sel</i> (Z, _, "v ₂ ", id2))
249	$D_2 =$	ActType:	rent@obj(v ₂)
		Conds:	$Car(v_2)$

The *DefVars* field normally contains a list of specifications. In this case, there is only one. It stipulates that the value of the parameter named v_2 is to be whatever object satisfies the predication, *Sel*(Z, _, "v₂", id2), where the underscore character is a place holder for the object in question. In other words, the value of the parameter v_2 is to be whatever car Zoe selects. Notice that although Zoe will be making the selection, the parameter, v_2 , and the ID, id2, refer to elements of Alice's DIS, D_2 .

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The translation of D_2 into first-order logic is given by: 256

$$\|D_2\| = IntTh(\mathbb{A}, id2, \langle \lambda x_2, \Phi(x_2) \rangle \Upsilon),$$

where: 258

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$$\Phi(x_2) \equiv Done(rent@agt(A)@obj(x_2)) \land Car(x_2)$$

$$\Upsilon \equiv (is.Sel(Z, s, v_2, id2)).$$

Notice that the *DefVar* parameter, v_2 , is translated into a variable, x_2 , that is bound within a 260 lambda expression in the generated formula. That lambda expression is applied to the value, 261 $(is.Sel(Z, s, v_2, id2))$, which is a definite description involving the iota operator derived 262 from the second part of the *DefVar* specification.⁶ The iota expression can be glossed as 263 "whatever object, s, that Zoe selects to be the value of the parameter, v_2 , in Alice's plan iden-264 tified by id2." Hence we see an important property of DIS's: their incrementality. Whereas 265 in ordinary logic the elements in the scope of a quantifier cannot be accessed outside the 266 sentence, in DIS-as in DRT-they can be immediately accessed for further modification. 267 Zoe's intention. Zoe's intention that she select some car for Alice can be represented by 268 the following DIS—call it \hat{D}_2 —where the parameter named \hat{v}_2 in \hat{D}_2 is distinct from the 269 parameter named v_2 in D_2 . 270

$$\hat{D}_{2} = \begin{bmatrix} ID/Agt: id2b/Z \\ ExVars: \hat{v}_{2} \\ Conds: Car(\hat{v}_{2}) \land Sel(Z, \hat{v}_{2}, "v_{2}", id2) \end{bmatrix}$$

$$\|\hat{D}_{2}\| = IntTh(Z, id2b, (\exists \hat{x}_{2})(Car(\hat{x}_{2}) \land Sel(Z, \hat{x}_{2}, v_{2}, id2)))$$

Notice that Zoe intends to select some car \hat{x}_2 to be the value of Alice's parameter v_2 in her 273 plan identified by id2. In addition, the semantics of Zoe's intention ensures that \hat{x}_2 will also 274 be the value of Zoe's parameter \hat{v}_2 , since \hat{v}_2 gets translated into \hat{x}_2 . 275

2.3.1 Intentions in the context of collaborative group activity 276

Example 2 explored the related intentions of Alice and Zoe; however, Alice and Zoe did not 277 have a SharedPlan. Thus, there were no GAR intentions [13]. The next examples consider 278 GAR intentions in the context of collaborative activity. In our model, a GAR intention is rep-279 resented by a DIS^{*}, which has an extra field denoting the group to which the intending agent 280 belongs. The examples also explore the SubBoxes field of a DIS. (Although the SubBoxes 281 field can be used in the context of single-agent activity; its most interesting features arise in 282 the context of collaborative group activity.) 283

Example 3 Alice and Bob plan to travel to boston together 284

In this example, Alice and Bob each hold a GAR intention concerning their plan. The con-285 tents of their GAR intentions are identical, except for the Agt field. Let GR denote the group 286 consisting of Alice and Bob; and let g denote either Alice (A) or Bob (B). Then D_3 , below, 287 is a DIS^{*} that represents g's GAR intention.⁷ 288

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$$D_3 = \begin{bmatrix} ID/Agt/Grp: id3/g/GR \\ ActType: travel@to(Boston) \end{bmatrix}$$

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 $^{^{6}}$ The choice of s in the iota expression is arbitrary. It replaces the underscore from the *DefVar* entry.

⁷ Recall that only non-empty fields are shown in the box notation.

 $||D_3|| = IntTh^*(g, id3, GR, Done(travel@agt(GR)@to(Boston)))$

Next, we consider a slight modification of this example in which the destination is represented by a variable instead of a constant. The idea here is to facilitate replanning should it turn out that the group will be unable to go to Boston. In that case, they might decide to change the value of their destination variable to New York. The modified DIS*, called D'_3 , is defined below. In this case, a *DefVar* is used to represent the destination of the trip. The value of this *DefVar* is represented by the constant Boston.

	ID/Agt /Grp:	id3/g/GR
$D'_{3} =$	DefVars:	(v ₃ , Boston)
	ActType:	travel@to(v ₃)

 $||D'_3|| = IntTh^*(g, id3, GR, \langle \lambda x_3, Done(travel@agt(GR)@to(x_3)) \rangle$ Boston)

Example 4 Alice and Bob intend that Alice should do β_1 subject to the constraint ϕ_1 and Bob should do β_2 subject to the constraint ϕ_2

In this example, we suppose that Alice and Bob begin by making a group decision (or agree-301 ment) that Alice shall do β_1 subject to the constraint ϕ_1 and Bob shall do β_2 subject to 302 the constraint ϕ_2 . According to the CCSP model [13], such an agreement entails certain 303 obligations on the participants.8 First, it obliges Alice and Bob to each adopt a GAR inten-304 tion concerning their group activity. Second, it obliges Alice to adopt a subsidiary intention 305 concerning her doing of β_1 , and Bob to adopt a subsidiary intention concerning his doing 306 of β_2 . The following discussion concentrates on the representation of these intentions. For 307 expository convenience, the subsidiary intentions are addressed first. 308

Alice and Bob's subsidiary intentions. Alice's subsidiary intention concerning her doing of β_1 can be represented by the DIS, D_{4a} , defined below (on the left). Similarly, Bob's subsidiary intention concerning his doing of β_2 can be represented by the DIS, D_{4b} , defined below (on the right).

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ID/Agt: id4a/	A A	ID/Agt: id4b/B	
$D_{4a} = ActType: \beta_1$	$D_{4b} =$	ActType: β_2	
Conds: ϕ_1		<i>Conds</i> : ϕ_1	
$\ D_{4a}\ = IntTh(A, id4a,$	ϕ_1 $\ D_{4b}\ $	= $IntTh(B, id4b, \phi_2$	
$\wedge Done(\beta_1@agt)$	(A)))	$\wedge Done(\beta_2@agt(B)))$	

Alice and Bob's GAR intentions. The content of each agent's GAR intention refers both to Alice's doing of β_1 and Bob's doing of β_2 . Their GAR intentions, represented by D_4 below, can be glossed as "I intend that Alice do β_1 subject to ϕ_1 and Bob do β_2 subject to ϕ_2 ." Once again, g represents Alice or Bob.

ID/Agt /Grp: id4/g/GR ID/Agt: ID/Agt: id4a/A id4b/B $D_4 =$ 318 SubBoxes: ActType: β_1 ActType: β_2 Conds: ϕ_1 Conds: ϕ_2

Notice that D_4 contains two subsidiary boxes that are identical to D_{4a} and D_{4b} given above. Based on the description of the translation function given so far, the translation of D_4 into

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⁸ As discussed by Grosz and Hunsberger [13], the obligations entailed by such agreements have been pointed out by many philosphers, including Bratman [2], Gilbert [10] and Tuomela [35].

first-order logic would generate a formula containing nested intentions. Such a formula might 321 be glossed as, "I intend that Alice intend that she does β_1 ...". However, this does not capture 322 the desired relationship between the GAR intention and the subsidiary activities: "I intend 323 that Alice $do \beta_1 \dots 9^{9}$ To distinguish the "stand-alone" translation of a DIS—such as the 324 stand-alone translations of D_{4a} and D_{4b} given earlier—and the "in-context" translation of a 325 DIS as a subsidiary box within a parent DIS, we employ two different translation functions. 326 The *stand-alone* translation function, which is the only one that has been seen so far, gen-327 erates formulas involving the intention operator. The *in-context* translation function, which 328 has not yet been seen, generates formulas involving the Achieved operator. For a DIS such as 329 D_4 , which contains subsidiary boxes, the translation of everything but its subsidiary boxes 330 is performed by the stand-alone translation function, which generates the top-level intention 331 formula. In contrast, the translation of its subsidiary boxes is performed by the in-context 332 translation function, which generates subsidiary formulas, Φ_1 and Φ_2 , involving the Achieved 333 operator. 334

 $||D_4|| = IntTh^*(g, id4, GR, \Phi_1 \land \Phi_2),$

336 where:

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$$\Phi_1 \equiv Achieved(\mathbb{A}, \phi_1 \land Done(\beta_1 @ \texttt{agt}(\mathbb{A})))$$

$$\Phi_2 \equiv Achieved(\mathbb{B}, \phi_2 \land Done(\beta_2 @ \texttt{agt}(\mathbb{B})))$$

³³⁸ The stand-alone and in-context translation functions are formally defined in Sect. 4.

339 *Example 5* Alice and Bob plan to drive whatever car Alice selects

³⁴⁰ In this example, Alice and Bob have a SharedPlan to drive whatever car Alice selects. (Here,

the drive act type represents a multi-agent action that Alice and Bob will do together.)

Thus, Alice and Bob each have a GAR intention to that effect. In addition, Alice has an intention concerning her selection of a car.

The GAR intentions. The DIS^{*}, D_5 , represents the GAR intention held by g (either Alice or Bob).

		ID/Agt /Grp:	id5/g/GR	
		DefVars:	(v ₅ , { <i>Sel</i> (A, _, "v ₅ ", id5), <i>Car</i> (_)})	
	D -	ActType:	drive@obj(v5)	
346	$D_5 \equiv$		ID/Agt: id5a/A	
		SubBoxes:	ExVars: v_6	
			<i>Conds</i> : <i>Sel</i> (A, v_6 , " v_5 ", id5) \wedge <i>Car</i> (v_6)	

 $\|D_5\| = IntTh^*(g, id5, GR, \langle \lambda x_5, \Psi_1 \land \Psi_2 \rangle (is.Sel(A, s, v_5, id5) \land Car(s))),$

348 where: $\Psi_1 \equiv Done(drive@agt(GR)@obj(x_5))$

 $\Psi_2 \equiv Achieved(\mathbb{A}, (\exists x_6)(Sel(\mathbb{A}, x_6, v_5, id5) \land Car(x_6)))$

Notice that the *DefVar* specification for v_5 is a set of predicates containing the underscore placeholder. These predicates are conjoined within the corresponding iota expression in the translation, $||D_5||$. In addition, notice that the "in context" translation of a subsidiary box yields an *Achieved*(...) clause.

Alice's intention concerning her selection of a car. Alice's intention concerning her selec-

tion of a car is represented by a DIS—call it D_6 —that is identical to the subsidiary box in

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⁹ Grosz and Hunsberger [13] discuss the relationship between GAR intentions and subsidiary activities in more detail.

 D_5 above. However, the stand-alone translation of D_6 yields an intention formula, not an 355 Achieved formula. In particular, A intends that there is a car x_6 that she selects as the value 356 of v_5 in the DIS with id id5. 357

	ID/Agt:	id5a/A
$D_6 =$	ExVars:	v ₆
	Conds:	$Sel(A, v_6, "v_5", id5) \wedge Car(v_6)$
$ D_6 =$	= $IntTh(A,$	$id5a, (\exists x_6)(Sel(A, x_6, v_5, id5) \land Car(x_6)))$

It is important to point out that the parameters, v_5 (in D_5) and v_6 (in D_6), refer to the 360 same car in the following sense. First, the only way that Alice can satisfy her intention D_6 361 is by ensuring that there is some car—call it X—for which the predicate $Sel(A, X, v_5, id_5)$ 362 holds. (Alice would normally establish such a predication by declaring that she has made 363 such a selection.) In such a case, the value of her parameter v_6 , which corresponds to the 364 existentially quantified variable x_6 in the translation of D_6 , would be X. Moreover, the iota 365 expression, $(is.Sel(A, s, v_5, id_5) \land Car(s))$, in the formula representing the translation of 366 D_5 would also denote X. Thus, the only way the GAR intention represented by D_5 could 367 be satisfied would be if the value of v_5 , which corresponds to the lambda variable x_6 in the 368 translation of D_5 , were also X. 369

3 The syntax of dynamic intention structures 370

This section presents the syntax of DIS structures, which will be slightly extended in Sect. 6.2. 371 First, we presume the following sets of symbols: 372

- IdNames, AgtNames and GrpNames—sets of constant symbols used for plan/intention 373 identifiers (e.g., id1, id2, id3, etc.), agent names (e.g., A, B, C, etc.) and names of 374 groups of agents (e.g., GR) 375
- *Constants*—a set of constant symbols that includes the above sets as subsets, but may 376 include other symbols as well (e.g., Boston, Car39 and Chair61) 377
- *VarNames*—a set of symbols used for *ExVar* and *DefVar* parameter names (e.g., v, v_1 , 378 w, etc.) 379
- ActTypeNames—a set of symbols used as act-type names (e.g., rent, travel and 380 381 drive)
- ActTypeArgs—a set of symbols used as names of arguments within act type expressions 382 (e.g., agt, from, to and obj) 383
- PredNames-a set of predicate symbols (e.g., Blue or Econ) that does not include the 384 symbol Sel 385
- A DIS contains the following fields: ID, Agt, ExVars, DefVars, ActType, SubBoxes and Conds. 386 A DIS^{*} contains all of these fields together with a field named *Grp*. The contents of these 387 fields are constrained as follows. Each item is numbered to faciliate future reference. 388
- (1) The *ID* field must contain a constant, $id \in IdNames$. 389
- (2) The Agt field must contain a constant, $g \in AgtNames$. 390
- (3) The *Grp* field must contain a constant, $GR \in GrpNames$. 391
- (4) The *ExVars* field must contain a list of zero or more constants belonging to the set 392 VarNames. 393
- The *DefVars* field must contain a list of zero or more *DefVar* specifications, each having (5)394 one of the following two forms: 395

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396		(a) (v, X) , where:
397		• $v \in VarNames$, but $v \notin ExVars$; and
398		• X can be a constant, $c \in Constants$; or a set of zero or more propositions, each
399		having the form, $\phi(_, t_1,, t_n)$, where $\phi \in PredNames, n \ge 0$, and each
400		$t_i \in Constants \cup VarNames.$
401		(b) (v, Y, g_2, id_2, v_2) , where:
402		• $v \in VarNames$, but $v \notin ExVars$;
403		• Y is a set of propositions, like X above, except that exactly one of the propo-
404		sitions in Y must have the form, $Sel(g_2, _, "v", id)$, where g_2 is as described
405		below and <i>id</i> is the value of the <i>ID</i> field for this DIS. ¹⁰
406		$\circ g_2 \in AgtNames \cup GrpNames;$
407		• $id_2 \in IdNames$; and
408		$\circ v_2 \in VarNames.$
409		Note: The variables appearing as first arguments of DefVar specifications must be
410		distinct.
411	(6)	The <i>ActType</i> field must be empty or contain a single act-type expression of the form
412		$type@arg_1(val_1) \dots @arg_n(val_n)$, where $type \in ActTypeNames, \{arg_1, \dots, arg_n\}$ is an
413		<i>n</i> -element subset of <i>ActTypeArgs</i> , and each $val_i \in Constants \cup VarNames$;
414	(7)	The SubBoxes field in a DIS structure must contain a set of zero or more DIS structures;
415		the SubBoxes field in a DIS* structure can contain either DIS or DIS* structures (or
416		both).
417	(8)	The Conds field must contain a set of zero or more conditions, each having one of the
418		following forms:
419		• $\phi(t_1, \ldots, t_n)$, where $\phi \in PredNames$ and each $t_i \in VarNames \cup Constants$; or
420		• $Sel(g, v, "w", id)$, where g is the value of the Agt field for this DIS, $v \in VarNames$,
421		$w \in VarNames$, and $id \in IdNames$.

4 The semantics of dynamic intention structures 422

As has already been seen, there are two ways that a DIS can be translated into a formula 423 in first-order logic: either as a stand-alone box, which yields an intention formula, or as a 424 subsidiary box (i.e., in the context of a parent structure), which yields an Achieved(...) for-425 mula. Each translation function takes a DIS or DIS* structure, D, as its input and generates 426 as its output a sentence in first-order modal logic. ||D|| is the translation of D as a stand-alone 427 box, while $||D||^c$ is the "in context" translation of D as a subsidiary box. 428

A note on logical combinations of intentions. In this article we restrict attention to DISs 429 whose content involves conjunctions of propositions within the scope of various quantifiers. 430 In the companion paper [27] on intention revision, we address arbitrary logical combinations 431 of intentions, including conditional intentions, and their consequences. 432

A note about free variables. The syntax rules presented in Sect. 3 permit DISs to have free 433 variables. For example, a DIS might have the proposition, Car(v), in its Conds field, but not 434

have any corresponding entry for v in its ExVar or DefVar fields. However, the translation 435

functions defined in this section, like all of the examples seen so far in this article, restrict 436

attention to DISs that do not have any free variables. Later on, in Sect. 6.2, examples involv-437

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¹⁰ The last three arguments, g_2 , id_2 and v_2 , are optional. They are allowed only for bookkeeping convenience when some other agent or group, g_2 , shall determine the value for v. Example 3 in Sect. 6.1 illustrates their use.

438 ing free variables are considered. At that time, the definitions of the translation functions are439 extended to accommodate free variables.

A note about the optional arguments in a DefVar specification. The optional arguments in a
 DefVar specification (cf. item 5b in Sect. 3) are for convenience only. They play no role in the
 translation of a DIS or DIS* into a formula of first-order logic. Thus, they are not addressed
 in this section.

The most general forms of a DIS D (on the left) and a DIS*D* (on the right) are shown below.

ID/Agt:	id / g	ID/Agt /Grp:	id / g / GR
ExVars:	v_1, \ldots, v_m	ExVars:	v_1,\ldots,v_m
DefVars:	$(w_1, X_1), \dots, (w_n, X_n)$	DefVars:	$(w_1, X_1),, (w_n, X_n)$
ActType:	α	ActType:	α
SubBoxes:	S_1, \ldots, S_k	SubBoxes:	$\mathcal{S}_1,\ldots,\mathcal{S}_k$
Conds:	ϕ_1,\ldots,ϕ_p	Conds:	ϕ_1,\ldots,ϕ_p

"Stand-Alone" translation. The "stand-alone" translations of D and D^* are defined as follows.

⁴⁹
$$||D|| =_{def} IntTh(g, id, \langle \lambda y_1, \langle \lambda y_2, \cdots, \langle \lambda y_n, \Omega(g) \rangle \Upsilon_n, \ldots \rangle \Upsilon_2 \rangle \Upsilon_1)$$

$$\|D^*\| =_{def} IntTh^*(g, id, GR, \langle \lambda y_1, \langle \lambda y_2, \cdots \langle \lambda y_n, \Omega(GR) \rangle \Upsilon_n \ldots \rangle \Upsilon_2 \rangle \Upsilon_1)$$

451 where:

- $452 \quad \bullet \quad \Omega(A) \equiv (\exists x_1, \dots, x_m) (\|\phi_1\| \wedge \dots \wedge \|\phi_p\| \wedge Done(\|\alpha @ \operatorname{agt}(A)\|) \wedge \|S_1\|^c \wedge \dots \wedge \|S_k\|^c)$
- for each $j \in \{1, ..., p\}$, $\|\phi_j\|$ is the same as ϕ_j , except that all occurrences of v_i , w_i and " v_i " have been replaced by x_i , y_i and v_i , respectively.
- $\|\alpha @ agt(A)\|$ is the same as $\alpha @ agt(A)$, except that all occurrences of v_i and w_i have been replaced by x_i and y_i , respectively;
- for each $e \in \{1, ..., n\}$, X_e can be either a constant symbol or a set of propositions.
- If X_e is a constant symbol, c, then Υ_e is simply c.

• If
$$X_e$$
 is a set of propositions, $\{\Phi_1, \ldots, \Phi_{q_e}\}$, then Υ_e is the iota expression, $(\iota s_e \cdot \bigwedge_{j=1}^{q_e})$
 $\|\Phi_j\|$, where each $\|\Phi_j\|$ depends on the form of Φ_j , as follows.

461 - If Φ_j has the form, $\phi(_, t_1, ..., t_r)$, then $\|\Phi_j\|$ is the same as $\phi(s_e, t_1, ..., t_r)$, 462 except that all occurrences of v_i and w_i have been replaced by x_i and y_i , respec-463 tively.

- If
$$\Phi_j$$
 has the form $Sel(g, _, "v'", id')$, then $\|\Phi_j\| \equiv Sel(g, e, v', id')$.

Notice that each subsidiary box, S_i , is translated using the "in context" translation function, $\|\cdot\|^c$.

"In Context" translation. The "in context" translations of D and D^* are defined as follows.

$$\|D\|^{c} =_{def} \langle \lambda y_{1}. \langle \lambda y_{2}. \cdots \langle \lambda y_{n}. Achieved(g, \Omega(g)) \rangle \Upsilon_{n} \ldots \rangle \Upsilon_{2} \rangle \Upsilon_{1}$$

$$\|D^*\|^c =_{def} \langle \lambda y_1, \langle \lambda y_2, \cdots \langle \lambda y_n, Achieved(GR, \Omega(GR)) \rangle \Upsilon_n \ldots \rangle \Upsilon_2 \rangle \Upsilon_1$$

where Ω and $\Upsilon_1, \ldots, \Upsilon_n$ area as given above. Notice that when treating D (resp. D^*) as a subsidiary box in the context of some parent box, its sub-boxes are also translated "in context", yielding *Achieved*(...) clauses.

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473 5 DIS-creation and DIS-update operations

This section presents the primitive DIS-creation and DIS-update operations that are used to 474 create new DIS structures and incrementally update existing ones. The DIS-update operations 475 discussed in this section are restricted to cases where new data is added to an existing DIS; 476 they do not address cases where existing content is *deleted* from a DIS.¹¹ Furthermore, the 477 DIS resulting from an update operation is not guaranteed to be self-consistent. For example, 478 it is possible, although irrational, for an agent to add the condition "not red" to its intention 479 to rent a red car. However, such cases are easily discovered by examining the translation of 480 the resulting DIS into FOL. Finally, even if a new or updated DIS is self-consistent, it may 481 not be consistent with the rest of the intentions in an agent's database of intentions. Such 482 problems are resolved through a process of intention *revision*, which is treated in a companion 483 paper [27]. 484

In view of these restrictions, the rest of this article presumes that DIS-update operations are applied to consistent DISs, and that the resulting structures are also consistent.

487 Since the syntax of these operations is closely related to the syntax of the DIS structures
 488 presented in Sect. 3, the descriptions of most of the operations avoid needless repetition by
 489 referring to the corresponding numbered items from Sect. 3.

- 490 5.1 DIS-creation operations
- ⁴⁹¹ There are two DIS-creation operations.
- NewDIS(id, g)—creates a new DIS with its *ID* field set to $id \in IdNames$ and its *Agt* field set to $g \in AgtNames$ (cf. items 1 and 2 in Sect. 3).
- NewDIS* (id, g, GR)—creates a new DIS* with ID set to $id \in IdNames$, Agt set to $g \in AgtNames$, and Grp set to $GR \in GrpNames$ (cf. items 1, 2 and 3 in Sect. 3).
- 496 5.2 DIS-update operations

Each of the following DIS-update operations takes an existing DIS (or DIS^{*}) structure as its first argument. In the descriptions below, D stands for an existing DIS (or DIS^{*}) structure. Each DIS-update operation generates an updated version of D, which is guaranteed to be another DIS (or DIS^{*}). Alternatively, each update operation can be viewed as destructively modifying the contents of its input DIS.

- AddExVar(D, v)—Adds the parameter $v \in VarNames$ to the ExVar field in D (cf. item 4 in Sect. 3). Only applicable if v does not already appear as an ExVar or DefVar parameter in D.
- AddDefVar(D, v, X)—Adds the entry (v, X) to the *DefVars* field in *D*, where (v, X) is as described in item 5a of Sect. 3. Not applicable if *v* already appears as an *ExVar* or *DefVar* parameter in *D*.
- AddDefVar $(D, v, Y, g_2, id_2, v_2)$ —Adds the entry (v, Y, g_2, id_2, v_2) to the DefVars field in D, where (v, Y, g_2, id_2, v_2) is as described in item 5b of Sect. 3. Not applicable if v already appears as an ExVar or DefVar parameter in D.

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¹¹ That is not to say that deleting information from a DIS is not important. For example, I might originally intend to rent a red car, only to find out later that red cars are way too expensive for my budget. In response, I would normally delete the "red" condition from my intention. However, the deletion of content from a DIS is beyond the scope of this article.

- AddDefVarCond(D, v, Φ)—Adds the condition, Φ , to the DefVar entry for v in D, where 511 Φ has the form, $\phi(\underline{t}_1, \ldots, t_n)$, as described in item 5a of Sect. 3. Only applicable if D 512
- already contains a *DefVar* entry for v, as described in item 5b of Sect. 3. 513 AddActType(D, α)—Adds the act type expression α to the ActType field of D (cf. item 6 514
- in Sect. 3). Only applicable if the *ActType* field of *D* is empty. 515
- AddActArg(D, @arg(val))—Appends the act type argument, @arg(val), to the ActType 516 entry in D. Only applicable if the ActType field of D is non-empty and does not already contain an act-type argument named arg (cf. item 6 in Sect. 3). 518
 - AddSubBox (D, D_s) —Adds D_s to the SubBoxes field of D. If D is a DIS, then D_s must be a DIS; if D is a DIS^{*}, D_s can be either a DIS or a DIS^{*} (cf. item 7 in Sect. 3).
- $AddConds(D, \{\Phi_1, \ldots, \Phi_p\})$ —Adds the conditions, Φ_1, \ldots, Φ_p , to the Conds field in 521 D, where each Φ_i must have one of the forms, $\phi(t_1, \ldots, t_n)$ or Sel(g, v, "w", id), as 522 described in item 8 of Sect. 3. 523
- ShiftVar(D, v, X)—Removes the parameter v from the ExVar field of D, and adds the 524 entry, (v, X), to the *DefVar* field of D, where (v, X) is as described in item 5a of Sect. 3. 525 Only applicable if D already has v as an *ExVar* entry. 526
- ShiftVar $(D, v, Y, g_2, id_2, v_2)$ —Removes the parameter v from the ExVar field of D, and 527 adds the entry, (v, Y, g_2, id_2, v_2) , to the *DefVar* field of *D*, where (v, Y, g_2, id_2, v_2) is as 528
- described in item 5b of Sect. 3. Only applicable if D already has v as an ExVar entry. 529

6 Sample scenario illustrating dynamic intention structures 530

This section presents a dynamic scenario involving four agents—Alice, Bob, Chris and 531 Zoe—represented as A, B, C and Z, respectively. Alice, Bob and Chris constitute a group, 532 GR, planning to travel to Boston together. The scenario is dynamic because, we presume, the 533 GAR intentions held by the agents motivate them to participate in group decision-making 534 processes aimed at elaborating their partially specified plan. When they successfully arive at 535 a group decision (e.g., that Alice should be the one to get the car), it obliges them to update 536 their GAR intentions and, in some cases, to adopt new intentions. Although the examples 537 in this section may make reference to the decisions that prompted the agents to adopt new intentions or update existing intentions, the focus here is on representing the intentions them-539 selves using DIS structures and on the operations that create new DISs or update existing 540 DISs.¹² 541

In the process of elaborating their plan, the group decides that Alice should be the one 542 to get the car. In response, Alice subsequently adopts a separate intention to rent a car from 543 Zoe. Since this side scenario is simpler, we begin with it. 544

6.1 Side scenario: Alice rents a car 545

For the sake of expositional simplicity, we assume that Alice and Zoe do not have all of 546 the intentions required of a SharedPlan. In particular, they have no GAR intentions. Instead, 547 each simply has an intention concerning certain aspects of the rental action. The main sce-548 nario, treated afterward, examines intentions (including GAR intentions) in the context of a 549 SharedPlan. 550

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¹² Grosz and Hunsberger [13] discuss in detail the relationships between GAR intentions, group decisionmaking processes, group decisions, obligations and intention updates.

551 Example 1 Alice intends to rent a car

This example has already been encountered (cf. Example 1 in Sect. 2). However, here we provide some additional details. Alice's intention to rent a car can be represented by a DIS. The creation of that DIS can be decomposed into several primitive operations—namely, to create a new DIS and then update it. The DIS—call it D_A —representing Alice's intention to rent a car is created by the following operations.

- 557 $[\sigma_{1,1}]$ $D_A = NewDIS(idA, A)$ —Create a new DIS with id idA for the agent A.
- ⁵⁵⁸ $[\sigma_{1,2}]$ AddExVar (D_A, w_c) —Add a new ExVar parameter named w_c to D_A .
- ⁵⁵⁹ $[\sigma_{1.3}]$ AddConds $(D_A, \{Car(w_c)\})$ —Add the condition, $Car(w_c)$, to D_A .
- 560 $[\sigma_{1,4}]$ AddActType(D_A , rent@obj(w_c))—Add the act-type, rent@obj(w_c), to D_A .

⁵⁶¹ Here is the resulting DIS and its translation into first-order logic:

 $D_A = \begin{bmatrix} ID/Agt: & idA / A \\ ExVars: & w_c \\ ActType: & rent@obj(w_c) \\ Conds: & Car(w_c) \end{bmatrix}$

$$||D_A|| = IntTh(A, idA, (\exists y_c)(Done(rent@agt(A)@obj(y_c)) \land Car(y_c)))$$

564 Example 2 Alice decides that the car she rents should be Blue

Alice's decision in this case is, in effect, a commitment to perform a single primitive update operation, $\sigma_{2.1}$.

⁵⁶⁷ $[\sigma_{2,1}]$ AddConds $(D_A, \{Blue(w_c)\})$ —Add the condition, $Blue(w_c)$, to D_A .

Here is the updated D_A and its translation into first-order logic:

$$D_{A} = \begin{bmatrix} ID/Agt: & idA / A \\ ExVars: & w_{c} \\ ActType: & rent@obj(w_{c}) \\ Conds: & Car(w_{c}), Blue(w_{c}) \end{bmatrix}$$

 $||D_A|| = IntTh(A, idA, (\exists y_c)(Done(rent@agt(A)@obj(y_c)) \land Car(y_c) \land Blue(y_c)))$

571 Example 3 Alice decides to rent the car from Zoe

This situation might arise, for example, if Alice (the customer) and Zoe (the rental car agent) 572 made an agreement stipulating that Zoe would select a car for Alice, and Alice would rent 573 that car. (Some features of this example are similar to those seen earlier in Example 5 of 574 Sect. 2.) Such an agreement would entail obligations on Alice and Zoe. In particular, Alice 575 would be obliged to rent whatever car Zoe selects, and Zoe would be obliged to select a car 576 for Alice. In response, Alice updates her intention to reflect that Zoe will be responsible for 577 selecting the car, and Zoe adopts an intention to select a car for Alice. (These responses could 578 occur simultaneously or in either order.) 579

Alice's intention update. Alice updates her intention by applying the update operations $\sigma_{3.1}$ through $\sigma_{3.6}$, listed below, to her pre-existing DIS. Notice that part of Alice's updated intention stipulates that Zoe shall select a blue car for her. This part of Alice's intention is represented by a subsidiary box, D_s , generated by the update operations $\sigma_{3.3}$ through $\sigma_{3.6}$.

⁵⁸⁴ $[\sigma_{3,1}]$ AddActArg(D_A , @from(Z))—Add the argument, @from(Z), to the act type in D_A .

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 $[\sigma_{3.2}]$ ShiftVar(D_A , w_c, {Sel(Z, _, "w_c", idA), Car(_), Blue(_)}, Z, idZ, u_c) 585

- Shift the variable associated with w_c in D_A from the ExVar category to the DefVar category. The value of this variable is to be determined by the given set of conditions. The arguments, Z, idZ and u_c , indicate that Zoe shall be selecting the value of w_c , that the ID of Zoe's DIS is idZ, and the parameter name for the car within Zoe's DIS is u_c.¹³
- $[\sigma_{3,3}]$ $D_s = NewDIS(idZ, Z)$ —Create the new box, D_s . 591
- $[\sigma_{3,4}]$ AddExVar (D_s, u_c) —Add a new ExVar parameter named u_c to D_s 592
- $[\sigma_{3.5}]$ AddConds(D_s , {Sel(Z, u_c, "w_c", idA), Car(u_c), Blue(u_c)}) 593
- Add the conditions, $Sel(Z, u_c, "w_c", idA)$, $Car(u_c)$ and $Blue(u_c)$, to the box, D_s . 595
- $[\sigma_{3.6}]$ AddSubBox (D_A, D_s) —Add D_s as a subsidiary box to D_A . 596
- Here is Alice's updated DIS and its translation into first-order logic. 597

	ID/Agt:	idA/A		
	DefVars:	(w _c , { <i>Sel</i> (Z, _, "w _c ", idA), <i>Car</i> (_), <i>Blue</i> (_)}, Z, idZ, u _c)		
	ActType:	rent@obj(w _c)@from(Z)		
$D_A =$		ID/Agt: idZ/Z		
	SubBoxes:	ExVars: u _c		
		Conds: $Sel(Z, u_c, w_c, idA), Car(u_c), Blue(u_c)$		
	Conds:	$Car(w_{c}), Blue(w_{c})$		

$$||D_A|| = IntTh(A, idA, \langle \lambda y_c. \Phi_1 \land \Phi_2 \rangle \Upsilon)$$

where: 600

$$\Phi_1 \equiv Done(rent@agt(A)@obj(y_c)@from(Z)) \land Car(y_c) \land Blue(y_c)$$

$$\Phi_2 \equiv Achieved(\mathbb{Z}, (\exists z_c)(Sel(\mathbb{Z}, z_c, w_c, id\mathbb{A}) \land Car(z_c) \land Blue(z_c)))$$

$$\Upsilon \equiv (\mathfrak{1}s.Sel(\mathbb{Z}, s, \mathbb{W}_{\mathbb{C}}, \mathsf{idA}) \wedge Car(s) \wedge Blue(s))$$

Zoe's new intention. Zoe's new intention is represented by a DIS—call it D_Z —that, in this 604 case, is identical to the subsidiary box, D_s , seen above. However, it is important to distinguish 605 D_s and D_Z since updates that Zoe makes to her intention will be reflected in D_Z , but need 606 not be reflected in D_s . For example, Zoe might not inform Alice that she plans to facilitate 607 her selection of a car by putting on her glasses. The subsidiary box D_s represents what Alice 608 intends Zoe to achieve for her; this information need not include a complete plan for how Zoe 609 makes her selection. In contrast, D_Z represents Zoe's intention as it evolves over time. The 610 operations $\sigma_{3,3}, \ldots, \sigma_{3,6}$ above can be used to create D_Z , whose "stand-alone" translation 611 into first-order logic is given by: 612

$$\|D_Z\| = IntTh(\mathbb{Z}, id\mathbb{Z}, (\exists z_c)(Sel(\mathbb{Z}, z_c, w_c, id\mathbb{A}) \land Car(z_c) \land Blue(z_c)))$$

Example 4 Alice tells Zoe that the car should be an economy car 614

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Alice. Below are the update operations that are applied to Alice's DIS.¹⁴ 615

¹³ It would be perfectly fine for the parameter name in Zoe's DIS to be the same as the corresponding parameter name in Alice's DIS. They are shown here as different just to highlight the possibility.

¹⁴ The redundancy in these update operations derives from the following: (1) Alice intends that the car be an economy model; (2) Alice intends that Zoe select an economy model; and (3) Alice intends to rent whatever economy model, blue car Zoe selects. It might be possible to remove some of this redundancy by, for example, making the subsidiary box D_s one of the arguments of the *DefVar* specification. However, this would complicate both the syntax and semantics; thus, we do not explore it here.

- 616 $[\sigma_{4,1}]$ AddConds $(D_A, \{Econ(w_c)\})$ —Add the constraint, $Econ(w_c)$, to D_A .
- ⁶¹⁷ $[\sigma_{4.2}]$ AddDefVarCond $(D_A, w_c, Econ(_))$ —Add the constraint, $Econ(_)$, to the DefVar ⁶¹⁸ entry for w_c .
- ⁶¹⁹ $[\sigma_{4.3}]$ AddConds $(D_s, \{Econ(u_c)\})$ —Add the constraint, $Econ(u_c)$, to the subsidiary box, ⁶²⁰ D_s .
 - Below are the resulting DIS and its translation into first-order logic.

	ID/Agt:	idA/A			
	DefVars:	$(W_{C}, \{Sel(Z$,_,"w _c ", idA), Car(_), I	Blue(_), Econ(_)}, Z, idZ, 1	u _c)
	ActType:	rent@ob	$j(w_c)@from(Z)$		
ת –		ID/Agt:	idZ/Z		
$D_A =$	SubDoyogt	ExVars:	u _c		
	Subboxes.	Conds:	Sel(Z, u _c , "w _c ", idA),	$Car(u_c), Blue(u_c),$	
			$Econ(u_c)$		
	Conds:	$Car(w_{c}), B$	$Plue(w_{c}), Econ(w_{c})$		

- 623 $||D_A|| = IntTh(A, idA, \langle \lambda y_c. \Phi'(y_c) \rangle \Upsilon')$
- 624 where:

$$\Phi'(y_c) \equiv Done(\text{rent@agt}(A)@obj(y_c)@from(Z)) \land Car(y_c) \land Blue(y_c) \land Econ(y_c) \land Car(y_c) \land Car(y_c)$$

 $_{\texttt{626}} \quad \Upsilon' \quad \equiv (\texttt{1s.Sel}(\texttt{Z}, s, \texttt{w}_{\texttt{C}}, \texttt{idA}) \land Car(s) \land Blue(s) \land Econ(s))$

⁶²⁷ *Zoe.* We assume that Zoe accepts Alice's new constraint that the car be an economy model.¹⁵ ⁶²⁸ Thus, Zoe decides to update her intention accordingly. In this case, the intention update is ⁶²⁹ modeled by the following operation (which is nearly identical to $\sigma_{4.3}$ above).

- 630 $[\sigma_{4.4}]$ AddConds $(D_Z, \{Econ(u_c)\})$ —Add the constraint, $Econ(u_c)$, to D_Z .
- Below are the updated version of D_Z and its "stand-alone" translation.

idz/z

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 $D_{Z} = \begin{bmatrix} ExVars: & u_{c} \\ Conds: & Sel(Z, u_{c}, ``w_{c}", idA), Car(u_{c}), Blue(u_{c}), Econ(u_{c}) \end{bmatrix}$ $\|D_{Z}\| = IntTh(Z, idZ, (\exists z_{c})(Sel(Z, z_{c}, w_{c}, idA) \land Car(z_{c}) \land Blue(z_{c}) \land Econ(z_{c})))$

633

Notice that constraints inserted by the intention-update operations $\sigma_{4,1}, \ldots, \sigma_{4,4}$ are only superficially different. The different versions could be obtained by applying the single predicate abstraction, $\langle \lambda s. Econ(s) \rangle$, to the respective terms, w_c , _, u_c , and u_c .

637 6.2 Main scenario: group travels to Boston

ID/Agt:

Note. Now that several DIS-creation and DIS-update operations have been demonstrated, the
 operations for the examples below will only be given English glosses when they are needed
 for clarification.

641 Example 1 Alice, Bob and Chris decide to travel to Boston together

Following Grosz and Hunsberger's Coordinated Cultivation of SharedPlans (CCSP) model [13], we assume that in response to such a decision, each of the agents adopts a GAR intention

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¹⁵ Again, the focus here is not on the communication between Alice and Zoe, or on any subsequent decisions. Instead, it is on intention-update operations and the resulting intentions, which are represented by DISs.

that the group does the travel action. The GAR intentions held by the different individuals in the group all have the same content, except for the Agt entry. Let g be A, B or C (i.e., Alice, Bob or Chris). Let D^* be the DIS* representing agent g's GAR intention. Then D^* can be generated by the following operations.

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- $[\tau_{1.1}] D^* = NewDIS^*(idGR, g, GR)$
- $[\tau_{1.2}] AddDefVar(D^*, v_{dn}, Boston)$
- $[\tau_{1.3}] AddActType(D^*, travel@to(v_{dn}))$

Below are the resulting D^* and its translation into first-order logic.

	ID/Agt /Grp:	idGR/ g /GR
$D^* =$	DefVars:	$(v_{dn}, Boston)$
	ActType:	$travel@to(v_{dn})$

 $\|D^*\| = IntTh^*(g, idGR, GR, \langle \lambda x_{dn}. Done(travel@agt(GR)@to(x_{dn})) \rangle Boston)$

Example 2 The group decides that they will travel to Boston by getting and driving a car

The group's decision is to travel to Boston by doing two subsidiary actions involving a single car: one of them will get the car (get); and one of them will drive it (drive). This group decision obliges each agent to update its coresponding GAR intention. The composite update can be broken down into the following primitive DIS-update operations:

- [$\tau_{2.1}$] $AddExVar(D^*, v_c)$, $AddExVar(D^*, v_g)$, $AddExVar(D^*, v_d)$ —Variables representing the car, the get agent, and the drive agent.
- 662 $[\tau_{2.2}] AddConds(D^*, \{Car(v_c)\})$

663 $[\tau_{2.3}]$ $D_1 = NewDIS(idSub1, v_g)$ —Subsidiary box for the get action.

- 664 $[\tau_{2.4}] AddActType(D_1, get@obj(v_c))$
- 665 $[\tau_{2.5}]$ AddSubBox (D^*, D_1)
- 666 $[\tau_{2.6}]$ $D_2 = NewDIS(idSub2, v_d)$ —Subsidiary box for the drive action.
- 667 $[\tau_{2,7}]$ AddActType(D_2 , drive@obj(v_c)@to(v_{dn}))
- 668 $[\tau_{2.8}] AddSubBox(D^*, D_2)$
- ⁶⁶⁹ The resulting DIS^{*} and its translation into first-order logic are given below.

		ID/A at /Carros				
		IDIAgi /Grp:	1dGR/g/C	σK		
	$D^* =$	Exvars:	v_g, v_d, v_c			
		DefVars:	(v _{dn} , Boston)			
670		SubBoxes:	ID/Agt:	idSub1/v _g		
			ActType:	$get@obj(v_c)$,	
			ID/Agt:	idSub2/v _d		
			ActType:	drive@obj(v _c)@to(v _{dn})	
		Conds:	$Car(v_c)$			

 $\|D^*\| = IntTh^*(g, idGR, GR, \langle \lambda x_{dn}, (\exists x_g, x_d, x_c)(\Phi_1 \land \Phi_2 \land Car(x_c)) \rangle Boston)$

672 where:

673 $\Phi_1 \equiv Achieved(x_e, Done(get@agt(x_e)@obj(x_c)))$

$$\Phi_2 \equiv Achieved(x_d, Done(drive@agt(x_d)@obj(x_c)@to(x_{dn})))$$

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Translating subsidiary boxes that contain free variables. Notice that in the above example, D^* contains four parameters: v_g , v_d , v_c and v_{dn} . In the translation of D^* into a logical formula, each occurrence of these variables is translated into an occurrence of x_g , x_d , x_c or x_{dn} , respectively. Notice further that, viewed as stand-alone boxes, the subsidiary boxes within D^* include free-variable occurrences of v_g , v_d , v_c and v_{dn} , including within the *Agt* and *Grp* fields. Thus, we first extend the syntax rules for these fields:

(2') The Agt field must contain either a single constant, $g \in AgtNames$, or a single variable, $v \in VarNames$.

(3') The *Grp* field must contain a constant, $GR \in GrpNames$, or a variable, $v \in VarNames$.

Next, we must extend the "in context" translation function to accommodate the presence of 684 685 free variables within the subsidiary boxes of D^* . The proper "in context" translation of these variables should be consistent with occurrences of those same variables in the parent box, D^* . 686 Thus, occurrences of v_{α} inside the subsidiary boxes should be translated into occurrences 687 of x_{g} , and so forth. To ensure that this happens, we include an *environment* as an optional 688 argument to the "in context" translation function, $\|\cdot\|^c$. An environment is simply a list of 689 pairs, where each pair has the form (v, x), where v is a parameter (either *ExVar* or *DefVar*) 690 and x is the logical variable that v is translated into. When performing the "in context" trans-691 lation of a subsidiary box, S, that resides within some DIS D, the translation of S is given 692 by: 693

⁶⁹⁴ $\|S, E\|^c \equiv \|S\|^c$, except that all free occurrences of parameters in *S* must be translated ⁶⁹⁵ according to the corresponding entry in the environment *E*—where *E* contains an entry ⁶⁹⁶ for each *ExVar* and each *DefVar* parameter in *D*.

The example given above illustrates the "in context" translation of subsidiary boxes that contain free variables that are "captured" by the parent box.

Example 3 The group decides Alice should be the one to get (and thereby select) the car *updating D*^{*} (*i.e., the GAR intentions held by each agent in the group*).

This decision obliges each agent to update its GAR intention to reflect (1) the selection of 701 A as the value for the agent variable, v_{q} , in their plan; and (2) that Alice's getting of the 702 car should determine which car they use in their plan (i.e., that the value of the variable v_c 703 should be whatever car Alice gets). The first update is accomplished by shifting the variable 704 v_q in the box D^* from the *ExVar* to the *DefVar* category, and giving it the value A (cf. 705 $\tau_{3.1}$ below). The second update is accomplished by similarly shifting the variable, v_c , from 706 the ExVar to the DefVar category; however, in this case, the value of v_{c} is determined by 707 a set of conditions (cf. $\tau_{3,2}$ below). Simultaneously, the subsidiary box for the get action 708 needs to have a new ExVar variable representing the car that Alice gets (cf. $\tau_{3.3}$ and $\tau_{3.4}$ 709 710 below).

711 $[\tau_{3.1}]$ ShiftVar (D^*, v_g, A)

- ⁷¹² $[\tau_{3,2}]$ ShiftVar(D^* , v_c , {Done(get@agt(v_g)@obj(_)), Car(_)}, A, idSub1, w_c)
- 713 $[\tau_{3.3}]$ AddExVar (D_1, w_c)
- 714 $[\tau_{3.4}]$ AddConds $(D_1, \{Car(w_c)\})$

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Below are the updated version of D^* and its translation into first-order logic.

Author Proof

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where:

	ID/Agt /Grp:	idGR/g/C	GR			
	ExVars:	Vd		C .		
	DefVars:	(v _{dn} , Bost	on), (v_g, A) ,			
$D^* =$		$(v_c, \{Done(get@agt(v_g)@obj(_)), Car(_)\}, A, idSub1, w_c)$				
		ID/Agt:	idSub1/v _g			
	SubBoxes:	ExVars:	W _C			
		ActType:	get@obj(w _c)	,		
		Conds:	$Car(w_{c})$			
		ID/Agt:	idSub2/v _d			
		ExVars:				
		ActType:	drive@obj(v _c)@to(v _{dn})			
		Conds:				
	Conds:	$Car(v_c)$				

 $\|D^*\| = IntTh^*(g, \text{idGR}, \text{GR}, \langle \lambda x_{dn}, \langle \lambda x_g, \langle \lambda x_c, (\exists x_d)(\Phi'_1 \land \Phi_2 \land Car(x_c)) \rangle \Upsilon \rangle \mathbb{A} \rangle \text{Boston})$

- $\Phi'_1 \equiv Achieved(x_g, (\exists y_c)Done(get@agt(x_g)@obj(y_c)) \land Car(y_c))$
- $\Phi_2 \equiv Achieved(x_d, Done(drive@agt(x_d)@obj(x_c)@to(x_{dn})))$

 $\Upsilon \equiv (is.Done(get@agt(x_g)@obj(s)) \land Car(s))$

Alice. In response to the group decision that Alice should get the car, and insodoing select the
 car for the group activity, Alice is obliged to get the car. Thus, she adopts a new, subsidiary
 intention to get a car. Although each of the agents in the group holds the high-level GAR
 intention, only Alice adopts the subsidiary intention aimed at getting the car.

Let D_1^A be the DIS representing Alice's intention that she get a car. D_1^A is nearly identical to the subsidiary box, D_1 , discussed above.¹⁶ The only difference is that the *Agt* field contains the constant A instead of the *DefVar*, v_g . (Later examples will illustrate cases where there are greater differences between a subsidiary DIS and the corresponding DIS representing an adopted intention.)

$$D_{1}^{A} = \begin{bmatrix} ID/Agt: & idSub1 / A \\ ExVars: & w_{c} \\ ActType: & get@obj(w_{c}) \\ Conds: & Car(w_{c}) \end{bmatrix}$$

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 $||D_1^A|| = IntTh(A, idSub1, (\exists y_c)(Done(get@agt(A)@obj(y_c)) \land Car(y_c)))$

730 Example 4 Alice decides to get a car by renting it

In response to her decision, not only must Alice update her original intention to reflect that she will be getting a car by renting it, but also she must adopt a subsidiary intention to rent a car that, if satisfied, will necessarily satisfy her original intention. In fact, Alice will normally suspend processing of her intention to get a car, while she focuses on processing her intention to rent a car. The group need not know anything about how Alice is getting a car; thus, this decision of Alice's need not have any impact on the GAR intentions held by each agent in the group.

⁷³⁸ Updating Alice's intention to get a car. Because Alice intends to get a car by renting it, the ⁷³⁹ variable representing the car in D_1^A must be changed from the *ExVar* category to the *Def*-⁷⁴⁰ Var category. By doing this, Alice's intention is effectively changed from "I intend to get a

¹⁶ Since the DIS-creation and DIS-update operations are so similar to those used to create D_1 , they are not presented here.



car" to "I intend to get whatever car I rent." Notice that this implicit kind of selection does not employ explicit selection, for example, as represented by the Sel(...) predicate in prior examples. Below are the update, $\tau_{4.1}$, the resulting DIS, and its translation.

⁷⁴⁴ $[\tau_{4.1}]$ ShiftVar $(D_1^A, w_c, \{Done(rent@agt(A)@obj(_)), Car(_)\}, A, idSublr, u_c)$

 $D_{1}^{A} = \begin{bmatrix} ID/Agt: & idSub1/A \\ DefVars: & (w_{c}, \{Done(rent@agt(A)@obj(_)), Car(_)\}, A, idSub1r, u_{c}) \\ ActType: & get@obj(w_{c}) \\ Conds: & Car(w_{c}) \end{bmatrix}$

746 $\|D_1^A\| = IntTh(A, idSub1, \langle \lambda y_c. Done(get@agt(A)@obj(y_c)) \land Car(y_c) \rangle \Upsilon_r)$ 747 where: $\Upsilon_r = (\imath s. Done(rent@agt(A)@obj(s)) \land Car(s)).$

Alice's new intention to rent a car. Let D_{1r}^A be the new DIS representing Alice's new intention to rent a car. Like the DIS for her previous intention to get a car, D_{1r}^A will contain an existential variable representing the car, a constraint that the item be a car, and an act type—in this case, representing her rental action. Below are D_{1r}^A and its translation into first-order logic.

 $D_{1r}^{A} = \begin{bmatrix} ID/Agt: & idSublr/A \\ ExVars: & u_{c} \\ ActType: & rent@obj(u_{c}) \\ Conds: & Car(u_{c}) \end{bmatrix}$ $\|D_{1r}^{A}\| = IntTh(A, idSublr, (\exists z_{c})(Done(rent@agt(A)@obj(z_{c})) \land Car(z_{c})))$

Recalling the side scenario involving Alice and Zoe. A quick glance back at Alice's intention to rent a car that began the side scenario from Sect. 6.1 will reveal that the DIS in that section is essentially the same as D_{1r}^A , above—the only difference being the choice of names for the constants. Thus, the main scenario now continues, assuming that Alice has decided to rent a car by having Zoe select it (a blue car, of course); and that Zoe adopts an intention to select such a car for Alice; and that Alice later informs Zoe that she wants the car to be an economy car.

761 Example 5 The group decides that Bob should drive the car

As with the group's decision that Alice should get the car, their decision that Bob should 762 drive the car obliges each agent to update its corresponding GAR intention. In addition, it 763 obliges Bob to adopt a new, subsidiary intention aimed at the "drive" action. The updating 764 of the GAR intention held by each agent is similar to the updating in the group's selection 765 of Alice to do the "get" action; however, the representation of Bob's intention concerning 766 the "drive" action is more complex, primarily because Bob is supposed to drive to whatever 767 destination is chosen by the group, using whatever car is chosen by whatever agent is chosen 768 by the group to do the "get" action. Notice that Alice, the car and the destination are all 769 represented by free variables in the subsidiary box corresponding to the "drive" action (in 770 the most recent version of D^*). As of this moment, the group has chosen Alice to do the 771 "get" action, but, let us suppose, she has not yet chosen a car. Similarly, the group has chosen 772 Boston as its destination. The representation of Bob's intention should not simply hardwire 773 the current choices for the values of the relevant free variables since the group might later 774 decide to change those values. For example, the group might decide to select a different agent 775 for the "get" action, thereby invalidating Alice's current choice of a car; or the group might 776 decide to go to New York, thereby invalidating the current choice of destination. Similarly, 777 if Alice had already chosen a car, Bob's intention must not simply hardwire that choice of 778

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car since she might later change her mind. Thus, the DIS representing Bob's intention must
be carefully constructed to be robust to these sorts of changes.

Updating the GAR intentions. The updating of the GAR intentions in this case is much simpler than in the earlier case in which the group selected Alice to do the "get" action (cf.
Example 3, above) since the group is not requiring Bob to select values for any parameters.
The only required update is to shift the parameter, v_d, from the *ExVar* to the *DefVar* category.

785 $[\tau_{5.1}]$ ShiftVar (D^*, v_d, B)

Here's the updated version of D^* , representing the GAR intention held by each agent in the group, together with its translation into first-order logic.



⁷⁸⁹ $||D^*|| = IntTh^*(g, idGR, GR, \langle \lambda x_{dn}, \langle \lambda x_g, \langle \lambda x_c, \langle \lambda x_d, \Phi'_1 \land \Phi_2 \land Car(x_c) \rangle B \rangle \Upsilon \rangle A \rangle$ Boston) ⁷⁹⁰ where: $\Phi'_1 \equiv Achieved(x_a, (\exists y_c) Done(get@agt(x_a)@obj(y_c)) \land Car(y_c))$

 $\begin{aligned} \Phi'_1 &\equiv Achieved(x_g, (\exists y_c)Done(\texttt{get@agt}(x_g)@\texttt{obj}(y_c)) \land Car(y_c)) \\ \Phi_2 &\equiv Achieved(x_d, Done(\texttt{drive@agt}(x_d)@\texttt{obj}(x_c)@\texttt{to}(x_{dn}))) \end{aligned}$

 $\Upsilon \equiv (is.Done(get@agt(x_g)@obj(s)) \land Car(s))$

Bob's new intention concerning the "drive" action. As it is currently configured, the box 791 D_2 , defined as the subsidiary box in D^* corresponding to the "drive" action, cannot serve 792 as the stand-alone DIS representing Bob's intention to drive a car. The problem is that D_2 793 contains free variables—in particular, v_d , v_c and v_{dn} . Although the "in context" translation 794 of D_2 as a subsidiary box within D^* leads to the correct Achieved(...) clause within the 795 796 GAR intention represented by D^* , the generation of an intention clause requires using the "stand-alone" translation. And the "stand-alone" translation function is not (and should not 797 be) defined for a DIS containing free variables. Thus, a new DIS must be generated that is 798 similar to D_2 , but in which the free variables have been converted to something else. After all, 799 Bob's intention is to drive not any old car, but whatever car Alice has chosen for the group; 800 and Bob's intention is to drive that car to the destination chosen by the group. Furthermore, 801 since the proper specification of the value of the car refers to the group's choice for the agent 802 doing the "get" action, which is represented by the parameter, v_{α} , in D^* , that parameter too 803 must be properly defined in the DIS for Bob's intention. 804

The robust solution is to start with a copy of the subsidiary box D_2 and convert the free variables, v_{dn} , v_g and v_c , into *DefVar* parameters whose values are drawn from the corresponding *DefVar* entries in D^* . (Since Bob is one of the agents holding the GAR intention represented by D^* , it is proper to assume that the information contained in D^* is available to Bob.) However, the free variable, v_d , which represents the agent of the "drive" action, can simply be hardwired as B, since any change in the value of that parameter would lead Bob to drop his intention anyway.

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First, we introduce the following new syntax for a DefVar entry: 812

813 (5c)
$$(v, defVarValue(id, v))$$

The intended interpretation of such an entry, which will be formalized in the extended translation function, is that the value of the parameter v shall be whatever value v has in the DIS identified by id.

Next, let D_2^B be a copy of the subsidiary DIS D_2 in which the Agt field has been altered to contain the constant, B (i.e., Bob). Here are the DIS-update operations that "capture" the free variables, v_{dn} , v_{q} and v_{c} .

 $[\tau_{6.1}] AddDefVar(D_2^B, v_{dn}, defVarValue(idGR, v_{dn}))$ 820

-Add a DefVar entry for vdn, thus capturing any of its formerly free occurrences in D_2^B . Specify its value to be whatever value is being used in the parent DIS for the variable of the same name.

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- 825

Each of these operations lead to a *DefVar* entry in D_2^B containing the new *defVarValue* syntax. 826

The translation of this new kind of syntax will be addressed momentarily. 827

Here is the updated version of D_2^B together with its translation. 828

$$B29 \qquad D_2^B = \begin{bmatrix} ID/Agt: & idSub2 / B \\ DefVars: & (v_{dn}, defVarValue(idGR, v_{dn})) \\ & (v_g, defVarValue(idGR, v_g)) \\ & (v_c, defVarValue(idGR, v_c)) \\ ActType: & drive@obj(v_c)@to(v_{dn}) \end{bmatrix}$$

$$B30 \qquad \|D_2^B\| \equiv IntTh(B, idSub2, \langle \lambda y_{dn}, \langle \lambda y_g, \langle \lambda y_c, \Phi_2'' \rangle \Upsilon' \rangle A \rangle Boston)$$

$$B31 \qquad \text{where:} \qquad \Phi_2'' \equiv Done(drive@agt(B)@obj(y_c)@to(y_{dn})) \\ \Upsilon' \equiv (is.Done(get@agt(y_g)@obj(s)) \land Car(s)) \end{bmatrix}$$

The translation of defVarValue(...) expressions. The translation of defVarValue(...) expres-832 sions is analogous to the evaluation (and expansion) of macros in the Lisp programming 833 language [34]. For example consider the expression, $defVarValue(idGR, v_g)$, in D_2^B . The 834 first step in the translation of this expression is to replace it by the corresponding expression 835 from the DefVar entry for the parameter, v_{g} , in the DIS, D^* , identified by idGR. That DefVar 836 entry is (v_{α}, A) . Thus, the "macro expansion" of *defVarValue*(idGR, v_{α}) is simply A. That 837 expression is then translated as usual by the "stand-alone" translation function, yielding A. 838

Next, consider the expression, $defVarValue(idGR, v_c)$, in D_2^B . Ignoring the optional 839 arugments, the *DefVar* entry for the variable named v_c in D^* is: $(v_c, \{Done(get@agt$ 840 (v_{α}) @obj(_)), Car(_). Thus, the "macro expansion" of the expression, defVarValue(idGR, 841 v_c), is simply, { $Done(get@agt(v_g)@obj(_)), Car(_)$ }. This set of conditions then gets 842 translated by the "stand-alone" translation function, as usual, yielding: 843

844
$$(is.Done(get@agt(y_g)@obj(s)) \land Car(s))$$

Notice that the logical variable into which v_q is translated is determined by this application 845 of the translation function to D_2^B , which is independent of how v_q gets translated in D^* . 846

Notice that should the group subsequently decide to change any of their decisions (e.g., 847 who should do the "get" action; or where they should travel to), these changes would auto-848 matically be reflected in Bob's intention, without requiring any changes to his DIS. That is, 849 the translation of Bob's DIS would automatically reflect the changes because the defVarValue 850 expressions would generate different terms. 851

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852 **7 Intentions in situ**

In this article we have focused primarily on the partial and dynamic nature of individual inten-853 tions without concern about how any particular intention might interact with other intentions. 854 We believe that an adequate solution to the problems of partiality and dynamics is somewhat 855 orthogonal to the solution of other important problems, such as the proper axiomatization 856 of intention or the revision of intentions. In a companion paper [27], we explore these other 857 issues more deeply, in the context of DIS theory. In this section we present a brief over-858 view of the axiomatization of intention and the process of intention revision covered in the 859 companion paper.¹⁷ 860

Remark on how DISs are used by an agent. We imagine that agents, during the elaboration 861 and negotiation of shared plans, manipulate their respective DISs using the given update 862 operations to modify the content of their intentions. At some point (e.g., as indicated by 863 the particular agent's architecture) agents may wish to consider the consequences of new, 864 individual intentions in the context of their other intentions or beliefs. To consider the logical 865 consequences of a DIS, an agent need only translate the DIS, according to the semantics we 866 have given, into first-order logic.¹⁸ To revise an existing collection of intentions, a process of 867 intention revision, as summarized below and presented in our companion paper [27], is used 868 to compute the maximal subset(s) of existing intentions consistent with the new intention. 869

870 7.1 Axiomatization

As we have indicated, intentions in our work have the general form, $IntTh(G, \phi)$, where G is 871 an agent, ϕ is a formula, and *IntTh* is a modal operator. In this section we dispense with refer-872 ence to the intention identifier; this is always possible, as discussed in Sect. 2.2. The truth of a 873 formula, ϕ —where ϕ can contain modal operators—is then, as usual, expressed relative to a 874 model, M, and a possible world, w, taken from a set of possible worlds, W: M, w, $\models \phi$. The 875 semantics for intention formulas is expressed by way of an intention accessibility relation, 876 $\mathcal{I}_G \subseteq W \times W$, such that (we suppress reference to a model) $w \models IntTh(G, \phi)$ just in case 877 $w' \models \phi$ in all worlds w' such that $\mathcal{I}_G(w, w')$. 878

We adopt the weakest normal modal logic, known as System K, subscribing only to the following axiom of consequential closure [3]:

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$(IntTh(G,\phi) \land IntTh(G,\phi \Rightarrow \psi)) \Rightarrow IntTh(G,\psi)$

That is, if an agent intends that ϕ , and also intends that $\phi \Rightarrow \psi$, then the agent must also intend that ψ . An example might be the following, "If I intend that the house be clean by 2:00 p.m., and I also intend that if the house is clean by 2:00 p.m. then I will go to the store at 2:00 p.m., then I also intend that I will go to the store at 2:00 p.m." A number of other typical axioms of modal logic all appear too strong and hence are not adopted here. For example, we do not adopt the axiom, $\models IntTh(G, \phi) \Rightarrow \phi$, since a rational agent will not adopt an intention that ϕ if ϕ is already true.

Rather than remaining within a modal logic, we instead adopt a reified approach to possible worlds; in this way both the semantics of DISs and inference remains within first-

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¹⁷ Our treatment in this article is restricted to DISs which do not involve combinations of logical operators. The companion paper [27] relaxes that restriction by augmenting the syntax to allow implication and negation over DISs. Thus, if Φ and Ψ are DISs, then so are $\neg \Phi$ and $\Phi \rightarrow \Psi$. Such DISs are then translated into FOL formulas involving the \neg and \Rightarrow operators.

¹⁸ There are, of course, alternatives to this approach. One would involve developing a proof theory for DISs analagous to that developed for DRTs [37].

order logic. We adopt a reified modal logic [24,26] in which ϕ becomes a function and IntTh is introduced as a new predicate. The process of reification translates a statement, 892 $w \models IntTh(G, \phi)$, into a FOL formula, $IntTh(G, \Phi, w)$, where Φ is a function which can 893 be interpreted as a set of possible worlds—intuitively, the set of possible worlds where ϕ holds—and $IntTh(G, \Phi, w) \equiv (\forall w')acc(G, w, w')$, where acc(G, w, w') is now a formula 895 which is true just in case w' is accessible to G from w. For simplicity, we also adopt a common 896 names assumption for each possible world [3]. This has the consequence that agents share cross-world identification of objects in the universe of discourse. Our approach differs signif-898 icantly from Kamp's mental structures approach for representing modalities in DRT [19]: our 899 approach is closer in spirit to standard methods adopted in the AI knowledge representation 900 literature; furthermore, we address intention revision, whereas Kamp does not.

Finally, note that time is not expressed explicitly in the logic, other than through the 902 assumption that an agent's set of intentions/DISs correspond to those that are true now; that 903 set is assumed to persist until otherwise modified through an update or revision operation. It 904 would be straightforward to extend DIS theory to represent time explicitly: see, for example, 905 approaches within a reified logic [26]. 906

7.2 Intention revision 907

We adopt a syntactic form of intention revision modeled on similar proposals from the belief 908 revision literature [11,25,26]. Most approaches to belief revision are founded on the idea 909 of *minimal change*: to revise a set of beliefs, S, with some new proposition, p, where p is 910 inconsistent with S, one should make the minimal change necessary to S to accommodate p. 911 In syntactic belief revision (also called base revision) the syntactic form of an agent's beliefs 912 (or intentions in our case) is important and is preserved. Briefly, the idea is that if a belief 913 base contains $\{p, p \Rightarrow q\}$ and p is removed, then q will also, as it loses its "support" from 914 p. In effect, one starts with a new set of beliefs containing just $\neg p$ and then iteratively adds 915 contents from S, checking consistency along the way. In contrast, a model-based or belief set 916 revision approach compares models in terms of the minimal changes that need to be made 917 to accommodate the new belief. In this case, we have the initial model, $\{p, q\}$, which can be 918 minimally modified to $\{\neg p, q\}$. In both approaches, the initial set of beliefs can be ordered 919 according to some preference. For example, there might be certain causal and inviolable rules 920 that an agent would never wish to disregard; those would be given highest priority. 921

Intention revision takes place in two steps within our framework. Let S be the current set 922 of an agent's intentions, in DIS form. Suppose we wish to modify an existing DIS, $D \in S$, 923 according to one of the update functions described in Sect. 5. Let D' correspond to the updated 924 DIS. To revise S, we create a set of equivalence classes on $S: \{S_1, S_2, \ldots, S_n\}$ such that S_1 925 is meant to correspond to those elements of S that are most important and S_n those that are 926 least important. We start with D' and augment it with the maximal subset of S_1 such that the 927 result is consistent and where consistency is determined via the translation of DISs to FOL. 928 We then repeat the process for each maximal subset of the next equivalence class and stop 929 when no additional elements of S can be added without introducing an inconsistency. The 930 resulting set corresponds to the output of the revision process, though it may not be unique. 931 The ordering relation on S which induces the equivalence classes is a preorder chosen in 932 roughly the following way. The set S₁ consists of all implications or rules involving intentions 933 and the next levels reflect the level of decomposition in any intention. For example, if I intend 934 to travel to Boston by driving a car then my top level intention of traveling to Boston takes 935 precedence over my intention to do so by driving. Only one modification of the standard DIS 936 form is needed to provide a sufficiently fine-grain ordering. In particular, we rewrite DISs in 937

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terms of binary relations so that each element of a DIS (e.g., the list of agents) is stored as
a binary relation (e.g., agents(DIS25, Zoe)). The resulting ordering satisfies the conditions
described by Nebel [25] and implies that the AGM properties [9] of the resulting revision
operation are respected.

Our motivation for adopting a syntactic form of intention revision is that we believe it 942 provides an attractive approach to solving the well known intention "side-effects" problem. 943 A typical example is given by Cohen and Levesque [4]: a person intends to go to the dentist 944 and also knows that the visit will be painful. However, the person does not also intend the 945 side-effect of receiving pain: if he did then if he should decide not to go to the dentist, he 946 would be compelled to explore other ways to receive pain (since intentions persist)! In con-947 trast, in base revision the initial contents of the agent's intentions and beliefs would include 948 a rule of the form, "if I intend to α then I believe, *ceteris paribus*, that I will obtain the 949 consequences of performing α (i.e., pain)." If the intention is subsequently retracted, then so 950 too must the belief indicated in the consequent.¹⁹ 951

952 8 Conclusions

A long line of research on the representation of intentions [4,7,22,30,32,33,36], starting
 with the seminal work of Cohen and Levesque [4], has centered on the important property
 of persistence of intention and also on the role of intentions in the deliberations of a rational
 agent.

More recently, de Boer et al. [6] presented an approach to modeling interactions in multi-957 agent systems based on process algebra and constraint programming. Their work focuses on 958 synchronized communication and action execution in two distinct phases. The first phase is 959 a negotiation phase in which agents independently propose sets of constraints to impose on 960 the parameters of a single joint action. If the constraints imposed by all of the agents are 961 consistent, the agents move to an execution phase. In the execution phase, each agent inde-962 pendently and simultaneously chooses a set of values for some or all of the action parameters. 963 If the choices of all the agents in this phase are unifiable and satisfy the above-mentioned 964 constraints, then the joint action succeeds; otherwise it fails. Certain elements of their work 965 bear some similarity to the model presented in this article. For example, if an agent leaves 966 a parameter free during the execution phase, then the value for that parameter might be 967 determined by another agent. In addition, the constraints proposed by agents are similar to 968 the propositions in the *Conds* field of a DIS. However, there are many more differences. 969 For example, our work accommodates the interleaving of planning and execution, explicitly 970 models the delegation of authority and responsibility for binding parameters, explicitly rep-971 resents intentions and intention-creation and intention-update operations, and accommodates 972 hierarchical action decomposition involving tasks done by different agents. 973

Our work takes as its starting point the observation that people elaborate and revise their intentions in an *incremental* fashion: intentions will often be only partially specified, requiring the use of existential quantifiers within the scope of an intention; however, during intention elaboration, subsequent references to the same intention will require access to the elements within the scope of such quantifers. This article examined various examples that illustrated these properties, beginning with such simple statements as, "Alice intends to drive the car that Bob picks", in which the referenced object has not yet been identified. We also observed that

¹⁹ As we discuss in the companion paper [27], a proper treatment of this example requires a representation, along the lines of DISs, corresponding to belief.



such statements share some features of well known examples from the linguistics literature
and the various dynamic logics, notably Kamp's DRT, developed to handle them [12,18,20].
We developed DISs to provide a similar flexibility of representation and elaboration involving
agent intentions.

A further focus of our work originates from the—reasonable we think—observation that agents working in a team will often delegate the choice of particular object or property of an object referred to by an intention to other agents; any adequate theory of intention must provide mechanisms to support the consistent reference to such objects across groups during the multi-agent elaboration process. DISs provide a framework that enforces such dependencies during elaborations and execution.

In a companion paper [27], we show how these properties of DISs lend themselves nicely to a treatment of intention revision and intention side-effects that takes as its starting point the observation that the elements that constitute the content of an intention are "not all created equal." In that paper we also extend DISs to support the representation of arbitrary logical combinations (within and outside the scope of the intention operator) of intentions and their logical consequences.

We believe a secondary contribution of this work is that it brings together, for the first time, 997 several independent threads of research. The concept of intentional context plays a prominent 998 role in certain theories of collaboration, notably the theory of SharedPlans [13-15, 17], as well 999 as in its application to discourse understanding and collaborative interface design [1, 29, 31]. 1000 In contrast, the notion of attentional state introduced in the work of Grosz and others [16,23] 1001 1002 to reflect the salience of objects in a natural language discourse has never played a first-class role in the theory of SharedPlans. In addition, the contributions of Kamp [20] and Heim [18] 1003 in linguistics have both been developed independently of the above contributions from the 1004 fields of multiagent systems and computational linguistics. We believe that the DIS theory 1005 that we have presented represents a first attempt at bringing together, in a productive fashion, 1006 these related but independently developed and motivated theories. 1007

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